FILE COPY

THE DIRECTOR OF CENTRAL INTELLIGENCE
WASHINGTON, D.C. 20505

23

16 September 1998

Mr. John R. Tunheim
Chair, Assassination Records
Review Board
600 E Street, NW
Washington, DC 20530

Re: JFK Assassination Records Collection Act of 1992

Dear Mr. Tunheim:

This will respond to your 14 September 1998 letter regarding Central Intelligence Agency compliance with the "JFK Act."

I have no doubt whatsoever that the CIA has fully met its obligations to locate, review, and release "assassination records" as defined in the JFK Act. The Agency's 2 September 1998 Declaration of Compliance—signed, as you note, by CIA's Director of Information Management, Edmund Cohen—confirms my judgment. I have full confidence in the scope of the Agency's searches as described in Mr. Cohen's recent declaration.

As Director of Information Management, Mr. Cohen is directly responsible for CIA's records systems and for ensuring the adequacy of CIA's search, review, and release of documents under the JFK Act. Mr. Cohen signed the Agency's Declaration of Compliance because he was the most senior Agency official with direct personal knowledge that its statements were accurate and complete. There was no more appropriate signatory.

In sum, I understand that the appropriate CIA staff officers have worked diligently to locate and disclose to the JFK Board all relevant records in the Agency's possession and that they do not believe any other relevant records are being withheld from the Board. Mr. Cohen's declaration confirms my understanding.

I trust that this will meet your requirements.

Sincerely,

George J. Tenet

14-00000

Central Intelligence Agency
Washington, D.C. 20505

Special Assistant/EXDIR

[illegible]8 September 1998

Mr. Cohen,

Jim Oliver called and wanted me to pass on the following message:

Talked Judge Tunheim and he is willing to release the two letters (Cohen & Tenet) and refrain from other derogatory comments if the Carey declaration is substantially like the ones Ed signed.

Michelle

He still would like you to give him a call on 31805.

Central Intelligence Agency

Washington, D.C. 20505

DECLARATION OF DAVID W. CAREY

I, DAVID W. CAREY, hereby declare and say:

1. I presently serve as the Executive Director of the of the Central Intelligence Agency (CIA or Agency). I have held the position of Executive Director since July of 1997 and have served with the CIA for over 29 years in a variety of operational, staff, and management positions.

2. As the Executive Director I am responsible, on behalf of the Director of Central Intelligence (DCI) and the Deputy Director of Central Intelligence (DDCI), for the overall daily management of the Central Intelligence Agency, for strategic planning and coordination, and for the development and execution of the Agency's annual program. In order to carry out these responsibilities, I have been delegated all authorities vested in the DCI and DDCI except as prohibited by law or Agency regulation or policy.

3. The purpose of this declaration is to provide an assurance that the Central Intelligence Agency: (1) has made a diligent records search to locate and disclose to the JFK Assassination Records Review Board (the Board) all records in its possession relating to Lee Harvey Oswald and the assassination of President Kennedy; and (2) is aware of no other assassination-related records in its possession being withheld from the Board. I make these and the following statements (including those in the attachments by reference) based upon my personal knowledge, information made available to me in my official capacity, the advice of CIA's Office of General Counsel, and the conclusions I reached in accordance therewith.

4. With respect to the overall issues of search and review, and as explained in the attachments or otherwise included by reference, the CIA's Directorates of Intelligence (DI), Operations ("DO"), Science and Technology ("DS&T"), and Administration ("DA") and the DCI Area Information Review Officer have certified to me that their respective offices or directorates have properly and fully responded to requests from the Board. Accordingly, I believe that the Central Intelligence Agency has worked diligently with the Board to declassify, consistent with the President John F. Kennedy Assassination Records Collection Act of 1992 (44 U.S.C. 2107) and other statutes,

assassination-related records in its possession, in order to make such records available to the public.

5. There are six attachments to this declaration, which are incorporated by reference. Attachment I addresses the universe and scope of documents that have been the subject of CIA's review. Attachment II describes the methodology and types of the searches conducted. Attachment III describes, in general, the records declassified and released (separate detailed indices will be provided when documents are released to the National Archives and Records Administration). Attachment IV addresses questions raised by the Board regarding perceived gaps in our records, including possible loss or destruction of records. Attachment V discusses specific requests from the Board and incorporates specific CIA responses by reference. And Attachment VI addresses commitments which this Agency makes with regard to provision to the National Archives and Records Administration of assassination related documents, including any documents which may be newly discovered or created subsequent to the tenure of the Board.

6. Based upon the foregoing and the attachments (Attachments I through VI, which are incorporated by reference) I believe that the CIA is in full compliance with the President John F. Kennedy Assassination Records Collection Act of 1992 (44 U.S.C. 2107).

I DECLARE UNDER PENALTY OF PERJURY THAT THE FOREGOING AND THE ATTACHMENTS (ATTACHMENTS I THROUGH VI, WHICH ARE INCORPORATED BY REFERENCE) ARE TRUE AND CORRECT.

Executed this 23rd day of September 1998.

David W. Carey
DAVID W. CAREY

From the Desk of James R. Oliver
Chief, Historical Review Program

NOTE FOR: James R. Oliver@DA
FROM: James R. Oliver
OFFICE: OIM
DATE: 09/24/98 11:12:17 AM
SUBJECT: EXDIR Declaration Signature, 23 September 1998

Memorandum for the Record:

This is to confirm that I replaced the first page of the ExDir's signed JFK Declaration, dated 23 September, with letterhead stationery to assure that the statement was comparable to that signed by Mr. Cohen, as earlier requested by the Board Chairman. The wording of the declaration was unchanged; only the letterhead stationery was changed. I called Michelle in the EXDIR's Officer to confirm that the Executive Director would have no problem with such a change (simply replacing the first page). She called back, saying that she had asked Mr. Carey, and he had no problem with this change.

Mr. Harrelson of this office will be hand carrying the EXDIR's declaration to the Board today. At the same time, and in accordance with my agreement with Chairman Tunheim of yesterday, Mr. Harrelson will be retrieving the earlier declaration signed by Mr. Cohen, the DCI letter of 16 September, both of which are being withdrawn. The Judge Tunheim also agreed yesterday that since the EXDIR had agreed to sign the declaration that there will no longer be a need for the critical language in their report on this issue.

James R. Oliver

CC: Edmund Cohen@DA
R. Bruce Burke@DCI
Sent on 24 September 1998 at 11:12:17 AM

14-00000

Central Intelligence Agency

Washington, D.C. 20505

Declaration of EDMUND COHEN

I, Edmund Cohen, hereby declare and say:

1. I presently serve as the Director of Information Management for the Central Intelligence Agency (CIA or Agency). I have held this position since February 1994; I have also held the position of Director of the Office of Information Management since October 1997. I have served with the CIA for over 30 years in a variety of intelligence, legal, staff, and management positions.

2. As Director of Information Management, I am responsible for providing oversight and direction to the Agency's records and classification management activities, and information declassification and release programs. I am also responsible for the development and maintenance of automated tools to support these activities. I oversee classification and declassification policies, procedures, and practices. I manage the Agency Archives and Records Center, and serve as the CIA Archivist and the Agency Information Review Officer. I coordinate the conduct of special searches within the Agency and the release of information uncovered in such searches. I am also the Agency's official liaison with the National Archives and Records Administration and its Office of the Federal Register, with the Information Security Oversight Office, and with any other entities on any matters within the responsibility or authority of the Director of Information Management.

3. I make the following statements based upon my personal knowledge, information made available to me in my official capacity, the advice of CIA's Office of General Counsel, and the conclusions I reached in accordance therewith.

4. The Central Intelligence Agency: (a) has made diligent records searches to locate and disclose to the JFK Assassination Records Review Board (the Board) all records in its possession relating to Lee Harvey Oswald and the assassination of President Kennedy; and (b) is aware of no other assassination-related records in its possession being withheld from the Board.

5. With respect to the overall issues of search and review, and as explained in the attachments or otherwise included by reference, the CIA's Directorates of Intelligence (DI), Operations (DO), Science and Technology (DS&T), and Administration (DA) and the DCI Area Information Review Officer have certified to me that their respective offices or directorates have properly and fully responded to requests from the Board. In addition to these certifications, I have had briefings on the principal searches conducted and have had all of my questions answered to my satisfaction. Accordingly, I believe that the Central Intelligence Agency has worked diligently with the Board to locate and declassify, consistent with the President John F. Kennedy Assassination Records Collection Act of 1992, as amended, reprinted at 44 U.S.C. 2107 note, and other statutes, assassination-related records in its possession, in order to make such records available to the public.

6. There are six attachments, numbered I through VI, to this declaration, which I incorporate herein by reference. Attachment I addresses the universe and scope of documents that have been the subject of CIA's review. Attachment II describes the methodology and types of the searches conducted. Attachment III describes, in general, the records declassified and released. Separate detailed indices will be provided when documents are released to the National Archives and Records Administration. Attachment IV addresses questions raised by the Board regarding perceived gaps in CIA's records, including possible loss or destruction of records. Attachment V discusses specific requests from the Board and incorporates specific CIA responses by reference. Attachment VI addresses commitments which this Agency makes with regard to provision to the National Archives and Records Administration of assassination-related documents, including any documents which may be newly discovered or created subsequent to the tenure of the Board.

Declaration of EDMUND COHEN

7. Based upon the foregoing and Attachments I through VI, I believe that the CIA is in full compliance with the President John F. Kennedy Assassination Records Collection Act of 1992.

I DECLARE UNDER PENALTY OF PERJURY THAT THE FOREGOING, INCLUDING ATTACHMENTS I THROUGH VI, ARE TRUE AND CORRECT.

Executed this 2 day of September 1998.

Edmund Cohen

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Attachment I

Attachment I

Universe and Scope of CIA Documents

Addressed Related to the JFK Assassination

Summary. This Attachment discusses CIA material examined in order to fulfill the requirements of the JFK Assassinations Records Collection Act of 1992 (JFK Act). It addresses the types of CIA documents found in the records of earlier investigations, including that of the House Select Committee on Assassinations, and materials and files recovered in response to searches conducted in 1992 and thereafter. It discusses the process by which documents became "assassination-related records."

Discussion. The Central Intelligence Agency has provided large numbers of documents and materials over the years in support of successive reviews and investigations related to the Assassination of President John F. Kennedy. We estimate that the equivalent of roughly 320,000 pages comprise the "CIA collection" of documents associated with prior assassination reviews, including duplicates. In addition, we estimate that in responses to the JFK Act, CIA has reviewed over 100,000 pages of documents from the National Archives, the Ford, Johnson, and Kennedy Presidential Libraries and miscellaneous papers from the National Security Council, the President's Foreign Intelligence Advisory Board (PFIAB), the Rockefeller Commission on CIA Activities Within the United States, and the Senate Select (Church) Committee to Study Governmental Operations with Respect to Intelligence Activities.

The documentation exists in many forms, from original contemporaneous material, such as field reporting from overseas or analytic reports, to material generated specifically as an Agency response to investigation questions. The largest "CIA collection," as such, is associated with the records of the House Select Committee on

Assassinations (HSCA).[1] The HSCA brought together in one place in 1978 the principal documents retrieved over the course of years from earlier investigations, including the Warren Commission, the Rockefeller Commission, and the Church Committee.

The Warren Commission in 1963 and 1964 was the first major review of the JFK Assassination. Existing records suggest that CIA provided roughly 4,000 pages of documentation to the Warren Commission review, plus testimony by Richard Helms and John McCone. The Warren Commission pursued matters related primarily to events and actions directly associated with the assassination event, including materials from CIA's Mexico City Station, which were considered relevant because of a visit to Mexico City by Lee Harvey Oswald shortly before the assassination.

The Rockefeller Commission in 1975 and Church Committee investigation in 1975 and 1976 were focused on allegations of wrongdoing by the CIA. Nonetheless, as a part of these investigations they yielded information which, to many, appeared to relate in some way to the assassination, such as an operational capability or willingness to consider assassination as an intelligence method.

From 1976 through 1979 the House Select Committee on Assassinations (HSCA) pursued additional leads, generating still further documentation. The HSCA ultimately brought together within its broad scope of investigation many of the documents from the Warren Commission, the Rockefeller Commission and the Church Committee. This collection ultimately became the "HSCA sequestered collection," which altogether contains an equivalent of over 300,000 pages, in paper, photographs, tapes, and microfilm media, including duplicates. Because the myriad conspiracy theories included possible Soviet, Cuban, Mafia or right-wing American involvement, the scope of the HSCA investigation included anything or anyone that might appear to support those theories, including historical institutional behavior patterns. Further, although this sequestered collection was considered to be CIA's, it contains documents from other agencies, primarily the Federal Bureau of Investigation (FBI) and over 30,000 pages of HSCA originated material.

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[1] The HSCA sequestered collection reflects the major corpus of CIA material or information reviewed by the House Select Committee on Assassinations (or to which they had access) during the 1976-1979 time frame. The pulling together of this collection was coordinated by the Committee and they defined what it was to include. This Collection has been physically held by CIA since 1979, although CIA was not authorized access until 1992, when permission was given by Congress to review the material in preparation for responding to the JFK Act.

The HSCA sequestered collection is contained in 64 boxes of multimedia material, including paper, photographs, and microfilm which have been loosely organized into major categories of information. Boxes 1 through 34 are mostly material collected by the CIA's Directorate of Operations, the FBI, and other Government agencies, including field reporting and handwritten notes. They also include newspaper articles, name traces performed in response to requests by the HSCA staff, and copies of other documents such as the CIA Inspector General's 1967 report on CIA plots against Castro and Richard Helms' executive session testimony before the HSCA.

Boxes 35 through 63 of this collection are largely records of CIA's Legislative Counsel, Inspector General, General Counsel, Office of Security, and Directorate of Science and Technology, as well as internal records of the HSCA staff. They consist of reports, memoranda, transcripts, cables, letters, newspaper clippings, photographs, and charts. Box 64 contains 72 reels of microfilm—the equivalent of roughly 72 more boxes of paper documents. This material includes the file which the Directorate of Operations maintained on Lee Harvey Oswald (the 201 file) as well as similar files on additional individuals. Many of the microfilm documents duplicate other documents in the other boxes.

While not a part of the sequestered collection, a separate "Oswald 201 File" has served as a CIA repository for JFK assassination-related material over the years and to a large extent material in this file is duplicative of material in the sequestered collection. Only a few documents were in the file at the time of the assassination. The bulk of the approximately 26,000 pages currently in this file consists of documents collected immediately after the assassination and during the Warren Commission investigation. Included are a large number of third agency documents, primarily from the FBI. Other major additions to the Oswald 201 file include relevant Mexico City Station files and material generated by various FOIA requests and investigations, such as that of New Orleans District Attorney, James Garrison.

Assassination-related materials beyond the sequestered collection and the Oswald 201 file which have been located since 1992 generally fall into three categories. First, there are new documents which have been generated since 1978, such as defector reports that mention rumors or perceptions of conspiracies or newspaper or other open

source materials related to an individual associated with the assassination. Second, in a number of cases targeted areas of inquiry or more precise definitions of areas of interest by the JFK Board have yielded more information and added documents to the collection. And third, improved search techniques have provided better access to those files which are searchable electronically. Nonetheless, the material located since 1992 is quite small when compared to the material in the sequestered collection and the overall set of material from CIA which has been designated as "assassination records" by the JFK Board.

In accordance with the law, the Board has the authority to designate records which it considers relevant to the assassination as "Assassination Records." These documents are then subject to review for declassification or, as deemed appropriate by the Board, held for declassification in the year 2017. The Board has designated the entire sequestered collection as Assassination Records as well as selected material generated in the course of searches subsequent to 1992. The entire set of these documents is the equivalent of roughly 320,000 pages, including duplicates.

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Attachment II

ATTACHMENT II.

Search Methodology and Types of Searches Conducted

Summary. This Attachment discusses the methodologies used in conducting the searches, including what we know of the searches which were conducted on behalf of the earlier assassination investigations. It includes, in referenced tabs, documentation of selected taskings. It discusses the distributed nature of CIA's paper recordkeeping systems and electronic databases and the custom keyword searches that are used with electronic databases which CIA maintains.

Discussion. The collection of CIA materials contained in the House Select Committee on Assassinations (HSCA) "sequestered collection" has existed as a discrete body of records since 1978. This material resulted from highly labor intensive and systematic searches by individuals familiar with the Agency's paper files and records systems in use in the 1960s and 1970s. Today, the sequestered collection comprises the great bulk of CIA-originated documents which can be associated with the JFK Assassination and related investigations.

For most of its history, the Agency has had a system of records which has been shaped by the divergent requirements of the directorates in accordance with the wide variance of focus in their missions. These basic differences in the missions of the directorates, as well as the compartmentalization-of-information procedures (the "need-to-know" concept), have resulted in each directorate keeping its own set of records in accordance with directorate-specific guidelines. This applies equally to paper records as well as to electronic databases.[2]

Since the late 1970s, the Agency has been in the process of converting to electronic databases, which allow for electronic searches for documents using keyword and

[2] Even within a single database, information may--in accordance with information compartmentalization requirements based on the need-to-know principle--be stored in separate files by several offices or divisions, each with its distinct recordkeeping criteria and access requirements. In order for a search to be thorough, a search for one term or a combination of terms must be conducted across all such files.

other indexing tools which provide much more expedited and efficient searches. Because the most significant portion of JFK assassination-related material was generated prior to this conversion to electronic databases, searches of the sequestered collection or other contemporaneous CIA records have had to rely primarily upon labor intensive hand searches.

Since 1992 and the passage of the JFK Act, CIA has, in responding to the requests of the Board, had to devise search methodologies and techniques to address both the hard copy and electronic versions of our databases. With respect to the hard copy material, Agency efforts have concentrated on those component records most likely to yield assassination-related documents. Short of a complete manual review of all documents in the Agency, the techniques used for searching hard copy materials include expert reviews of file series and folder names, including associations with known related topics, retrieving boxes of files from the Agency's Archives and Records Center, and manually reviewing those files identified as likely to contain the sought after materials.

Electronic files searches can actually be more complex than manual searches, in major part because of the number of different electronic systems in use. The Directorate of Operations' electronic databases, for instance, include most cables since 1978 and they can be searched using single or multiple search terms against the full text of these cables. The Directorate of Intelligence's automated systems include original source material used for analytic assessments as well as the products themselves and can be searched through a variety of electronic techniques. The DCI Area (considered, for this purpose a directorate) maintains the Executive Registry files which are not normally retrievable through full text search, but by index searches. The Directorate of Administration maintains a large and diverse system of databases and files on employees and contractors that includes data on security issues, medical records, training, etc. The Directorate of Science and Technology and its subordinate components maintain other electronic databases unique to individual missions. Each of these systems requires a unique set of access criteria and search commands and must be searched by information management experts of the respective directorates.

Where possible, automated systems were searched using key words such as JFK, Kennedy, assassination, executive action, Oswald, place names, and a large number of names, crypts, or pseudonyms identifying individuals known to have

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been associated with countries, key installations, organizations, or operations that fell within the period deemed relevant to the history of the assassination.

The following categories are examples of the guidelines for relevancy with which both manual and computerized searches were conducted. They include, but are not limited to, individuals, organizations, places, projects, and subjects which are considered central to the Oswald/JFK assassination history and the ensuing investigations.

A. <u>JFK Assassination Investigations</u>:

- Warren Commission, 1963-64
- James Garrison, New Orleans District Attorney, 1966-68
- Rockefeller Commission, 1975 (JFK assassination & CIA anti-Cuban plots aspects only)
- Church Committee (Senate), 1975-76 (JFK assassination & CIA anti-Cuban plots aspects only)
- House Select Committee On Assassinations (HSCA), 1976-79

B. <u>Key Subjects</u>:

- Moscow Station, Mexico City Station, and JMWAVE Station, as they related to Oswald's activities or to possible conspiracies.
- KGB interest in Oswald.
- CIA anti-Cuban operations 1960-64 if they involve JFK figures or subjects; most US anti-Castro activities in 1963 are considered relevant.
- Cuban exile anti-Castro activities, leaders, and organizations, 1960-64, if they involve JFK figures or subjects.
- Castro assassination activities (plans and attempts) without limit on time frame.
- Mafia, if related to Castro, JFK, or New Orleans during Oswald time frames.

C. <u>Key Figures</u>: Material with the following terms was considered JFK assassination-relevant unless the context is clearly not related or the information is outside the appropriate time period:

- AMLASH
- AMMUG
- Maurice Bishop (any reference)
- Silvia Duran

- Richard Gibson and the Free Cuba Committee
- Howard Hunt
- Priscilla MacMillan Johnson
- Valeriy Kostikov
- Mr. & Mrs. George de Mohrenschildt
- Yuri Nosenko
- Michael & Ruth Paine
- David A. Phillips
- Richard Snyder
- Win Scott

Prior to enactment of the JFK Act, in early 1992, the Chief of the CIA History Staff, through various manual searches of shelf lists, located the "sequestered collection" of the House Select Committee on Assassinations (sixty-four record center size boxes). After obtaining the permission of the Congress, his staff surveyed these and other related holdings on the assassination. In addition, the History Staff located sixteen boxes of Lee Harvey Oswald's 201 file and a number of loose folders, mainly from Mexico City Station records that had been collected for the Warren Commission.

In October 1993, following enactment of the JFK Act but prior to the President's appointment of the Board, CIA's Historical Review Group (HRG) requested the directorates to conduct a name search on Lee Harvey Oswald, JFK assassination, and any related documents (Tab 1). This request resulted in a series of meetings and discussions with various Agency components and Information Release Officers. More than thirty-one additional boxes of materials were sent to HRG for review. Included in this material were nineteen boxes of JFK working files of Mr. Russ Holmes, a CIA employee who for many years was the Agency's focal point officer with responsibility for responding to questions related to our assassinated-related records. Also included were two boxes on Nosenko, seven boxes of Latin America Division documents, and three boxes from the Bay of Pigs project. Out of these thirty-one additional boxes provided to the Board staff, approximately twenty-two boxes of material were designated by the Board as assassination records. The preponderant volume of these records is duplicative of documents in the sequestered collection.

In April 1995, after the Agency received the Board's first formal request, and in anticipation of "what are

likely to be many requests from the Board for information..." the Agency Executive Director asked each directorate and the DCI Administrative Officer to appoint a focal point officer for the JFK Assassination review. (Tab 2)

In the spring and summer of 1997, the Agency arranged for the Board staff to receive a series of briefings by representatives of each directorate on the files kept within the records systems of each directorate. These briefings covered aspects of the records systems, such as how the records are organized, maintained, searched and retrieved, as well as how they had been previously searched for assassination-related materials.

The Board staff requested a number of additional searches, which have now been completed. These requests were both formal and informal and resulted in responses to the Board staff which included a wide range of additional material, some of which was judged relevant to the history of the assassination. This material includes the histories of various CIA operations and Agency components, files on specific individuals involved in either the Warren Commission or in HSCA investigations, and the results of CIA internal reviews of programs and operations. Although many requests from the Board and responses from the Agency were handled informally, the significant initial requests are listed in the table in Attachment V.

Prompted in part by the surfacing of a small number of new relevant documents, the Board staff requested CIA in the summer of 1998 to: (a) perform an additional set of searches on certain specific topics; and (b) issue an Agency-wide notice reminding CIA employees of the requirements of the JFK Act.

Specially tailored tasking was sent to the three Directorate of Operations components deemed most likely to possess records relevant to the JFK assassination of the kind of concern to the Board (See Tab 3). Among other considerations, this special tasking included a list of new or revised search terms, a request for clarification on the disposition of the James Angleton files, and the requirement to focus on potential new information from the debriefing of defectors in which any reference to Oswald or to the Kennedy assassination occurs.

DCI Tenet issued an Agency-wide Employees Bulletin on 22 July 1998 directing all CIA personnel to "consider documents in their possession or with which they are

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familiar that might have relevance to the Board's review..." (EB OIM 98-02—See Tab 4).

Finally, and in a separate tasking, all directorates were asked to assure that searches undertaken were sufficient to enable a directorate representative to provide a certification of compliance with the JFK Act, and that the DCI's Bulletin had been forwarded to all directorate employees. (See Tab 5).

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CSI-0331/93
25 October 1993

MEMORANDUM FOR: DO/IRO
DI/IRO
DA/IRO
DS&T/IRO

FROM: John F. Pereira
Chief, Historical Review Group/CSI

SUBJECT: Request to Conduct Search for
JFK Assassination Records

1. In recent testimony before the HPSCI the Director indicated that a continuing search for JFK assassination-related documents was in progress. In order to assure that there are no oversights in this regard, we are requesting the following, by directorate:

DO & DI: A name search on Lee Harvey Oswald for the period 1980 through 1993; a subject search on JFK assassination (Kennedy assassination, etc.), same period; all appropriate components be asked to forward to us any documents related to the assassination that were not provided earlier.

DA & DS&T: All appropriate components be asked to forward to us any documents related to the assassination that were not provided earlier; a file search when an obvious need is recognized.

2. Information responsive to this request should be forwarded to the Historical Review Group, 404 Ames Building. Questions may be directed to Frank Most, 30146.

John Pereira

John F. Pereira

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~~ADMINISTRATIVE - INTERNAL USE ONLY~~

DO/IMS 95-0691

ER 95-8097
6 April 1995

MEMORANDUM FOR: Deputy Director for Administration
Deputy Director for Intelligence
Deputy Director for Operations
Deputy Director for Science and Technology
Administrative Officer, DCI

FROM: Executive Director

SUBJECT: Appointment of Directorate Focal Points for JFK Assassination Board Requests

1. I would like each of you to appoint a focal point officer for your Directorate who would be responsible for responding to requests for information from the (President Kennedy) Assassination Records Review Board. (AIUO)

2. The Agency has received the first (attached) of what are likely to be many requests from the Board for information related to the assassination of President Kennedy and to the investigations into the assassination. Up to now, the Board has focused on the "sequestered" records CIA had collected for the House Assassination Committee's investigation and documents that had been part of the Oswald 201 file. It is expected that the new requests will require records searches to be done for additional information. ~~(AIUO)~~

3. The Historical Review Group (HRG) of the Center for the Study of Intelligence (CSI), which has been the Agency's principal liaison with the JFK Board, will continue in that role. HRG will receive requests from the Board, and then forward them to the Directorate focal points for action. Responses from the Directorates will be coordinated by HRG, which will make the responses available to the Board. ~~(AIUO)~~

4. Please provide the name of your focal point officer to John Pereira, Chief, HRG [x30373-secure] by 13 April. ~~(AIUO)~~

Leo Hazlewood

Leo Hazlewood

Attachment

~~ADMINISTRATIVE - INTERNAL USE ONLY~~

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3

SECRET

OIM 98-0081
30 July 1998

MEMORANDUM FOR: Chief, Counterintelligence Center, DO
Chief, Latin America Division, DO
Chief, CE Division, DO

FROM: Lee Strickland
Chief, Information Review Group
Office of Information Management

SUBJECT: (U) New Search of CI Files for JFK Assassination Records

REFERENCE: (AIUO) Bulletin OIM-EB No. 02-98, dated 22 July 1998

1. (U) The JFK Board has made a number of recent specific directed requests to assure that the Agency has complied fully with the requirements of the JFK Act. Among these was a request for issuance of the Reference Employee Bulletin, in which the DCI directed that employees leave "no stone unturned" in assuring that relevant documents are provided to the Commission.

2. (U) In part because relevant new documents have surfaced within the past few months, the Board has also requested specific focus on renewed searches of the Agency's "counterintelligence files." The new documents have surfaced due to a variety of reasons, including references to assassination related personalities in recent defector reports, records made available because of the break up of the Soviet Union, and advances in search capabilities due to digitization of older paper files.

CL BY: 644243
CL REASON: 1.5C
DECL ON: X1
DRV FRM: HUM 4-82

SECRET

SUBJECT: (U) New Search of CI Files for JFK Assassination Records

3. (C) In discussions with the Board staff we have attempted to focus any new tasking on those areas in which they have expressed their greatest concern. It is imperative, however, that we satisfy the Board on these issues or the Agency will be subject to a determination by the Board that we are not in compliance with the JFK Act. Because of the impending statutory termination of the Board and their need to issue their report, there is some urgency associated with the conduct and completion of these searches. The searches must be completed by 6 August, 1998.

4. (S) Databases to be Searched. The addressees to this memorandum are requested to perform new searches—using the search terms in paragraph 5--on ANY of your files which may contain information relating in any way to the JFK assassination, including, in particular, all records systems or files containing information on:

- James J. Angleton and his files
- Debriefings of defectors in which ANY reference to Oswald or the JFK assassination occurs (Example, [IJDECANTER])

5. (U) Search Terms and Time Periods. These new searches should focus on documents that might be retrievable with the following search terms over the referenced periods:

- The period 1959–1965 using the search terms Executive Action, Exaction, Ex Action.

- Post-1978 information combining the term "assassination" with President Kennedy, John F. Kennedy, Kennedy, JFK, presidential threats, threats to U.S. President, attempt (or attempted), or the crypt GPIDEAL.

- Post-1978 information related to the following terms: Warren Commission, House Select Committee on Assassinations, HSCA, Oswald, Lee Harvey Oswald, Marina Oswald, Jack Ruby, or GPFLOOR.

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SUBJECT: (U) New Search of CI Files for JFK Assassination Records

6. (S) Information is also sought on:

- The identity and current location of personnel (either the current assignment of employees or any knowledge of current location of retirees) who participated in debriefings or the preparation of reports on debriefings of [IJDECANTER.]
- The destruction of James J. Angleton's files.

Such information should be brought immediately to the attention of the Historical Review Program, OIM (Barry Harrelson, [31825, Secure]).

James R. Oler (for)
Lee S. Strickland

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ADMINISTRATIVE - INTERNAL USE ONLY

EMPLOYEE BULLETIN

OIM

OIM EB No. 02-98
22 July 1998

FINAL DOCUMENT SEARCH TO ASSURE COMPLIANCE WITH "PRESIDENT JOHN F. KENNEDY ASSASSINATION RECORDS COLLECTION ACT OF 1992" (JFK ACT)

FROM: George J. Tenet
Director of Central Intelligence

1. (U) As you know, the JFK Assassination Records Review Board (Board) is completing its task of overseeing the search and declassification of all Federal government records relating to the assassination of President John F. Kennedy by 30 September 1998. The Board and we want to do everything possible to place before the American people the full story as reflected in government and other records.

2. (U) The Agency has been working on this effort since 1992 and has searched all of the obvious databases. We want to make certain that we have left no stone unturned and that no employee has any records that might possibly be considered relevant to the assassination—including any records created [or received] after 1992. The reference to 1992 is largely intended to encompass documents which may have come into our hands since the collapse of the Soviet Union and Warsaw Pact.

3. (AIUO) In accordance with the provisions of the JFK Act, I hereby direct all CIA personnel to consider documents in their possession or with which they are familiar that might have relevance to the Board's review and that might not have been found in the course of electronic or manual searches over the past six years. Representatives of the Historical Review Program believe that if such documents do exist they would, most likely, be found in files that would not be generally related to the assassination or that would not be "logical" areas for systematic searches. These include working paper files or smaller databases related to countries not normally associated with the assassination. Relevant documents can be in the form of papers, photographs, recordings, tapes, or other media, and can include documents "related to the assassination," such as later investigations, key topics/events within the Oswald/JFK Story, or material related to key figures—such as Nosenko.

4. (AIUO) CIA personnel who believe they have information regarding documents relevant to the JFK review that may not have been found in previous searches should contact their component or directorate IRO or the JFK Project Coordinator. Directorate IROs and the Agency's JFK Project Coordinator are as follows:

		Secure Phone
DO / IRO	William McNair	44448
DI / IRO	Barbara Blufer	54644
DS&T / IRO	Teresa Wilcox	50104
DA / IRO	Becky Rant	31204
DCI Area / IRO	Mary Lou Cummings	39058
JFK Project Coordinator	Barry Harrelson	31825

/s/
Director of Central Intelligence

14-00000

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OIM 98-0088
12 August 1998

MEMORANDUM FOR: Deputy Director for Administration
Deputy Director for Intelligence
Deputy Director for Operations
Deputy Director for Science and Technology
Administrative Officer, DCI Area

FROM: Edmund Cohen
Director of Information Management

SUBJECT: Documentation of Searches Conducted in Support of JFK Act

REFERENCE: Memo, "CIA compliance with the JFK Assassinations Records Collection Act of 1992," dtd 15 Jul 98, fr the Assassination Records Review Board.

1. The purpose of this memorandum is to advise you of a requirement to provide to the JFK Assassination Records Review Board a "Final Declaration of Compliance" with the JFK Act. The declaration must include: a description of component searches undertaken in response to Board taskings since 1993; information on any possible destruction of assassination records; and a representation that a diligent search for assassination records was undertaken. We are in need of assistance of your staff in preparing the description of these items for inclusion in the compliance response.

2. The referenced memorandum describes the Board's requirements for the "Final Declaration" in greater detail. Jim Oliver, Chief, Historical Review Program and Barry Harrelson, the Agency's JFK Review Focal Point, are meeting with the Directorate Information Review Officer and, as appropriate, other members of your staff to review the information needed to support the certifications which the Agency will need to make.

SUBJECT: Documentation of Searches Conducted in Support of JFK Act

3. In order to meet the 1 September 1998 deadline prescribed by the Board, we request that the information described in paragraph 1 be sent to Mr. Harrelson by close of business, 21 August 1998.

Edmund Cohen

Attachment III

14-00000

Attachment III

Records Declassified and Released.

Summary. This Attachment discusses the process used by CIA to declassify JFK assassination-related documents, including interaction with the Board and subsequent re-reviews of materials, as well as the personnel resources engaged in this effort. It discusses the volumes of records reviewed, the volumes of records declassified, and the manner in which the material has or will be made available to the public.

Discussion. In response to the enactment of the JFK Assassination Records Collection Act of 1992 and in anticipation of the appointment of the Review Board, CIA, during 1992 and 1993, reviewed for declassification material which had been sequestered following the investigation of the House Select Committee on Assassinations (HSCA) in 1978. As a result of this effort, CIA released as declassified to the National Archives in August 1993 some 127,000 pages of material from this collection. Since that time, additional releases have been made; approximately 74,000 pages from the microfilm part of the collection were declassified and released in August 1994 and 30,000 pages of HSCA originated material were declassified and released in 1995. We estimate that, since 1992, CIA has devoted over 100 work years to its effort to respond to the JFK Act (including experienced annuitants working as independent contractors).

The development of the declassification review methodology was, from the beginning in 1992, an iterative process that took into account both the requirements of the JFK Act to release to the public information relevant to an understanding of the assassination and the DCI's statutory mandate to protect intelligence sources and methods under the National Security Act of 1947 and the CIA Act of 1949.

In the years since 1992, considerable effort has been expended to balancing these two objectives. Interaction with the Board's staff was a significant factor in reaching this proper balance. The net result is that practices over this period evolved to the extent that types of material that had been redacted at the beginning of the process were, by the end, no longer considered necessary to withhold. This has, of course, required a further re-review of the material released during the earlier period to assure that

consistent standards were being used for the entire collection.

Each document in the collection was read by an Historical Review Program (HRP) Reviewer -- in every case a retired officer with at least two decades of Agency experience -- who examined every word in the document with an eye toward releasing documents unless such release could be expected to cause damage to:

- Human intelligence assets or their families;
- Intelligence methodology clearly requiring continued protection;
- Intelligence operations or activities warranting continued protection, including relationships with foreign governments.

The reviewed documents were then passed to teams of officers from the components that generated the documents (in most cases the Directorate of Operations), who were responsible for determining current sensitivity. The senior HRP reviewers validated the component groups' actions prior to forwarding the materials to the Board.

Names of CIA employees who served all or most of their careers under cover posed particular problems and, while many names have been redacted, with Board concurrence for release at a later date, the current sensitivity, or lack thereof, of retired individuals' employment by CIA had to be checked in each case. Names of key players in the post-assassination scenario, as stipulated by the Board, were released as true names or with temporary and traceable substitute names. Identification by the Board of those who were considered key players was a complicated and time-consuming task. Significant review and analysis went into the decision to release true names of many Cubans connected with the Bay of Pigs.

Material from the Rockefeller Commission and Church Committee investigations, presidential libraries and PFIAB files which addressed the entire scope of CIA activities raised issues with respect to relevance to the JFK assassination. For example, Project MONGOOSE, a clandestine portion of the publicly acknowledged U.S. anti-Castro policy, was released.

Another major issue was consistency in reviewing duplicate copies of documents. In one case 47 copies of a cable were found by different reviewers in separate files

over the six years of our review process. The National Archives ground rules called for release of every copy for inclusion in the NARA collection; therefore, each of these 47 copies is included as part of the total collection. As duplicate copies were found, comparison with the 1993 released version revealed not only inconsistencies but textual phrasing which, in a mosaic of related documents, made likely the identification of a protected individual or action. As a result, much re-review of the files was required and did occur.

Another time-consumer was the question of the identification of overseas station locations, traditionally not revealed--both to protect Agency individuals, activities and sources and to avoid foreign relations difficulties with foreign governments. In these cases, a window of time for acknowledgment of such facilities was negotiated, directly relevant to such actions as Oswald's travels and post-assassination requests for foreign government assistance in the U.S. investigation.

Except for redaction of names of CIA employees, true names of clandestine agents (otherwise identified with traceable cryptonyms), and currently-sensitive cooperation with specific foreign intelligence services, the final collection in the National Archives will contain very limited redactions and, in our opinion, none that would affect an historian's understanding of the Agency's information on, and relevance to, the event.

14-00000

Attachment IV

ATTACHMENT IV.

Perceived Gaps in CIA Records

Summary. This Attachment addresses the Board's requested explanation for the apparent absence of files which are known to have existed (or for which there is some evidence that they existed at one time) but cannot now be located. Specifically, the Board asked for such information on the following: Oswald files; certain files of James Angleton; DCI McCone files; William Pawley; and Win Scott. This Attachment also addresses the Board's request that the Agency's Declaration "...identify any other records relating to Oswald or the assassination that CIA knows to have been destroyed or removed from CIA files."

Discussion.

Files on Lee Harvey Oswald

(a) The Office of Security (OS) maintained a pre-assassination subject file on Oswald. This file contained newspaper articles and reports from other government agencies. As would be expected, the number of volumes of the subject file grew following the assassination. The pre-assassination material remained in volume one and subsequent material was filed in volumes numbered II through VI. However, during a February 1997 search, no volume V was found. A second thorough search of the OS file system failed to produce any record of Volume V. A careful page-by-page review of the contents of the existing volumes revealed there is no time gap in the material. Therefore, it is believed the label on Volume VI is simply incorrect; it should have been labeled Volume V.

The OS Oswald subject file was reviewed by the HSCA in 1978; however, it was not stored with the rest of the sequestered material. There is no documentation on why this file was not incorporated into the sequestered collection held by the DO; eight boxes of non-Oswald OS files were in the collection. The Oswald Security File has been reviewed, declassified, and released to NARA.

(b) There has been considerable interest over the years in locating any electronic surveillance tapes that would have been made during the period that Oswald visited Mexico (26 September - 2 October 1963). A thorough search has been conducted of the DO's record system as well as the index to retired records in an attempt to locate any tapes and/or find documentation to resolve their disposition. We have discussed this issue with operational experts and their

professional opinion is that most likely such tapes were never returned to Headquarters for retention. It was normal practice to recycle audio tapes after they had been listened to by transcribers and their transcripts made.

Messages between field stations and headquarters used operational jargon and shortened sentence structures that can sometimes allow for misinterpretations. Thus, possible confusion was created when references to what was being sent to headquarters referred to "tapes" but really intend "information" from tapes.

In our search efforts we did locate approximately 185 audio surveillance tapes of the Cuban and Soviet embassies in Mexico City that were recorded during the immediate time following the assassination (22 November 1963 to January 1964). The fact that these tapes were retained and returned to Headquarters could account for the fact that some people recall that some tapes existed which were sent to Headquarters.

Based upon the search efforts that were undertaken and the opinions of operational experts consulted, CIA has concluded that the tapes in question do not exist and were almost certainly recycled in the normal course of business before their potential value was identified.

James J. Angleton (Chief, CounterIntelligence Staff, 1954-1974)

As noted in a 24 August 1998 memo to the Board, the files of Mr. Angleton were incorporated within the files of the CI Staff and the Directorate of Operations. Three memoranda, dated 23 November 1976, 5 August 1977, and 29 November 1979, detail the review and incorporation into the DO Central Records System of the Angleton files as well as all the files found in CI Staff following Mr. Angleton's departure. As a result of this review and incorporation of files, there is no identifiable collection of Angleton files. The three memoranda mentioned above have been included in the Agency's JFK collection for processing and release to NARA.

John McCone (Director of Central Intelligence, 1961-1965)

Within the McCone papers are several file folders with notations that indicate documents are missing. However, each folder has an index of the documents that were originally within it. Of all the missing documents only two refer to the Kennedy assassination. One document is described as "Date of Meeting - 26 Nov; Participants - DCI &

Bundy; Subjects Covered - Msg concerning Pres. Kennedy's assassination." The second document is described as "Date of Meeting - 19 May '64; Participants - DCI, J.J. McCloy; Dinner at Residence - Re: Oswald. [The latter is annotated: "Destroyed 1-28-72."]

Both documents were noted as missing when the files were reviewed by the CIA History Staff in November 1986.

William Pawley (Industrialist; friend of DCI Dulles and supporter of Anti-Castro activities)

The JFK collection contains a 201 file on Mr. Pawley that ends in 1962. The Directorate of Operations determined that material through 1965 that should have been in the 201 file was filed in an operational file. Most of the material was already within the JFK collection. The Board staff reviewed all the documents within the operational file and selected additional documents for inclusion in the JFK collection. This material will be processed for release to NARA.

Win Scott (Chief of Station, Mexico City, 1956-1969)

The Agency's Retired Record Table (RRT) shows Mr. Scott's Mexico City files were retired as Job 78-00594R on 11 August 1972. These records were retired to the Agency's Archives and Records Center under Item Number 40-76 in the DO Records Control Schedule in effect at that time. Files under Item Number 40-76 were described as:

"Temporary. Destroy upon completion of a specific job or as they serve their purpose. Return folders and documents on loan and destroy remaining papers."

The RRT shows Job 78-00594R was destroyed on 12 January 1987, based upon reference to Item Number 40-76 of the Records Control Schedule.

The RRT page showing the disposition of Mr. Scott's files and the page of the Records Control Schedule which describes Item Number 40-76 have been incorporated in the Agency's JFK collection and will be processed for release to NARA.

Certain Station Files

Within the Board's guidance for CIA's compliance declaration, the Board asked that the Agency specifically address the existence, non-existence or destruction of files

from two stations in its Final Compliance Statement. The two stations in question are Mexico City for the period 1963-1964, and Moscow for the period 1959-1962.

It has been long-standing practice and policy that materials that the DO keeps in the field are for immediate operational needs, and that record materials are to be returned to Headquarters for safekeeping. Limited storage space overseas as well as the risk of physical loss in the event of civil unrest or the need for evacuation are contributing factors to this policy. Once at Headquarters, these materials are normally organized by topic or subject rather than by station because this is the way that work is performed. Particularly with paper records and the passage of significant time, it is extremely difficult if not impossible to reconstruct with any confidence, a full set of documents for any particular station.

We do know that files from Mexico City Station are listed in the documents found in the sequestered collection and the Oswald 201 file materials. This divergence from normal practice with respect to these files can be explained by the obvious high interest of the Warren Commission in the events in Mexico City surrounding the time of the assassination (as shown by the fact that they are contained in the Warren Commission materials). It would not have been normal practice at the time for the Agency to keep these records in this form. Thus, other than the materials in the sequestered collection and the Oswald 201 file, we have been unable to identify collections of records which would represent files for the two stations for the periods requested.

HTLINGUAL

The Church and Pike Committees both expressed concern about HTLINGUAL, a mail opening project which held information on American citizens. As a result of these investigations, CIA was directed by court order to destroy all HTLINGUAL material. It is reasonable to believe that an HTLINGUAL file existed on Lee Harvey Oswald; however, along with all other files designated as HTLINGUAL files, it would have been destroyed in accordance with the court order. Within the JFK sequestered collection, there are HTLINGUAL materials on Oswald -- materials which were apparently copied from the HTLINGUAL files before they were destroyed in accordance with the court order. It is not possible, however, to say that everything on Oswald that was held in the HTLINGUAL file wound up in the JFK collection. (See Tab 2 of Attachment V for more details.)

<u>Records Relating to Oswald or the Assassination known to have been destroyed or removed.</u>

In November 1997, the Information Management Officer of the Office of the Inspector General (OIG) advised that he had discovered a record which indicated a file that referred to the Kennedy assassination had been destroyed on "5/1/95". A search for information on this document revealed that the document had been created in 1977 and was described as "allegations regarding the Lee Harvey Oswald file." It was included with other documents grouped under the description:

> "Individual Case Files - Consists of documents accumulated in investigating complaints, suggestions, or problems of individuals which are brought to the attention of the IG. Filed numerically by case number. Potential cases filed alphabetically."

It has been confirmed that copies of the documents were made available to the HSCA and are in the sequestered collection. The OIG has reconstructed the file and will maintain it as a permanent record. Documentation is provided at Tab 1.

CONFIDENTIAL

06 January 1998

MEMORANDUM FOR THE RECORD

TO: Barry Harrelson
OIM/HRG

FROM: Jerry Owens (C)
OIG/IMO

SUBJECT: (AIUO) Inadvertent Destruction of Records

REF: (U) Telecon dated 06 January 1998

1. (U) Per your request, attached is a memorandum written to the Office of the Inspector Generals (OIG), Deputy and Associate Deputy IG for Investigations. The attached memorandum was also reviewed by OIG/Counsel. It explains in some detail the events we discussed in referenced telephone conversation.

2. (AIUO) It is understood by me, the undersigned, that no further action from the Inspector Generals office is required, and that this matter may be considered closed. The Office of Information Management/Historical Review Group (OIM/HRG) will notify the Assassination Review Board (ARB) and file a copy of this memorandum in HRG files to refer to when writing the compliance letter to the ARB.

3. (AIUO) It is also understood that original documents are not required when material is requested for or being added to the sequestered collection, and that copies of the material which were inadvertently destroyed were previously provided to the HSAC and ARB (or special task force) before their destruction in 1995.

4. (AIUO) With the assistance of HRG, the OIG/IMO has been able to reconstruct the file and will maintain it as a permanent record, also adding a copy of the attached to that file.

CL BY 0680987
CL REASON: 1.5(c)
DECL ON: X-1
DRV fm COV 1-82

CONFIDENTIAL

SUBJECT: (AIUO) Inadvertent Destruction of Records

5. (AIUO) Your assistance in this matter is greatly appreciated. If you have any further questions regarding this matter, please call me on secure X77081. Thank you.

Jerry N. Owens (C)

cc: D/IG/INV w/o att
AD/IG/INV w/o att
IG/Counsel w/o att
OIG/IMO w/att
DCI/IMO w/o att

14-00000

Names thru 1986

Name: <u>LONNQUIST</u> Gary H.

Component: DA OC

Type: 37/77 [redacted]

Complaint: Allegations regarding Lee Harvey OSWALD file.

Referred to IG by: [redacted]

Date Opened: 29 March 1977

Date Opened mm/dd/yy: / /

Date Closed: 31 May 1977

Date Closed mm/dd/yy: / /

Inspectors: Cox

Category:

Spec Cons:

Action:

Was lower resolution possible?:

Was grievant satisfied?:

Case Referred to:

Report Sent to:

Did OGC refer case to DOJ?:

Did DOJ decide to prosecute?:

Record Center Job Number: 80-01770R

Box: 2

Comments:

14-00000

Attachment V

Attachment V

Specific Requests From the Board

Summary. The JFK Assassination Records Collection Act of 1992 mandates a number of specific actions on behalf of all government departments and agencies. One of these is to:

> "...make available to the Review Board any additional information and records that the Review Board has reason to believe it requires for conducting a review under this Act." (Sec. 5(c)2 H.)

In the exercise of this authority under the Act, the Board made fifty-three specific requests for additional records and information in the form of sixteen (16) requests designated as "formal" and thirty-seven (37) designated as "informal." On each request, the CIA worked closely with the Board and Board staff to provide the information and/or documents requested and a written response which records such actions.

Discussion. As a part of this Final Declaration, the CIA has attached at Tab 1 a list of the fifty-three requests by number and a short description of the subject of each. At the Board's request this attachment includes at Tab 2 the specific response to the request numbered CIA-16 (Oswald Pre-Assassination File)

Beyond the fifty-three requests, the Board raised two additional matters which tend to fall within the category of special requests:

1) A request that the Agency review and declassify the Board's interviews of former CIA officer and Mexico City station employee, Anne Goodpasture; the Agency's response to that request is complete.

2) A request for Board staff access to sealed Rockefeller Commission records held by the Library of Congress; these records were given to the Library by Rockefeller via a deed of gift which requires a letter from the DCI before access can be granted. This letter will be completed by 9 September 1998.

Attachment V. Tab 1

JFK Assassinations Records Review Board Requests for Additional Information and Records As of 1 September 1998

FORMAL REQUESTS	DESCRIPTION	RESPONSIBLE COMPONENT	STATUS/ REMAINING ISSUES
CIA - 1	Information on the organization of CIA	All directorates, the Office of the Director and HRP	COMPLETE
CIA - 2	*CIA 2 through 5 were background questions on the JFK collection; these types of questions were handled informally; no written responses prepared*	HRP, DO	COMPLETE
CIA - 3		HRP, DO	COMPLETE
CIA - 4		HRP, DO	COMPLETE
CIA - 5		HRP, DO	COMPLETE
CIA - 6	Cables and dispatches	DO	COMPLETE
CIA - 7	Review of 60 CIA histories	HRP, DO, DS&T	**CONTINUING 1 History pending access approval.**
CIA - 8	Intelligence Community Staff Records	DCI/IRO	COMPLETE
CIA - 9	Intelligence Community Publications on Cuba or USSR, Nov. 20-30, 1963	DI	**Documents available; being reviewed by Board Staff**
CIA - 10	Interagency Source Register	DO	COMPLETE;
CIA - 11	Sylvia Duran Materials	HRP, DO	COMPLETE
CIA - 12	Files on five persons allegedly connected with JMWAVE	DO, DA	COMPLETE
CIA - 13	Mexico City Back-channel Communications	DO	COMPLETE
CIA - 14	Mexico City Station annual Reports	DO	COMPLETE
CIA - 15	Win Scott files from Russ Holmes files	HRP, DO	COMPLETE
CIA - 16	Oswald Pre-Assassination Files	DO, DA	COMPLETE

INFORMAL REQUESTS	DESCRIPTION	RESPONSIBLE COMPONENT	STATUS/ REMAINING ISSUES
CIA-IR-01	Personnel Assigned to Post from 1959 to 1964	DO	COMPLETE
CIA-IR-02	Location of Certain Cable	HRP	COMPLETE
CIA-IR-03	Full Computer Search for HTLINGUAL files	DO	**Documents/files available; being reviewed by Board Staff.**
CIA-IR-04	Disposition of Angleton Files	DO	COMPLETE
CIA-IR-05	Possible foreign source for records on Oswald	HRP, DO	**Board chairman has requested a DO Briefing on this matter. To be resolved by 15 September.**
CIA-IR-06	QKENCHANT - Information on purpose and connection with certain individuals	DO	COMPLETE; Classified Response Provided.
CIA-IR-07	Claude Barnes Capehart	DS&T	COMPLETE
CIA-IR-08	Unredacted copy of the "Family Jewels" memorandum	HRP, DCI/IRO	COMPLETE
CIA-IR-09	George Bush computer search	DA, DO	COMPLETE
CIA-IR-10	Determination if CIA IG maintains an index of reports and determine if one was done on handling of Oswald maters.	DCI/IRO	COMPLETE
CIA-IR-11	Warren Commission critics (Does CIA maintain files or records on specified critics -other than press clippings?)	DO, DA	COMPLETE
CIA-IR-12	Contacts with journalists or publishing houses	DO	COMPLETE
CIA-IR-13	Office/person dealing with organized crime & Cuba	HRP	**Documents available; being reviewed by Board Staff.**
CIA-IR-14	Breckinridge files (focal point for HSCA investigations)	ODCI/ OCA	COMPLETE

INFORMAL REQUESTS	DESCRIPTION	RESPONSIBLE COMPONENT	STATUS/ REMAINING ISSUES
CIA-IR-15	Mexico City Station electronic surveillance and surveillance tapes	DO	COMPLETE. The tapes from 22-23 Nov. '63 have been processed and are available to NARA. Processing the remaining 168 tapes will be done by September '99.
CIA-IR-16	U-2 Files Shelflist	DS&T	COMPLETE
CIA-IR-17	DS&T records on Castro assassination plots	DS&T	COMPLETE
CIA-IR-18	Information on one DO Office	DO	COMPLETE.
CIA-IR-19	Computer search on specific individuals and organizations	HRP, DO, DA	COMPLETE
CIA-IR-20	"Monster Plot"	HRP	COMPLETE
CIA-IR-21	DRE monthly operational reports	DO	COMPLETE
CIA-IR-22	"A" file on Clay Shaw and Jim Garrison	DO	COMPLETE
CIA-IR-23	Watch Committee files	DCI/IRO	COMPLETE
CIA-IR-24	Defector file	DA	COMPLETE
CIA-IR-25	Zapruder film	DS&T, DA	COMPLETE
CIA-IR-26	Jordan James Pfuntner	DO, DA	COMPLETE
CIA-IR-27	Security files on five individuals	DA	COMPLETE
CIA-IR-28	McCone files	DCI/IRO	COMPLETE
CIA-IR-29	Oswald Le Winter	DO, DA	COMPLETE
CIA-IR-30	Cherepanov papers	DO	COMPLETE
CIA-IR-31	Dulles calendar	DCI/IRO	COMPLETE
CIA-IR-32	AMWORLD—Information on purpose and relation to Cuban exile group	DO	COMPLETE. Classified Response

INFORMAL REQUESTS	**DESCRIPTION**	**RESPONSIBLE COMPONENT**	**STATUS/ REMAINING ISSUES**
CIA-IR-33	FBIS-USR-92-112	DS&T	COMPLETE
CIA-IR-34	Files of CIA officials/offices	DO, ODCI	**DCI Area complete; DO files being reviewed by Board staff.**
CIA-IR-35	Oswald related information provided by Source identified in RIF No 104-10151-10206	DO	COMPLETE
CIA-IR-36	Handling of cables in 1963	DO, DA	COMPLETE
CIA-IR-37	William Pawley	DO	COMPLETE

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Central Intelligence Agency

Washington, D.C. 20505

24 December 1997

Dr. T. Jeremy Gunn
Executive Director
Assassination Records Review Board
600 E. Street, N.W. (2nd Floor)
Washington, D.C. 20530

Dear Dr. Gunn:

Re: Request for Additional Information and Records No. CIA-16 (Oswald Pre-Assassination Files) and No. CIA-IR-24 (Defector File)

This is a partial response to the ARRB's referent request for pre-assassination files this Agency may have had on Lee Harvey Oswald. It is believed the following comments and two enclosed documents fully respond to the Office of Security questions. The DO response is pending.

1. At Tab A is a 22 December 1997 memorandum containing information from the Agency's Office of Personnel Security which provides details about the files it had on Oswald prior to the assassination plus when and why they were created. These include the Office of Security file on Oswald, a general file on Americans who had defected to another country and information about HTLINGUAL files.

2. Also enclosed at Tab B is a 27 October 1977 memorandum from the Office of Personal Security which explains the numbering of that Office's file on Oswald. In particular, it explains why the "missing" volume V may never have existed.

3. An ARRB staff member has reviewed the Oswald Security file and the file on American defectors.

4. The Oswald Office of Personnel Security file and those pages of the defector file deemed relevant by the ARRB staff member have been forwarded to HRG for review and will be processed according to current release guidelines.

If you have any questions about this response, please advise.

Sincerely,

J. Barry Harrelson

14-00000

22 December 1997

MEMORANDUM FOR THE RECORD

1. Pursuant to ARRB "Resquet No. CIA-16,the Office of Personnel Security conducted research for and existing pre-assassination files on Lee Harvey Oswald. The following responses are provided to those questions which pertain to the Office of Personnel Security (OPS). These responses are the best reasonable answers based on available information. Please note OPS was known as the Office of Security prior to 1 October 1994.

Question 1: Please identify, as specifically as possible, *each* file held by CIA on Oswald at the time of the assassination of President Kennedy.

Response: At the time of the assassination, the Office of Security (OS) held two files which contained information on Lee Harvey Oswald. One file entitled "Defectors File" (#0341008), contained a reference to Lee Harvey Oswald, and the second file was Office of Security subject file on Lee Harvey Oswald (#0351164). This information was reflected in the automated security database known as the Management Data Program/Personnel Security (MDP/PS). These files were originally miscellaneous files which were converted to the above numbers circa 1964. A hand search was also conducted of microfiche records which were superseded by the automated system. This hand search produced the same results as the automated search of MDP/PS.

2. Within the ARRB request is specific mention of an HTLINGUAL file. MDP/PS reflects OS maintained four official files under this project--#0077826 (an administrative file), #0090079, #0093466 (a general file), and #0119144. All of the HTLINGUAL files were destroyed on 8 April 1994 along with numerous soft files. Since this material has been destroyed, OPS cannot definitively state whether these files contained any information on Lee Harvey Oswald. The search of Oswald's name did not produce an index reference to any of these files. Attached is all of the available information regarding the destruction of the HTLINGUAL files. This information was retrieved from the Information Management Branch/Records Control Section and from a review of general office administrative files.

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Question 2: For other than the 201-289248 file on Oswald, please explain when each Oswald file was opened, the purpose for the opening of the file, and the documents that were in the file at the time of the assassination.

Response: As noted above, all HTLINGUAL files have been destroyed. Since OS files are usually set up in chronological sequence, a search of files with numbers in close proximity to those recorded for HTLINGUAL was undertaken. This search revealed the approximate opening dates for the four official HTLINGUAL files as:

#0077826	Opened approximately July 1952
#0090079	Opened approximately February 1953
#0093466	Opened approximately July 1953
#0119144	Opened approximately May 1955

3. HTLINGUAL was a Directorate of Operations project involving the opening incoming and outgoing mail destined to and from the Soviet Union, China, Pakistan, and South America. In the Office of Security this project was known as SRPOINTER with subprojects identified as WESTPOINTER, INDIAN, BANJO, and SETTER. The Office of Security actually conducted the opening and monitoring of mail with the acquired information being referred to the Directorate of Operations.

4. The Defectors File (#0341008) was established circa 1950 for the purpose of recording information on US citizens defecting to other countries and information regarding foreign nationals considering defection to the United States. This file contained information was from press clippings, Directorate of Operations reporting, the Federal Bureau of Investigation, the Department of State, and the various armed services. The pre-assassination documents located in this file relative to Lee Harvey Oswald are:

a. Washington Star press clipping of 26 November 1959 entitled "U.S. Defector to Reds Turned to Marx at 15"

b. Department of State memorandum dated 25 October 1960 with attached list of American "defectors" from May 1959 to October 1960

c. Memorandum for Chief/Security Research Staff dated 31 October 1960 from M. D. Stevens, subject: American Defectors

d. Memorandum for Deputy Director of Security dated 1 November 1960 from M. D. Stevens, subject: American Defectors

e. Handwritten chart of defectors dated 26 August 1961

f. Defector outline prepared in October 1961 listing known defectors to the USSR, Red China, Cuba, and United Arab Republic.

5. The index reference to the Defectors File identifies a list dated 13 September 1966 which was known as the Defector Machine Listing. This listing was a computer printout of defectors and provided limited biographic data on each name. This listing was maintained separately from the actual Defectors File; notations within the Defectors File show the Defector Machine Listing as permanently charged to the Security Analysis Group of the Office of Security. Efforts to locate this listing or determine its disposition have been unsuccessful.

6. Information regarding the purpose of the Defectors File was gleaned from a quick review of selected holdings. An employee of this Office was, recalls the Defector Machine Listing as being approximately one and one-half inches thick and in two parts. One part consisted of an alphabetical index of defector names with assigned numbers. The second part listed the assigned numbers in numerical order and contained the limited biographic data on each name.

7. The subject file pertaining to Lee Harvey Oswald (#0351164) was established circa 1960. It appears this file was created as a separate repository for the numerous press clippings and reports received from other government agencies on the defection of Lee Harvey Oswald to the USSR and his activities following his return to the United States. The first volume of this file appears to have been preserved as the pre-assassination file, and the documents contained in this file are as follows:

a. Department of State telegram #1304 from Moscow dated 31 October 1959

b. <u>The Washington Post</u> press clipping dated 1 November 1959 entitled "Ex-Marine Asks Soviet Citizenship"

c. Department of State Dispatch #234 from Moscow dated 2 November 1959

d. Department of State telegram #1448 from Tokyo dated 9 November 1959

e. Department of State telegram #1358 from Moscow dated 9 November 1959

f. The Washington Post press clipping dated 16 November 1959 entitled "Rebuffed"

g. Evening Star press clipping dated 26 November 1959 entitled "U.S. Defector to Reds Turned to Marx at 15"

h. Report Summary prepared by Soviet Russia Division forwarded to Office of Security in March 1960

i. Department of State Instruction A-273 dated 13 April 1961

j. Department of State Dispatch from Moscow dated May 1961 with enclosure of Oswald letter

k. Federal Bureau of Investigation report dated 3 July 1961 from Dallas, Texas

l. Note to CI/SI dated 28 September 1961

m. Form 745 "Indices Search Request" dated 12 October 1961

n. Department of State Dispatch #317 from Moscow dated 12 October 1961 with enclosure

o. Form G-135a Immigration and Naturalization Service name check form to Central Intelligence Agency dated 5 December 1961

p. Navy Department message to Moscow dated 3 March 1962

q. Department of the Navy memorandum to the Federal Bureau of Investigation dated 26 April 1962 with enclosure

r. The Washington Post press clipping dated 9 June 1962 entitled "Third American in 2 Months

Leaves Soviet 'Home'"

s. Federal Bureau of Investigation transmittal of report from Dallas, Texas, dated 30 August 1962.

8. In addition to those documents listed above, the following documents were located in a subsequent volume of the Oswald file and also appear to predate the assassination of President Kennedy.

t. Undated summary of file information on Lee Harvey Oswald

u. Incoming cable #83858 from Mexico City dated 20 July 1963 (no mention of Oswald)

v. Incoming cable #01325 from Mexico City dated 17 August 1963 (no mention of Oswald)

w. Incoming cable #36017 from Mexico City dated 9 October 1963

x. Outgoing cable #74830 to Mexico City dated 10 October 1963

y. Incoming cable #47041 from Mexico City dated 24 October 1963 (no mention of Oswald).

Question 4: To the extent that Counterintelligence and the Office of Security maintained pre-assassination files on Oswald, please explain why those offices maintained files on Oswald prior to the assassination. In answering this question, please make appropriate references to the Clandestine Services Handbook (CSHB) and to any other materials (including organizational charts) that would help explain the jurisdictional and organization reasons for which CI and OS would have maintained such files.

Response: It is believed that OS holdings on Lee Harvey Oswald began in 1959 with his travel to Russia during which he renounced his US citizenship. Oswald was in contact with the American Embassy in Moscow, and the Department of State prepared reports on these contacts. Most likely because of counterintelligence concerns, the Central Intelligence Agency was included in the distribution of these reports. In the beginning this material was probably retained in the Defectors File. As the number of

documents increased, a separate file was created to be the repository of information on the alleged American defector. There is a notation in the Defectors File that a separate file exists on Oswald.

9. Both the Defectors File (#0341008) and the file of Lee Harvey Oswald (#0351164) were handled by Marguerite D. Stevens of the OS/Security Research Staff during the pre-assassination time frame. Of the documents listed above, a majority of them contain a notation or the initials of Marguerite D. Stevens, leading one to believe she was the officer responsible for the collection, analysis, and filing of this information.

10. The Security Research Staff (SRS) was the component responsible for collecting, developing, and evaluating information of a counterintelligence nature to detect and/or prevent penetration of the Agency's organization, employees, and activities by foreign or domestic organizations or individuals. SRS conducted research in connection with employee loyalty cases and maintained records identifying personalities, environments, and personal traits of individuals who had been of counterintelligence interest over the years. SRS maintained liaison with various government agencies in connection with counterintelligence activities and coordinated the counterintelligence effort throughout OS. Using organizational charts of this time period, SRS reported directly to the office of the Director of Security.

11. A representataive of the Office of Personnel Security /Management Staff was queried regarding the mission and functions of SRS during the pre-assassination time period. He made inquiries relating to the above request, and the OPS/Information Management Officer retrieved retired policy records for review. The information on the mission and function of SRS was retrieved from the archived Office of Security administrative and historical files as well as a review of the security file on Marguerite D. Stevens.

14-00000

27 October 1997

MEMORANDUM FOR THE RECORD

FROM: Janet A. Ecklund
Chief, Information Management Branch
Office of Personnel Security

SUBJECT: OSWALD, LEE HARVEY
AIN: 0351164

1. The Office of Personnel Security was tasked on 31 January 1997 by the Historical Review Group/Center for the Study of Intelligence(HRG/CSI), via the Directorate of Administration/Information Review Office, with researching pre-assassination files on Lee Harvey Oswald. The tasking was generated by the John F. Kennedy Board's desire to review and declassify relevant material. The results of this review were forwarded to the HRG/CSI on 27 February 1997.

2. During the Information Management Branch's (IMB)research in response to the above tasking it was noted that Volume V of the Oswald files was not on the shelf where Volumes I-IV and VI-VII were located. A search of the entire area where the volumes had been maintained failed to locate Volume V. The IMB Files Section was subsequently charged with conducting an all out search for the missing volume. The search failed to find Volume V.

3. In July 1997, the Oswald files were reviewed page by page prior to being turned over to HRG/CSI and another attempt was made to locate Volume V or to locate persons who might know what happened to it. Volume V was not located nor were any persons located who were knowledgeable of its status.

4. During the complete review of the Oswald files no time gap was apparent and no one was located who could recall ever seeing Volume V. This would suggest that either Volume V had been consolidated into other volumes or that Volume V never existed, but that a numbering error resulted in what should have been Volume V being labeled as Volume VI. The use of Roman numerals on the cover of the volumes might have caused this error to occur.

DO Response to Board Request CIA - 16
Oswald Pre-Assassination Files

The Directorate of Operations reviewed the DO records to determine if there were any additional files containing pre-assassination records relating to Oswald. Research identified a document in the Oswald 201 which appeared to be a file inventory. The document identified CI Staff as the file custodian. CIC has confirmed that all CI Staff holdings were incorporated into the 201.

Prior to establishing a 201, any documents received would have been placed in an operational interest file. We found no reference to the existence of an operational file. If such a file had existed, however, it might not have been registered in the central index. It was standard procedures that upon opening a 201, the documents from an interest file would be transferred to the 201.

Given that Oswald was a subject of the HTLINGUAL operation, it is reasonable to believe that there was a file on him. We destroyed all of the HTLINGUAL files under court order, and no record was made of what files existed. We did not review all of the DO record system to destroy all copies of material which may have been derived from HTLINGUAL material. We know, for instance, that there are HTLINGUAL items related to Oswald in the HSCA sequestered collection

In sum, the 201 on Oswald contains all the information we are aware of that we had prior to the assassination.

14-00000

Attachment VI

Attachment VI

Disposition of CIA/HSCA Sequestered Collection and other Assassination Records.

Summary. This attachment discusses proposed agreements and understandings between the CIA, the Review Board and National Archives and Records Administration regarding the disposition of JFK Assassination-related records held by CIA which are not already at the National Archives, and the terms under which those records to be declassified will be dealt with.

Discussion.

1. "Processing of CIA Assassination Records by September 1998". Per agreement with the Review Board, the declassification review of all records, unless specifically designated for post-September 1998 processing by the Review Board, in the following categories will be completed and the releasable version of the records ready for transfer to the National Archives by September 30, 1998:

- Non-duplicate documents from the CIA-HSCA sequestered collection, the Russ Holmes files and the LA Division working files;

- Documents designated as assassination records as a result of Review Board specific requests for additional information and records (CIA 1-16, CIA-IR 1-37);

- All documents from Lee Harvey Oswald's "A" file and post-1978 201 file; Oswald's 201 file and security file and Marina Oswald's 201 (microfilm version) have already been transferred to NARA;

- Any non-duplicate documents discovered in the recent searches undertaken to ensure full compliance with the JFK Act; any new documents on Oswald will be added to the post-1978 part of his 201 file.

2. "Processing of Duplicate Documents and Commitments for the Future Treatment of Assassination Records".

- CIA/HSCA sequestered collection duplicates to be completed and transferred to NARA by September 1999.

* Russ Holmes Working Files to be completed and a declassified version transferred to NARA by December 1998.

* Mexico City tapes (186) to be completed and transferred to NARA by September 1999. [CIA-IR-15]

* Post-September 1998 Discovery of Assassination Records.

Diligent searches have been made and we are aware of no assassination records being withheld from the Board. However, given the Agency's decentralized records systems, it is possible that assassination-related documents will be discovered in the future. A guide has been prepared for those officers whose work requires them to review Agency documents and material (Executive Order Review, FOIA, etc.) that may be subject to the JFK Act. Any assassination records that are discovered will be processed according to JFK release standards and forwarded to NARA. A copy of the guide is attached. (TAB 1)

* Referrals not complete by 30 September 1998. The CIA <u>proposes</u> that it complete its review and return to originating agency by April 1999 all unreviewed third agency referral documents which were received prior to 30 September 1998. To date the following referral have been identified for possible post-September 1998 review:

a. Army's Investigative Records Repository (from Review Board):

File on Alfredo Mirabal Diaz -- 151 pages

b. SSCI -- Church Committee Records -- TBD pages
(to be identified by Review Board staff)

c. JFK Presidential Library:

Records from Robert Kennedy files -- 1484 pages

These documents have not been formally designated as Assassination Records. The CIA <u>proposes</u> that these be handled in a manner similar to the John F. Kennedy National Security Files on Cuba that were reviewed in 1996 by a joint CIA-State-DOD-Review Board task force.

3. "Custody of CIA Assassination Records to be Released in 2017."

The CIA proposes that the classified versions of Lee Harvey Oswald's Security File and "A" File along with Marina Oswald's original 201 be transferred to the protected (secure) portion of the JFK Collection At NARA by 30 September 1998. The CIA will need to retain custody of the classified version of the original Oswald 201 and the CIA-HSCA sequestered collection to complete the review of duplicate documents by September 1999.

In September 1999, the classified versions of the Oswald 201 (original and microfilm from the sequestered collection) will be transferred to NARA. Due to the sensitive nature of the information in the remaining sequestered collection, particularly the NBR material, CIA proposes that the Agency and National Archives work out a joint custody arrangement that would allow these record to remain in CIA physical custody until 2017.

14-00000

1

SECRET

Executive Order

8 October 1997

JFK Records Review Project

(U) The following is intended as a guide for those people whose work requires them to review Agency documents and materials that may be subject to the President John F. Kennedy Assassination Records Collection Act of 1992. Some of the records within the Agency's JFK collection are assassination specific, others are ancillary. They deal with individuals and events not directly linked with Oswald or the assassination; but they are thought to have had a relationship to the assassination or investigation into the assassination.

I. The Agency's JFK Collection.

(U) The Agency's JFK records collection is made up primarily of records pulled together for the Warren Commission and House Select Committee on Assassinations (HSCA). There were eighty-one (81) Records Center-sized boxes in the original collection. Of these, the first seventeen (17) made up the Oswald 201 file. The next sixty-four (64) are referred to as the "JFK files" or sequestered collection and break down as follows: thirty-four (34) boxes of DO materials; nine (9) boxes of Office of Security files; fifteen (15) boxes of OLC materials; two (2) boxes each of OGC and IG materials; and one (1) box of DDS&T documents. HSCA originated material, including notes by HSCA staff members, are found throughout the collection. Box 64 contains seventy two (72) reels of microfilm.

(U) The microfilm contains copies of complete files to which the HSCA had access during its investigation. In addition to the Oswald 201 file (12 reels), the microfilm includes: 201 files on Cubans, Americans, and Soviets linked to Oswald, the JFK assassination or the various investigations (approximately 26 reels)and the Cuban Revolutionary Council and other exile group activities (approximately 13 reels); the personnel files of CIA staff employees (approximately 5 reels); and operational files on organizations, projects, and other subjects of interest to the HSCA investigation (approximately 16 reels).

CL BY: 611637
CL REASON: 1.5(c)
DECL ON: X1
DRV FRM: LOC 3-82

SECRET

(U) We continue to identify other collections of documents that properly belong within the JFK collection. These include: The Oswald Security File; Agency working files generated by JFK assassination investigations and requests; and CIA documents found by other agencies and Presidential Libraries and referred to CIA for review under the JFK Act.

II. The Time Frame of JFK Assassination Records.

(U) In general, the time frame of JFK records commences when Oswald arrived at the US air base (the U-2) at Atsugi, Japan, in December 1957 and continues through the end of the Garrison investigation in 1968. Note, however, for <u>information which is clearly related</u> to the story of the JFK assassination or its investigations, <u>there is no time frame limitation.</u> Any document or request that deals with the assassination, Lee Harvey Oswald, or the various conspiracy theories should be referred to the HRG/JFK review project.

III. Key Topics, Etc.

(U) Certain individuals, organizations, places, projects, and subjects are <u>central</u> to the Oswald/JFK story and the ensuing investigations, which causes a number of documents with no mention of Oswald or the assassination to fall within the purview of the JFK Act. Clearly, it is not possible to list every category of document which may have been reviewed and released under the JFK Assassination Records Act. The following categories contain examples of information that are considered to be within the JFK review.

(U) <u>A. JFK Assassination Investigations:</u>

-- Warren Commission, 1963-64
-- James Garrison, New Orleans DA, 1966-68
-- Rockefeller Commission,* 1975;
-- Church Committee (Senate),* 1975-76
-- House Select Committee on Assassinations (HSCA), 1976-79

* JFK Assassination, anti-Castro plots and CIA anti-Cuban operations only.

<u>B. Key subjects</u>

(S) -- Moscow Station, Mexico City Station, JMWAVE Station, and CIA stations in those cities through which Oswald passed on his way to and from the Soviet Union, as they related to Oswald's activities or to possible conspiracies.

(AIUO)-- CIA anti-Cuban operations 1960-64 (Mongoose, etc.) if they involve JFK figures (see comment on Meagher's "index" below); most US anti-Castro activities in 1963 are considered relevant.

(U) -- Cuban exile anti-Castro activities, leaders and organizations, 1960-64, if they involve JFK figures.
-- Castro assassination activities (plans and attempts); no limit on time frame.
-- Mafia, if related to Castro, JFK, or New Orleans during Oswald time frames (see attached chronology).

(AIUO) C. Key figures

(the following individuals are considered JFK assassination-related, unless the context is clearly unrelated or outside the appropriate time period)

-- AMMUG, Cuban defector;
-- Maurice Bishop, alleged to exist (any reference);
-- Silvia Duran, employee in Cuban Embassy, Mexico City;
-- Richard Gibson and the Free Cuba Committee;
-- Howard Hunt, CIA employee;
-- Priscilla MacMillan Johnson, journalist;
-- Valeriy Kostikov, KGB officer in Mexico city;
-- Mr. & Mrs. George de Mohrenschildt (all documents)
-- Yuri Nosenko and the dispute over his bona fides;
-- Michael and Ruth Paine, Dallas friends of Oswalds;
-- David Phillips, CIA employee;
-- Richard Snyder, State Department Officer.
-- Winston Scott, COS Mexico City

For a more comprehensive list of subjects and individuals related to the JFK assassination, reviewers should consult Sylvia Meagher's Master Index to the JFK Assassination Investigations, The Scarecrow Press, 1980.

(U) Action Officer: Barry Harrelson (31825)

Attachment: Oswald Chronology

(U) Attachment:

Oswald Chronology, 1956-1963

--Joins Marines	10/26/56
--Arrives Atsugi (U2 Base) Japan	09/12/57
--Returns to US	11/02/58
--Hardship discharge	09/11/59
--Departs New Orleans for Moscow via LeHavre, London, Helsinki	09/20/59
--Arrives Moscow	10/16/59
--Resides in Minsk	01/07/60 - 05/22/62
--Departs Moscow via train	06/02/62
--Departs Rotterdam via ship	06/04/62
--Arrives New York	06/13/62
--Fort Worth/Dallas, Texas	06/14/62 - 04/63
--New Orleans	04/63 - 09/63
--Mexico City	09/25/63 - 10/03/63
--Dallas	10/3/63 - 11/24/63

23 September 1998

MEMORANDUM FOR: Robert M. McNamara@DCI
Robert D. Caudle@DCI
Stephen W. DeVine@DCI

FROM: Edmund Cohen

OFFICE: OIM

SUBJECT: Re: blurb from JFK Board

REFERENCE:

Original Text of Edmund Cohen
Original Text of Edmund Cohen

TO: James R. Oliver@DA

TO: *James R. Oliver@DA*
FROM: *Edmund Cohen*
OFFICE: *OIM*
DATE: *09/23/98 08:04:16 PM*
SUBJECT: *Re: blurb from JFK Board*

After reading the note below, I spoke with the GC, who working with Bob Caudle, was seeking to have included in the Board statement the fact that the DCI had sent a letter endorsing my declaration. I suggested to the GC that even with this addition, the JFK Board comment would be most unfortunate. The whole six year effort was designed to put to rest all of the conspiracy theories and this comment would undercut the effort. In addition, it likely would result in significant additional questions, additional work for OIM, OCA, OGC and PAS, and put the Agency in a bad light. I suggested that I should ask the EXDIR to sign the declaration. The GC interposed no objection. I then spoke with the EXDIR who agreed to sign a declaration if this would satisfy the situation. I informed the GC that the EXDIR was willing to sign and the GC asked me to inform Bob Caudle, which I did. After you spoke with Judge Tunheim and got his agreement to: 1) return the Cohen declaration and the DCI letter and substitute the EXDIR declaration, and 2) eliminate the "blurb," I had the EXDIR sign the declaration.

I subsequently briefed the DDA, ADDA, and ADDA/IS. of the above.

Original Text of Robert D. Caudle
Original Text of Robert D. Caudle

This is what they are going to include in their report, according to fax I just received from Ron Haron:

"The Board has considered release of all CIA assassination records to be critical to successful implementation of the JFK Act. Accordingly, the Board requested each of CIA's directorates to certify,

under penalty of perjury, that they had conducted thorough searches and identified all relevant records. The CIA refused. The Board then, as an alternative, asked the highest officials of the Agency - - the Executive Director and General Counsel - - to certify that CIA had met its obligations under the JFK Act and that no records on Oswald or assassination were withheld. The CIA refused. Instead, the CIA designated its Director of Information Management to sign CIA's Declaration of Compliance. In the Board's view, CIA's highest officials should have been willing to certify, under oath, that the Agency has complied with all the requirements of the JFK Act. The CIA's failure to do so raises serious concerns for the Board that the CIA has in fact fully complied with the JFK Act."

CC: *Lanie P. D'Alessandro@DA, R. Bruce Burke@DCI, Lee S. Strickland@DA, Walter I. Hazlett@DA, J. Barry Harrelson@DCI*

Sent on 23 September 1998 at 08:04:16 PM

CC:

Sent on 23 September 1998 at 08:09:39 PM

14-00000

I, Barbara Blufer, declare and state based on my personal knowledge and the information made available to me in my official capacity that:

1. I am the Information Review Officer for (IRO) for the Directorate of Intelligence (DI) of the Central Intelligence Agency (Agency). I was appointed to this position on 6 October 1997 and have held various administrative and professional positions within the Agency since (1980). I am responsible for the review of records maintained by offices in the DI that may contain JFK Assassination records and may be responsive to Assassination Records Review Board (ARRB) requests.

2. Since assuming my present position, I have had limited contact with the ARRB. With respect to matters prior to 6 October 1997, this statement has been prepared with inputs from my predecessor, Richard J. Flynn, who occupied my current position during the DI's principal contact with the Board.

3. Mr. Flynn has reported that, to ensure that the ARRB staff understood the DI records system and search capabilities, the DI/IRO and the DI Information Management Officer, Ms. Diana Hastings, briefed the staff on 13 May 1997. Other DI participants at the meeting were Joyce Jump, Marie Menefee, and Ilse Hartman. These officers were at that time assigned to the DI Office of Information Resources. Ms. Hastings focused on the current DI records keeping system, and, as best we could, the system that existed in the 1960s. DI participants stressed that the DI records keeping system has evolved over time and that the DI is continuously striving to achieve greater consistency in our procedures. DI participants candidly informed the ARRB staff that none of them at the meeting had first hand knowledge of DI records keeping in the 1960s, and b) the system in place at that time was entirely paper-based and therefore could not be considered as accurate as the present system. Members of the ARRB said they understood this limitation.

4. Mr. Flynn further reported that based on his recollection, Mr. Flynn is aware of a limited number of records searches the DI conducted in direct response to ARRB requests. On 11 June 1997, DI personnel met with members of the ARRB. Mr. Flynn had arranged this meeting earlier to give ARRB staffers, Michelle Combs and Bob Skwirot, an even more detailed briefing of DI's document search capabilities. The topics they asked the DI to search are noted in an attached memorandum to Barry Harrelson dated 17 June 1997. The result of further searches (CIA -9) are summarized a 19 June 1997 memorandum a member of the DI/IRO's staff prepared for Mr. Barry Harrelson, CIA's point of contact on the JFK assassination issue. This memorandum is also attached.

5. On 21 August, Mr. Harrelson contacted me for a further search of DI records, asking specifically that the DI follow up on a previously search by extending the timeframe covered in that original search. According to Mr. Harrelson, the ARRB requested a search for all documents concerning Mexico, Cuba, or the USSR for the period from 20 November 1963 to 6 December 1963. On the basis of this request, a search was conducted 32 documents not belonging to the DI were located. The materials were turned over to Mr. Harrelson.

6. All employees of the Directorate of Intelligence have been furnished with a copy of DCI Tenet's 22 July 1998 directive to all employees requiring them to search records in their possession for information related to the JFK assassination that might not have been found in the course of the searches conducted over the past 6 years.

7. I declare under penalty of perjury that foregoing is true and correct.

14-00000

Executed this 28th day of August 1998.

Barbara Blufer
IRO for the Directorate of Intelligence

14-00000

Neil Huntley —

[illegible] — [illegible] Box

October 24, 1975

MEMORANDUM FOR: All Divisions, Staffs, and Groups

SUBJECT : President Kennedy Assassination File Search.

1. We are requested to search our files for any classified material relating to the assassination of President Kennedy, ~~specifically~~ information on Lee Harvey Oswald, Jack Ruby, Yury Ivanovich Nosenko, and the Warren Commission. Report ~~any documents~~, etc. on the three individuals regardless of whether the information is related to the assassination or not.

2. The search must be completed as soon as possible. A report (even if only a progress report) must be made to John Michaels, Room 7F36, ext. 7654, no later than 1300, Tuesday, 28 October.

DOUGLAS P. MULHOLLAND
Acting Director
Current Intelligence

[illegible] Cuban [illegible]

Post 2 [illegible]

14-00000

28 Nov '75

File Searach on President Kennedy's Assassination - OCI Front Office

1. Office of D/OCI has a file labeled: "Material regarding President Kennedy's Assassination." It contains:

a. Memo entitled: Rumours About Lee Harvey Oswald, which discusses the rumor-mongering in the world public regarding the presumed assassin. Memo is Secret and dated 23 March 1964.

b. Listing of government leaders expectdd to attend Kennedy funeral

c. Memo entitled: Fidel Castro's Speech, which contained an "objective analysis" of implications of Kennedy's assassination. Memo is Confidential and dated 25 Nov. 1963

d. FBIS study, never disseminated, entitled: The Past Soviet Propaganda Assessment of President Johnson. Study is Confidential and dated 25 November 1963

e. FIBS Daily Report - World Reaction Series - on foreign radio and press reaction of death of Kennedy and accession of Johnson. Official Use Only and dated 26 November 1963

f. Memo entitled: Castro's speech of 27 November on the President's Assassination. Speech says Oswald the innocent dupe of a complex plot. Memo is confidential and dated 29 November 1963.

g. Unclassified copy of Wash. Post article on Castro reaction to Kennedy death - November 29, 1963

h. Three copies of Memo entitled: World Reaction to the Assassination of President Kennedy. Deals with idea of possible coverup in killing of Oswald - to seal his lips and protect the extreme right, whose tool he was. Memos ~~is~~ are TOP SECRET DINAR and dated 23, 24, + 25 November 1963

Carla Scopeletis

Copy passed to Michael
28 Oct 75

14-00000

DECLARATION OF MARY LOU CUMMINGS

I, MARY LOU CUMMINGS, hereby declare and say as follows:

1. I am the Information Review Officer ("IRO") for the offices of the Director of Central Intelligence (CIA). I was appointed to this position on 5 January 1998, and have held various administrative and professional positions within the Agency since 1981. I am responsible for the review of records maintained by offices in the DCI area (Offices of the Director and Deputy Director(DCI&DDCI), Community Management Staff(CMS), National Intelligence Council(NIC), Office of the Comptroller(COMPT), Office of the General Counsel(OGC), Office of Congressional Affairs(OCA), Office of the Inspector General(OIG), Office of Public Affairs(OPA), Arms Control Intelligence Staff(ACIS), and Center for the Study of Intelligence(CSI)) that may contain JFK Assassination records and may be responsive to ARRB requests.

2. By memo date 6 April 1995, the Executive Director (CIA) requested that focal points for each Directorate be appointed to be responsible for responding to requests for information from the Assassination Records Review Board (ARRB). Until that time the Historical Review Group (HRG) of the Center for the Studies of Intelligence (CSI) had been the principal liaison with the JFK Board. As of that date, DCI area/IRO was appointed to be the DCI area focal point. HRG would continue to receive requests from the Board forwarding them to the Directorate focal point for action. Responses from the Directorate were coordinated by HRG, which then made the responses available to the Board.

3. In an effort to understand the DCI area records systems and to ensure the conduct of thorough searches and responses, the ARRB staff requested a meeting with the DCI area/IRO and key DCI records management officers. Detailed questions were presented in advance to CIA personnel who then provided complete responses to the ARRB staff during the summer of 1997. At that time HRG instructed my predecessor to wait for formal taskings from ARRB before proceeding with additional searches.

See Attachment 1

4. Based on personal knowledge and information reflected in the records of the DCI area/IRO, I am aware that the following searches and reviews were conducted in response to Board requests for DCI area records.

14-00000

CIA - 1 ORGANIZATIONAL MATERIAL

The DCI area/IRO made available to the Board the following records:

Organization of the Office of the Director, 1963;

Mission Statements for 1959,1962,1965, and 1966;

Relevant Office of Director Notices;

OGC and OIG Mission Statements for 1964,1966,1969,1975, and 1976;

OLC Mission Statements for 1975 and 1976;

Names of Personnel Responsible for Liaison with the Warren and Rockefeller Commissions, Pike and Church Committees and the HSCA.

See Attachment 2

CIA - 7 HISTORIES

Sixty-one histories were provided to the Board by CSI/HRG. The ARRB staff is continuing to review the histories to determine their relevancy to the JFK assassination.

See Attachment 3

CIA - 8 Intelligence Community Staff Records

Following the Board's request of 1 March 1996, the records systems of CMS were searched for JFK assassination related information with negative results. The Board then asked to examine CMS shelf listings for the relevant time period. A redacted copy of the shelf list was delivered to HRG in April 1998. On 12 August the Board identified 14 CMS records that they believe may be relevant. On 21 August, ARRB Staff examined the responsive folders.

See Attachment 4

CIA-IR-08 Unredacted Copy of "Family Jewels" Memoranda

The Board has identified pages of the Memoranda to be included in the JFK Collection. The Board agreed to the necessary redactions and the request was completed.

See Attachment 5

CIA-IR-09 George Bush Computer Search

The DCI area provided a response of "no record found" of any contact with Bush in the 1960-1967 time frame.

See Attachment 6

CIA-IR-10 IG Reports

On 3 August 1998, the DCI area/IRO informed HRG that OIG does not maintain an index or inventory of IG cases. All reports and material prepared by the IG on the JFK assassination were turned over to the Office of External Affairs (OEXA). There is no indication that a report on the handling of Oswald was ever prepared.

See Attachment 7

CIA-IR-14 Breckinridge Files

The OIG located six documents that may be relevant to the JFK assassination. They have provided a list to HRG. OGC located five documents that they made available to the Board.

See Attachment 8

CIA-IR-23 Watch Committee Files

Following a thorough search and review of CMS files, 12 documents were turned over to the Board.

See Attachment 9

CIA-IR-28 McCone Files

The ARRB staff provided a list of McCone records that they wished to review: The DCI area/IRO, in turn, gave the ARRB staff complete access to the McCone files in March 1998. The records selected by the Board's staff are being processed for release. On 30 April 1998, the DCI area/IRO was notified that the request was complete. The DCI area was then notified on 3 August the there were missing McCone files that remained of interest to the Board. As soon as we receive a list of the missing files, we will begin an in-depth review of Executive Registry records in an effort to locate the missing file

See Attachment 10

CIA-IR-31 Dulles Calendars

The ARRB staff has completed their review of the Dulles calendars and has requested that some pages be processed for release.

See Attachment 11

CIA-IR-34 File of CIA Officials and/or Offices

On 5 March 1998, the Board requested records concerning DDCIs Charles Cabell and Marshall Carter and former General Counsel Lawrence Houston. The DCI area/IRO recalled seven boxes of relevant material from Records Center. The boxes were review by ARRB staff during July 1998 and several records were selected for

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further processing. OGC is currently reviewing files for pertinent Houston records.

See Attachment 12

5. In addition to the formal requests, the DCI area/IRO completed the declassification review of three NIEs, documents from the Ford and Kennedy libraries, and an IG Report.

See Attachment 13

6. As this declaration demonstrates, the DCI area/IRO including predecessors, to the best of his/her ability has caused thorough searches of the DCI area systems of records for records related to the JFK assassination not included in the CIA-HSCA Sequestered Collection and will place any newly discovered or created assassination records into the JFK collection.

7. I DECLARE UNDER PENALTY OF PERJURY THAT THE FOREGOING AND THE ATTACHMENTS (ATTACHMENTS 1 THROUGH 13, WHICH ARE INCORPORATED BY REFERENCE) ARE TRUE AND CORRECT.

Executed in McLean, Virginia on this 28 day of August 1998.

Mary Lou Cummings

Mary Lou Cummings

14-00000

SECRET

DECLARATION OF BECKY L. RANT

I, Becky L. Rant, declare and say as follows:

1. I am the Information Review Officer (IRO) for the Directorate of Administration (DA) of the Central Intelligence Agency (Agency). I was appointed to this position on 3 February 1997, and have held various administrative and professional positions within the Agency since 1965. I am responsible for the review of records maintained by offices in the DA, Office of the Deputy Director of Administration (ODDA), Center for CIA Security (CCS-formerly the Office of Security ((OS)), Office of Human Resource Management (HRM), Office of Medical Services (OMS), Office of Finance and Logistics (OFL), Office of Communications (OC), Office of Training and Education (OTE), Office of Facilities and Security Services (OFSS), Agency Technology Services (ATS), Center for Security Evaluation (CSE), that may contain JFK Assassination records and may be responsive to Assassination Records Review Board (ARRB) requests.

2. To ensure that the ARRB staff understood the DA records systems and search capabilities, the DA/IRO and DA Information Management Officer (DA/IMO) briefed the staff on 17 March 1997. The briefing focused on the DA records systems that existed in the 1960s and the current records systems. Questions that were provided by the staff prior to the briefing were discussed and an individual from the Office of Communications (OC) attended to answer questions related to cable processing in the 1960s. In addition to OC participation, the Offices of Finance & Logistics (OFL), Human Resource Management (HRM), and Security (OS) provided written responses to the list of questions submitted by the staff. It was agreed that the additional questions raised by the staff during the briefing would be tasked to the directorate formally by the Agency JFK Focal Point/Historical Review Program (HRP). An outline of the records briefing and a copy of the advance questions and the answers provided appear as **Attachment 1.**

3. Based on personal knowledge and on information reflected in the records of the DA/IRO, I am aware of the following searches that were conducted in response to specific AARB requests for DA records:

CIA 1 - Information on Organization of CIA

The DA provided from the records of the Regulatory Services Group/ODDA copies of Agency regulations and notices pertaining to the organizational structure of the Agency during the time periods specified by the ARRB staff. DA/IRO gave approval for the cleared staff members to review the material. Subsequently the DA/IRO approved release of the following items:

31 mission statements and organization charts for the DDA (DDS) and Office of Security (full release)

2 organization charts and mission statement of the Office of Communications (OC) (sanitized)

16 Agency mission, function and organization charts (full release with concurrence of the other directorates) (**Attachment 2**)

CIA-7-Histories

The DA JFK Focal Point reviewed the index of histories produced by the CIA History Program for release to the ARRB and approved access with certain restrictions. There is no record in the DA/IRO's office that the staff requested access to the DA histories on the list. (**Attachment 3**)

FOR OFFICIAL USE ONLY WHEN REMOVED
FROM SECRET ATTACHMENT

SECRET

CIA-12-JMWAVE—5 Files

The DA conducted file searches in OS and HRM for records on Theodore Shackley, Ronald Cross, Bart Henry, Doug Gupton, David Morales. We were subsequently advised by HRP that the David Morales OS file was part of the collection so the OS searched for records on the first four names only. OS identified files on Shackley, Cross and Gupton which were reviewed by the ARRB staff. HRM identified files on Morales and Shackley which were provided to HRP. Neither office surfaced records on Bart Henry. **(Attachment 4)**

CIA-16-Oswald Pre-assassination Files

The Office of Security identified a multi-volume file and provided answers to questions posed by the ARRB staff. **(Attachment 5).** The OS file was reviewed and in April 1998 was released to the National Archives. **NOTE:** The attached 17 February 1997 OS memorandum describes records holding related to HTLINGUAL and states that the files were destroyed in April 1994.

CIA-IR-03 HTLINGUAL File

See CIA-16 above and **Attachment 5**

CIA-IR-07 Claude Barnes Capehart

Capehart's Office of Security file is in the sequestered collection. The DA JFK Focal Point provided input on the question of protecting Capehart's relationship with global marine. **(Attachment 6).** In coordination with the DS&T, HRP responded to the ARRB staff.

CIA-09 George Bush Computer Search

The Offices of Security and Human Resource Management reviewed the files of George Herbert Walker Bush and found no documentation indicating that Mr. Bush had an affiliation with CIA during the 1963 time period. **Attachment 7**

CIA-IR-11 Warren Commission Critics

The Offices of Security, Human Resource Management and Information Management were tasked with records searches on Edward Epstein, Josiah Thompson, Mark Lane, Harold Weisberg and Sylvia Meagher. No records were found on Lane and Thompson. Weisburg and Epstein security files were made available for ARRB staff review. An OS impersonal file was identified but had been destroyed in 1994. HRM found only an OSS service card on Weisberg which has been supplied to HRP. OIM identified a file on Epstein. Several FOIA and PA files also were identified. **Attachment 8.** Contains the DA response and the final HRP response letter coordinated with the DA/IRO.

CIA-IR-13 - Office/Person Dealing with Organized Crime & Cuba

The Offices of Security, Human Resource Management and Finance & Logistics provided responses to this request. The Official Personnel File of Charles Ford was given to HRP, OS provided a description of the OS file contents and OFL provided travel and financial records. **Attachment 9**

CIA-IR-19 Computer Search on Specific Individuals and Organizations

The Offices of Security, Human Resource Management, Medical Services, Training & Education conducted searches on the following individuals with described results:

Cherne, OPF provided to HRP; OS file made available for staff review
Leake, OPF provided to HRP, OS and OMS files made available for staff review
Garbler, OPF provided to HRP, OS, OTE, OMS files made available for staff review
Verna Deane Brown, OPF provided to HRP
John A. McVickar, OPF provided to HRP
Byron Morton, No records
Marie Cheatham, No Records

The Offices of Security and Human Resource Management conducted searches on the following individuals with results noted:

Spas T. Raikin, OS File described in attachment, No HRM record
Marilyn Murret, Index card only, No HRM record
Andrew Anderson, OS File(may or may no be correct file) made available, No HRM Record
Howard Geubler, No OS or HRM records
Gordon Novel, OS found cross reference to GARRISON file, No HRM Record
Edward S. Butler, OS found cross reference to GARRISON file, NO HRM Record

The Office of Security found no records on INCA (Information Council of the Americas) or Permindex. **Attachment 10**

CIA-IR-21 DRE Monthly Operational Reports

HRP requested and was provided the Official Personnel File of George Joannides

CIA-24 Defector File

The Office of Security provided a description of "Defector Files" maintained by OS in a 17 February 1997 memo **(See attachment 5)**

CIA-IR-25 Zapruder File

The Offices of Security, Human Resource Management, Finance & Logistics searched for records on William Green of Kodak Labs. All reported negative results. HRM confirmed that Homer McMahon was an NPIC employee and provided bio information. **Attachment 11**

CIA-IR-26 Jordan James Pfuntner

The Office of Security conducted a search and reported that the OS file had been destroyed in 1980-81. **Attachment 12**

CIA-IR-27 Security Files on 5 Individuals

The Office of Security conducted a records search for files on the following individuals:

Richard C. Nagell
Gordon D. Novel
Jack L. Ruby
Walter J. Sheridan
Harold Weisberg

The Nagell, Novel and Ruby files were designated assassination records and copies were provided to HRP. The Sheridan and Weisberg files were made available and were reviewed by the ARRB Staff. **(Attachment 13)**

CIA-IR-29 Oswald LeWinter

The Offices of Security, Human Resource Management, Facilities Security & Services conducted searches for information on Oswald Winter. HRM and OFSS reported negative results. OS found information in an impersonation/crank file. The DA/IRO coordinated with the DO on a statement that was provided to the ARRB staff. **Attachment 14**

CIA-IR-36 Handling of Cables in 1963

The DA/IRO provided coordination approval on a memorandum prepared by HRP describing cable handling procedures **Attachment 15**

List of 38 Names of Interest to the ARRB-Provided by HRP 8/5/98

The Offices of Security and Human Resource Management searched records for information on 38 individuals listed in the attachment. The OS and HRM responses are attached. **Attachment 16**

4. As this declaration demonstrates, I and my predecessors to the best of our ability have caused thorough searches of all relevant DA records systems for records responsive to the JFK ARRB requests for information. In addition, the offices of the Directorate of Administration have certified to me that all employees have been furnished with a copy of DCI Tenet's 22 July 1998 directive to all employees requiring them to search records in their possession for information related to the JFK Assassination that might not have been found in the course of the searches conducted over the past 6 years.

5. I declare under penalty of perjury that the foregoing is true and correct.

Executed this 21 day of August 1998.

Becky L. Rant
Information Review Officer
Directorate of Administration

1

Directorate of Administration

Briefing for the JFK Assassination Records Review Board Staff on Records Management in the DA

17 March 1997

Carol M. Johnson
DA Information Management Officer
2B17 IP Bldg.
703-613-1205 Secure 31205

Becky Rant
DA Information Review Officer
2B17 IP Bldg.
703-613-1204 Secure 31204

TOPICS

- CIA Information Services Strategic Plan
- Information Management Program Segments
- Information Management Goals
- Organization of Records Management In the Agency
- DA Information Management Officer (IMO)
- DA Information Review Officer (IRO)
- Deputy Director for Support (DDS) - 1965
- Deputy Director for Administration (DDA) - 1997
- DA Records

CIA Information Services Strategic Plan

- "Information and Records Management, including Classification Management, is a fundamental and essential business requirement for the Agency."

- "The CIA's Information Services shall...ensure that each official record is appropriate, accurate, secure and retrievable throughout its life cycle regardless of physical form or media."

Directorate of Administration

Information Management Program Segments

- Records Management
- Classification Management
- Information Disclosure
- Information Automation

Information Management Goals

An effective and efficient information management ensures that records are available:

- to meet day-to-day business needs
- to ensure the continuity and consistency of records
- to provide corporate memory and history
- to protect the rights and interests of the organization, the employees and the public
- to meet legal requirements

Associate Deputy Director for Administration

Information Services

Organization of Records Management in the Agency

This slide is Administrative Internal Use Only

D/Info Mgt *

Recruitment & Training*

Policy Research & Audit*

Archive & Preservation*

System Development*

OIT

Agency Information & Records Management Panel

* = member

DCI/IMO* — component/imos

DA/IMO* — component/imos

DI/IMO* — component/imos

DO/IMO* — component/imos

DS&T/IMO* — component/imos

Directorate of Administration

DA Information Management Officer (DA/IMO)

- The DA/IMO is the Deputy Director for Administration's representative for issues relating to records and classification management.

- The DA/IMO is responsible for protecting equities consistent with existing statues, EO guidance and internal rules and regulations governing the creation, maintenance and use, and disposition of information.

DA Information Review Officer (DA/IRO)

- The DA/IRO is the Deputy Director for Administration's representative for reviewing all DA relevant information for release to the public under FOIA, PA, EO, and other information release programs.
- The DA/IRO is responsible for protecting equities consistent with existing statutes, EO guidance and internal rules and regulations governing the review and release of classified information, specifically, in response to:
  - FOIA/PA/EO Requests
  - Congressional Inquiries
  - DOJ Inquiries/Litigations
  - IG Investigations
  - Special Searches & Other Release Programs

Directorate of Administration

Deputy Director for Support (DDS) - 1965

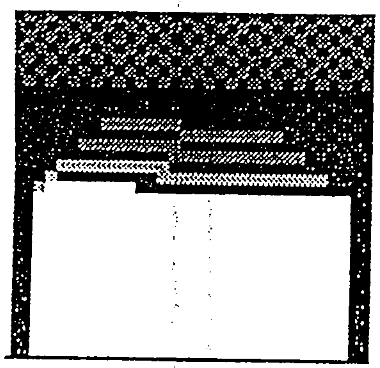
- Office of the DDS (ODDS)
- Office of Security (OS)
- Office of Personnel (OP)
- Office of Medical Services (OMS)
- Office of Logistics (OL)
- Office of Finance (OF)
- Office of Communications (OC)
- Office of Training (OTR)

Deputy Director for Administration (DDA) - 1997

- Office of the DDA (ODDA)
- Office of Personnel Security (OPS)
- Office of Facilities & Security Services (OFSS)
- Office of Finance & Logistics (OFL)
- Human Resources Management (HRM)
- Office of Medical Services (OMS)
- Office of Communications (OC)
- Office of Information Technology (OIT)
- Office of Training & Education (OTE)
- Center for Security Evaluation (CSE)

Directorate of Administration

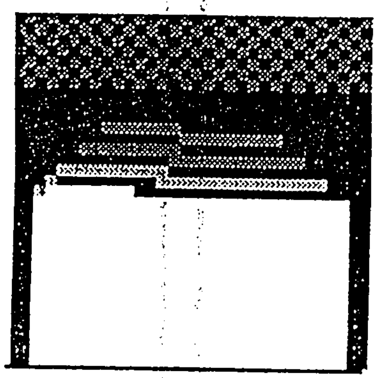
DA Records



- Information Management Officers
- Core functions
  - 1960s
  - 1990s
- Records Life Cycle
  - Creation
  - Maintenance & Use
  - Disposition
- File organization

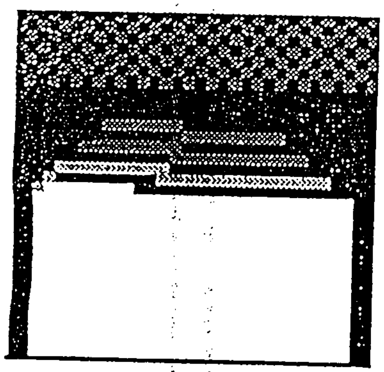
Directorate of Administration

DA Records



- Records Control Schedules
  - Temporary
  - Permanent
- Records Storage
  - Short term
  - Long term
- Accountability
  - Personal
  - Organization
- Search & Retrieval

DA Records



- Access
- Safeguarding
- Preservation
  - Hardcopy
  - Electronic
- OGC Retention List
- Automation

DRAFT

Types of Questions for DA Records Briefing
March 17, 1997

Budget and Finance

1. In Cold Warrior, (p. 52) Mangold refers to a CI secret slush fund which was tightly controlled by Angleton and never audited by the usual procedures. According to Mangold, it was this fund which allowed Angleton to run his own operations without supervision. Did the fund exist? Was this fund ever audited? Are there any records of CI operational expenses for the period 1959-63?

2. Mangold also refers (p. 53) to an unnamed CI/B&F officer who says he worked for Angleton from 1958-1964 and never dealt with him directly on a single matter. Is there a list of B&F officers assigned to the CI Staff from 1959-63. Do their records still exist?

3. We have seen Directorate of Operations monthly operational reports which contain information on the allocation/distribution of operational funds. Are there other DO or DA records which reflect how a certain project utilized its funding? Can this type of information from the early 1960's still be retrieved?

4. What records are kept on disbursements to sources in the field? If, hypothetically, LHO had received money from the Agency, what records would exist?

Personnel

1. What records are maintained on contract personnel?

Security

1. For the period between 1955-65, what was the universe of files that OS had on individuals or groups?

2. How are Office of Security records indexed?

3. What was the function of the Office of Security/Security Research Staff (OS/SRS)? Why were defector records kept in this component?

These pgs were released in full in 104-10333-10002 JFK-M-18, F3

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4. In the OS/SRS memo of Oct. 31, 1960, written by Marguerite D. Stevens, the file numbers of several defectors are listed: "Robert Edward Webster, EE-18854; Lee Harvey Oswald, MS-11165; Libero Ricciardelli, MS-8295; Vladimir Sloboda, MS-10565; and Joseph Dutkanicz, MS-10724." What do the "MS" and "EE" file prefixes designate? How are these designations assigned?

5. Does OS process security clearances for non-employees (e.g. sources) to receive access to classified information? What kinds of files are created by this process? How long are these files maintained. For example, Clay Shaw was given a security clearance and had access to classified information based on his "responsibilities" as a source for the Domestic Contacts Division (DCD).

6. Where are records that would describe liaison (etc.) cooperation or operations between OS and CI located? How are these records organized?

7. Where are records regarding operations that OS participated in or ran located. For example, where are the OS records on the Castro assassination attempts?] more info

8. How many files did OS have on Oswald? Which OS components maintained files on Oswald? Why did OS have any files on Oswald?

OC

nuts & bolts

Do we have anyone who can brief on cable process in 60-64 time period. How came in, decrypted. What happened from the time a cable was sent from a field site until it arrived on a desk at HQS, how disseminated.

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Budget and Finance

Question 1 - In Cold Warrior Mangold refers to a CIA secret slush fund which was tightly controlled by Angleton and not audited by usual procedures. Did the fund exist? Was the fund audited? Are there any records of CI operational expenses for the period 1959-63?

According to C/Financial Service Division (FSD/OFL) who contacted a former finance officer who was a secretary in CI in 1957-60, to the best of their recollection CI did not have their own finance officer. C/FSD also talked to another officer during that era who did not recall that CI was one of the early offices to do their own certification of expenses. It was his feeling that there very well may have been a CI fund that was not handled in the usual way however it is very unlikely to impossible that any fund was not audited/certified in some fashion (i.e. reviewed and certified decentrally and only reported on the books in some aggregate amount). He commented that he has never in discussion with finance officers heard of an account managed by staff officers that wasn't certified by someone in finance.

Question 2 - Mangold also refers to unnamed CI/B&F officer who says he worked for Angleton from 1958-64 and never dealt with him directly on a single matter. Is there a list of B&F officers assigned to the CI staff from 1959-63? Do their records still exist?

OFL - The Career Management Officer stated that we would need the name of the B&F officer in order to identify if he/she was assigned to the CI/Staff. CMO also stated that he does have some old records during that time period pertaining to assignments but the list he has is alphabetical by name. The current procedure is that the soft file maintained in OFL contains the assignment of each officer in the biographical profile.

HRM - Has microfilm staffing complements for the 1959-63 timeframe. These staffing complements were created on a quarterly basis and are broken down by the office (location) level. With the correct directorate/office name we can visually search these microfilm records, find the correct office and produce hardcopy reports listing the employees on the rolls for a given quarter. The hardcopy report could be reviewed to break down the office listing by occupation and create a list of names associated with the occupation (i.e. B&F officers assigned to CI from 1959-63. The employee name could then be used to located current or archived HRM files. CAUTION: 1) If an individual came

and left an office during the same quarter they might not appear on the staffing complement, 2) If an individual was TDY to an office they would not appear on the staffing complement of that office. 3) If an individual was being paid by their home office they would not appear on the staffing complement of the outside office they were supporting.

Question 3 - We have seen DO monthly operational reports which contain information on the allocation/distribution of operational funds. Are there other DO or DA records which reflect how a certain project utilized its funding? Can this type of information from the early 1960s still be retrieved?

The DO operational fund records would contain expenditures against a certain project or operation. However, according to C/FSD the system that preceded the General Accounting System (GAS) was RCA 501 (not sure what this contained) but in all probability has been destroyed, would have this type of information also. More information may have been recorded in 201 files similar to today's automated systems.

Question 4 - What records are kept on disbursements in the field? If hypothetically LHO had received money from the Agency what records would exist?

According to the OFL Records Control Schedule station accounting containing indigenous personnel are kept for 56 years, station accounting not containing indigenous personnel are kept 20 years.

<u>**Personnel**</u>

Question 1 - What records are maintained on contract personnel?

Responsibility for records maintained on independent contract personnel was transferred to OFL o/a 1 October 1996. Staff contract personnel files continue to be maintained by HRM.

Office Contract Personnel Files contain many of the same items found in the staff Official Personnel File (OPF)--application info, actions (Staff contracts only) clearance info, secrecy agreement, etc., and also contract info (agreements, amendments, terminations, etc.) A separate payroll file was also maintained.

IC's - fee for task
Staff Contracts - hired for job--not to exceed 5 years.

Contract files can be opened/maintained/retired in true name, pseudo, alias or crypt depending upon the sensitivity of the contract.

Security

Question 1 - For the period between 1955-65, what was the universe of files that OS had on individuals or groups?

OPS located information which describes the categories of files created during this time frame but it is impossible to state this is all inclusive:

General - Usually unsolicited correspondence of crank variety and junk.

Security Research Staff - possibly material of counterintelligence interest.

DCD contacts - persons who travel abroad and/or have specific information.

Contractor Employees - industrial contractors with collateral and/or SCI accesses

Miscellaneous - Includes publications, organizations, intelligence services, etc.

Alien - Foreign nationals who were of interest

New Building - Persons involved with construction of original Headquarters Building

Regular - Files which included staff employees, contractors, GSA/GSI, etc.

Question 2 - How are Office of Security records indexed?

Prior to 1964 all index records were maintained on 3x5 inch index cards. When the information was known, these cards included names (primary, alias, telecodes), file numbers, kin/relatives, location/residence, DPOB, citizenship, occupation, polygraph number, reference source data, information codes, remarks, SSN, sex, employer, and file retirement data. To locate a file record, the subject of interest was searched in this card system.

During mid-1964 these index cards with the exception of impersonal entities, were under conversion to a keypunch system. The impersonals (companies, publications, intelligence services, organizations, etc.) were maintained on cards until much later, possibly mid-1970s. Aside form the subject name indices, the Office of Security also indexed information of interest within a file record. This information could include associates, companies, publications, other government agency reports information, etc.

TODAY'S INDEXING PARAMETERS ARE VERY LIMITED.

Question 3 - What was the function of the Office of Security/Security Research Staff (OS/SRS)? Why were defector records kept in this component?

The Security Research Staff (SRS) was the component responsible for collecting, developing, and evaluating information of a counterintelligence nature to detect and/or prevent penetration of the Agency's organization, employees, and activities by foreign or domestic organizations or individuals. SRS conducted research in connection with employee loyalty cases and maintained records identifying personalities, environments, and personal traits of individuals who had been of counterintelligence interest over the years. SRS maintained liaison with various government agencies in connection with counterintelligence activities and coordinated the counter intelligence effort throughout OS. SRS reported directly to the Director of Security.

Question 4 - In the OS/SRS memo of Oct 31, 1960 written by Marguerite D. Stevens, the file numbers of several defectors are listed. What do the MS and EE file prefixed designate? How are these designations assigned.

As noted in question 1, records were categorized according to the type and the older file numbers reflected the file category. "M" designated a miscellaneous record; "S" designated a Security Research Staff record. Therefore "MS" most likely referred to a miscellaneous record of interest to the Security Research Staff. "E" or "EE" designations covered subjects of interest to the Domestic Contacts Division for information/intelligence purposes. It appears that at least some of these alpha designations were converted to numerical values circa 1964.

Question 5 - Does OS process security clearances for non-employees (e.g. sources) to receive access to classified information? What kind of files are created by this process? How long are these files maintained.

Security clearances per se are not processed for non-employees (sources/contacts). The Office of Security conducts appropriate national agency checks to try to determine the reliability of those individuals who are of interest for contact purposes because of their foreign travels, specific scientific information, etc. In a few instances an ad hoc clearance/validation may be requested in the event some classified information would be revealed in the course of acquiring intelligence from these sources. The validation period is normally five years, and any files created are maintained in the individual's name for a period of ten years after the validation period has expired. The DO would most likely have further information on a particular source.

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Question 6 - Where are records that would describe liaison (etc) cooperation or operations between OS and CI located? How are these records organized?

With the short turnaround time imposed on responding to this request no information could be readily located to provide an answer to this question. It is believed that if information of this nature does exist, it may be located in archived policy or administrative files of the Office of Security and/or Security Research Staff. Retrieval and review of this information would require an extensive research effort. Officers who may possess knowledge of this type of record were queried and it was suggested that info responsive to this question may possibly be located in DO records.

Question 7 - Where are records regarding operations that OS participated in or ran located? For example, where are the OS records on the Castro assassination attempts?

Records on operations and/or projects in which the Office of Security was involved in the past have most likely been destroyed, unless they are maintained because of congressional directives or the records control schedules. The Office of Personnel Security has the capability of retrieving a listing of any current records with the prefix or "project" or "operation". If the title does not include these words, retrieveal would be more difficult unless an exact name or acronym is known for a particular operation or project.

Question 8 - How many files did OS have on Oswald? Which OS components maintained files on Oswald? Why did OS have any files on Oswald?

OPS answered in CIA-16. Copy attached.

John:

Thank you for agreeing to participate in briefing the JFK Assassination Records Review Board (ARRB) to be held on Monday, 17 March, at 2:00 p.m., in 2C06 IP Bldg. I regret the short notice. As we discussed, the ARRB has asked for information relating to the creation, transmission, and dissemination of cables and your name instantly came to mind. Their specific questions are:

1- Can you describe the cable process in place in the Agency during the
1960-1964 time period?

Prior to 1962 messages were delivered to the DPD (Development Products Division) at 1717 H Street or to the Communications Center at "L" Street. In addition there also was a Q building that received special traffic. After 1962 messages came into the CIA Headquarters and were processed in different areas depending on the types of traffic.

Imagery traffic would be sent to to a specific floor for the imagery personnel. Intercept and Special Programs would either be passed to a Special Sections Area of the Communications Center or to the Cable Secretariat.

2- How did cables come in?

Messages came in from the field via the Brandy Station State Channels or military channels.

3- How were they decrypted?

Messages were deciphered using a OTT (One Time Tape) or a OTP (One Time Pad) process.

4- What happened from the time a cable was sent from a field site until it arrived on a desk at Headquarters?

Messages sent from a field site would be passed to a communicator, encrypted and transmitted via High Frequency Radio. The message received from Brandy and relayed to headquarters and then it was decrypted. The analyst of the Cable Secretariat would then read the message and match what they read against customer requirements. They then would have a typist type the dissemination on the message. The message then would be picked up from the message center by the various staffs and or divisions.

5- How were cables disseminated?
See item four.

Please let me or Becky Rant, DA/IRO, know if you have any other questions or concerns prior to the briefing.

CC: Becky L. Rant
Andrea E. Boyce
Sent on 13 March 1997 at 03:17:34 PM

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(4) CR JFK-24

SECRET

27 February 1997

MEMORANDUM FOR: J. Barry Harrelson
Historical Review Group
Center for the Study of Intelligence

FROM: Janet A. Ecklund
Chief, Information Management Branch
Office of Personnel Security

SUBJECT: Request No. CIA-16 from JFK Board

REFERENCE: CSI 97-062 dated 31 January 1997

1. (U) The Office of Personnel Security has researched this request from the Assassination Records Review Board relating to the existence of pre-assassination files on Lee Harvey Oswald.

2. (U) In response to the specific questions listed in Mr. Marwell's letter to the Historical Review Group, the following responses are provided to those three questions pertaining to the Office of Personnel Security (OPS). These responses are the best reasonable answers based on available information. Please note OPS was known as the Office of Security prior to 1 October 1994.

> Question 1: (U) Please identify, as specifically as possible, each file held by CIA on Oswald at the time of the assassination of President Kennedy.

Response: (U) At the time of the assassination, the Office of Security (OS) held two files which contained information on Lee Harvey Oswald. A file entitled Defectors File (#0341008) contained a reference to Lee Harvey Oswald,

included in 104-10335-10014 JFK-M-19 File
part of 52 pg. doc.

Regraded Confidential
When Separated From
Secret Attachment

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and the Office of Security also had a subject file on Lee Harvey Oswald (#0351164). This information was reflected in the automated security database known as the Management Data Program/Personnel Security (MDP/PS). These files were originally miscellaneous files which were converted to the above numbers circa 1964. A hand search was also conducted of microfiche records which were superseded by the automated system. This hand search produced the same results as the automated search of MDP/PS.

(U) In your request specific mention is made of an HTLINGUAL file. MDP/PS reflects OS maintained four official files under this project--#0077826 (an administrative file), #0090079, #0093466 (a general file), and #0119144. All of the HTLINGUAL files were destroyed on 8 April 1994 along with numerous soft files. Since this material has been destroyed, OPS cannot definitively state whether these files contained any information on Lee Harvey Oswald. A search of Oswald's name did not produce an index reference to any of these files.

(U) Attached is all of the available information regarding the destruction of the HTLINGUAL files. This information was retrieved from Lydia Hoffman of the Information Management Branch/Records Control Section and from a review of general office administrative files.

<u>Question 2:</u> (U) Other than for the 201-289248 file on Oswald, please explain when each Oswald file was opened, the purpose for the opening of the file, and the documents that were in the file at the time of the assassination.

<u>Response:</u> (U) As noted above, all HTLINGUAL files have been destroyed. Since OS files are usually set up in chronological sequence, a search of files with numbers in close proximity to those recorded for HTLINGUAL was undertaken. This search revealed the approximate opening dates for the four official HTLINGUAL files as:

#0077826	Opened approximately July 1952
#0090079	Opened approximately February 1953
#0093466	Opened approximately July 1953
#0119144	Opened approximately May 1955

(U) HTLINGUAL was a Directorate of Operations project that involved opening incoming and outgoing mail destined to and from the Soviet Union, China, Pakistan, and South America. In OS this project was known as SRPOINTER with subprojects identified as WESTPOINTER, INDIAN, BANJO, and SETTER. OS conducted the actual opening and monitoring of mail with the acquired information referred to the Directorate of Operations.

(U) The Defectors File (#0341008) was established circa 1950 for the purpose of recording information on US citizens defecting to other countries and information regarding foreign nationals considering defection to the United States. This compilation of information was received from press clippings, Directorate of Operations reporting, the Federal Bureau of Investigation, the Department of State, and the various armed services. The pre-assassination documents located in this file relative to Lee Harvey Oswald are:

a. Washington Star press clipping of 26 November 1959 entitled "U.S. Defector to Reds Turned to Marx at 15"

b. Department of State memorandum dated 25 October 1960 with attached list of American "defectors" from May 1959 to October 1960

c. Memorandum for Chief/Security Research Staff dated 31 October 1960 from M. D. Stevens, subject: American Defectors

d. Memorandum for Deputy Director of Security dated 1 November 1960 from M. D. Stevens, subject: American Defectors

e. Handwritten chart of defectors dated 26 August 1961

f. Defector outline prepared in October 1961 listing known defectors to the USSR, Red China, Cuba, and United Arab Republic.

(U) The index reference to the Defectors File identifies a list dated 13 September 1966 which was known as the Defector Machine Listing. This listing was a computer printout of defectors which reflected limited biographic data on each name. This listing was maintained separately from the actual Defectors File; notations within the Defectors File show the Defector Machine Listing as permanently charged to the Security Analysis Group of OS. Efforts to locate this listing or determine the disposition have been unsuccessful.

(U) Information regarding the purpose of the Defectors File was gleaned from a quick review of selected holdings. Katie Nakai of this office at one time was assigned to the Security Analysis Group and recalls the Defector Machine Listing. From her recollection, this machine listing was approximately one and one-half inches thick and was in two parts. One part consisted of an alphabetical index of defector names with assigned numbers. The second part listed the assigned numbers in numerical order and contained limited information on each name.

(C) The Special Investigations Branch of Investigations Division is the current operating component encompassing most of the Security Analysis Group functions still conducted in OPS. Gail Jewell of this office was queried regarding office holdings relating to the Defector Machine Listing. Ms. Jewell had no knowledge of the listing and suggested William Gilbert as a resource. Mr. Gilbert, CIC Liaison Officer, could not recall the listing, opined it may have been destroyed, and suggested contacting Zachary Filis of the Counterintelligence Center (CIC).

(C) CIC assumed many of the functions previously conducted by the Security Analysis Group. Mr. Filis was queried regarding the possibility the Defector Machine Listing may have been transferred to CIC during the realignment of duties. Mr. Filis advised the Defector Machine Listing could not be located in CIC holdings; and he has made inquiries with Directorate of Operations counterparts regarding the listing.

(U) The subject file pertaining to Lee Harvey Oswald (#C351164) was established circa 1960. It appears this file was created as a separate repository for the numerous press clippings and reports received from other government agencies on the defection of Lee Harvey Oswald to the USSR and his activities following his return to the United States. The first volume of this file appears to have been preserved as the pre-assassination file, and the documents contained in this file are as follows:

a. Department of State telegram #1304 from Moscow dated 31 October 1959

b. The Washington Post press clipping dated 1 November 1959 entitled "Ex-Marine Asks Soviet Citizenship"

c. Department of State Dispatch #234 from Moscow dated 2 November 1959

d. Department of State telegram #1448 from Tokyo dated 9 November 1959

e. Department of State telegram #1358 from Moscow dated 9 November 1959

f. The Washington Post press clipping dated 16 November 1959 entitled "Rebuffed"

g. Evening Star press clipping dated 26 November 1959 entitled "U.S. Defector to Reds Turned to Marx at 15"

h. Report Summary prepared by Soviet Russia Division forwarded to Office of Security in March 1960

i. Department of State Instruction A-273 dated 13 April 1961

j. Department of State Dispatch from Moscow dated May 1961 with enclosure of Oswald letter

k. Federal Bureau of Investigation report dated 3 July 1961 from Dallas, Texas

l. Note to CI/SI dated 28 September 1961

m. Form 745 "Indices Search Request" dated 12 October 1961

n. Department of State Dispatch #317 from Moscow dated 12 October 1961 with enclosure

o. Form G-135a Immigration and Naturalization Service name check form to Central Intelligence Agency dated 5 December 1961

p. Navy Department message to Moscow dated 3 March 1962

q. Department of the Navy memorandum to the Federal Bureau of Investigation dated 26 April 1962 with enclosure

r. The Washington Post press clipping dated 9 June 1962 entitled "Third American in 2 Months Leaves Soviet 'Home'"

s. Federal Bureau of Investigation transmittal of report from Dallas, Texas, dated 30 August 1962.

(U) In addition to those documents listed above, the following documents were located in a subsequent volume of the Oswald file and also appear to predate the assassination of President Kennedy.

t. Undated summary of file information on Lee Harvey Oswald

u. Incoming cable #63858 from Mexico City dated 20 July 1963 (no mention of Oswald)

v. Incoming cable #01325 from Mexico City dated 17 August 1963 (no mention of Oswald)

w. Incoming cable #36017 from Mexico City dated 9 October 1963

x. Outgoing cable #74830 to Mexico City dated 10 October 1963

y. Incoming cable #47041 from Mexico City dated 24 October 1963 (no mention of Oswald).

Question 4: (U) To the extent that Counterintelligence and the Office of Security maintained pre-assassination files on Oswald, please explain why those offices maintained files on Oswald prior to the assassination. In answering this question, please make appropriate references to the Clandestine Services Handbook (CSHB) and to any other materials (including organizational charts) that would help explain the jurisdictional and organization reasons for which CI and OS would have maintained such files.

Response: (U) It is believed that OS holdings on Lee Harvey Oswald began in 1959 with his travel to Russia during which he renounced his US citizenship. Oswald was in contact with the American Embassy in Moscow, and the Department of State prepared reports on these contacts. Most likely because of counterintelligence concerns, the Central Intelligence Agency was included in the distribution of these reports. In the beginning this material was probably retained in the Defectors File. As the number of documents on this person increased, a separate file was created to be the repository of information on the alleged American defector. There is a notation in the Defectors File that a separate file exists on Oswald.

(U) Both the Defectors File (#0341008) and the file of Lee Harvey Oswald (#0351164) were handled by Marguerite D. Stevens of the OS/Security Research Staff during the pre-assassination time frame. Of the documents listed above, a majority of them contain a notation or the initials of Marguerite D. Stevens, leading one to believe she was the officer responsible for the collection, analysis, and filing of this information.

(U) The Security Research Staff (SRS) was the component responsible for collecting, developing, and evaluating information of a counterintelligence nature to

detect and/or prevent penetration of the Agency's organization, employees, and activities by foreign or domestic organizations or individuals. SRS conducted research in connection with employee loyalty cases and maintained records identifying personalities, environments, and personal traits of individuals who had been of counterintelligence interest over the years. SRS maintained liaison with various government agencies in connection with counterintelligence activities and coordinated the counterintelligence effort throughout OS. Using organizational charts of this time period, SRS reported directly to the office of the Director of Security.

(U) Don Staton of the OPS/Management Staff was queried regarding the mission and functions of SRS during the pre-assassination time period. Mr. Staton made inquiries relating to the above request, and the OPS/Information Management Officer Ronda Allen retrieved retired policy records for review. The information on the mission and function of SRS was retrieved from the archived Office of Security administrative and historical files as well as a review of the security file on Marguerite D. Stevens.

3. (U) For your information, a page-by-page review of the documents contained in the Defectors File (12 volumes) and Lee Harvey Oswald's file (7 volumes) has not been conducted for the purposes of this request. Our efforts were concentrated on the pre-assassination time frame.

4. (U) This review was conducted by Katie Nakai, OPS/CD/IMB, secure 40552.

Janet A. Ecklund

Attachment

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DOCS TO ME
MARLENE
30492
GLORIA HAS ORIGS

1 June 1995

MEMORANDUM FOR: Gloria Boyd, HRG/CSI

FROM: Marlene H. Rives
RSG CSC DA

THROUGH: Don Hatch, DDA IRC
Jack Wright DA Focal Point for JFK

SUBJECT: AARB Additional Information Request CIA-1

1. Attached per your request is a copy of Regulatory Service Group documents responsive to the subject request for items explaining the organizational structure and division of responsibilities within CIA for the years 1958-68 and 1975-79. Some of the documents are dated outside the time frame specified but were determined to be effective during the years 1958-68 and 1975-79.

2. The Directorate of Operations and the History Staff may have additional documents. See the attached notice dated 13 March 1964.

3. If you have any questions, please call Marlene Rives on 30492 (secure).

Marlene H. Rives

ATTACHMENTS

UNCLASSIFIED WHEN SEPARATED FROM DOCUMENTS

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~~ADMINISTRATIVE - INTERNAL USE ONLY~~

13 June 1995

CR DA #5

MEMORANDUM FOR: Jack Wright @ DA

FROM: Eldon I. Hatch
Information Review Officer, ODDA

SUBJECT: Review of Organizational Structure for the year 1958-68 and 1975-79

REFERENCE: ARRB Additional Information Request CIA-1

1. The attached documents relating to subject have been reviewed for release to the Assassination Records Review Board and are to be handled in accordance with the level of classification security indicated on the documents. The documents have not been reviewed for release to other than properly cleared personnel.

2. If you have any questions, please call me on 30683.

Eldon I. Hatch
Eldon I. Hatch

Attachments: a/s

Handcarried to Jack Wright

CC:

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2 6 FEB 1996

MEMORANDUM FOR: Barry Harrelson
Historical Review Group

FROM: Lee S. Strickland
DA Focal Point for JFK Board Requests

SUBJECT: ARRB Request for Access to Indices to CIA Histories

REFERENCE: A. JFK Board Request No. CIA-7, dtd 9 Nov 1995, Item # 6

B. CSI-0307/05, dtd 20 Feb 1996, same subject

1. Per your request, I have reviewed the list provided to me of all histories produced under the CIA History Program for release to the Assassinations Records Review Board (ARRB). Regarding equities that concern the Office of the DA, I reviewed pages 38 through 48 and pages 51-54 and have no problem granting access to the list except for those noted on page 40, 42, 46, and 48. If this list is to be provided unclassified, then the station names as marked would need to be redacted. If this list is to be provided classified, the DA pages may be passed without sanitization.

2. Is the ARRB allowed to request access to any Agency document if unrelated to the JFK Assassination? We could be setting a precedent if we grant the ARRB access to see this entire list. Potentially, the ARRB could request access to any number of Agency documents totally unrelated to the JFK Assassination (i.e. 201 files).

3. If you have questions, I may be reached on extension 30554 secure.

Lee S. Strickland

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27 February 1998

MEMORANDUM FOR: Bonnie K. Hunter-Y- @ DCI

FROM: Frieda P. Omasta

OFFICE: ADA/IRO

SUBJECT: CIA-12 (Shackley et al)

REFERENCE: Shackley, Cross, Henry, Gupton (CIA-IR-12) DA/IRO No. 12

Bonnie:

This is with respect to your 26 February 1998 note wherein you asked that we check HRM for personnel files on Ron Cross, Bart Henry and William Morris Kent. In an effort to bring the status of this particular tasking (CIA-IR-12) up-to-date, I provide the following:

My records show that on 18 July 1997 Barry requested that we conduct file searches on Ted Shackley, Ron Cross, Bart Henry and Doug Gupton. We were advised by Barry, and as outlined in a 22 May 1996 letter from ARRB to John Pereira, that Cross, Henry and Gupton are pseudonyms used by CIA personnel who testified to the HSCA.

Notwithstanding, we tasked OPS and HRM to conduct searches on these individuals in an effort to locate files. On 22 December 1996, I advised Barry that HRM had located files on Ted Shackley and would provide them to Barry via this office once they arrived from RC. It is my understanding that Becky gave you the OPF yesterday. HRM advsied further that they had attempted to locate files on Cross, Henry and Gupton but failed to locate any files on these individuals in light of the fact that only the pseudos had been provided. We further advised that if in the future you have access to the true names, we will glady search again.

On 23 December 1997, I advised Barry that OPS had located files on Ted Shackley, Ron Cross and Doug Gupton and that the files were in OPS for review. They were unable to locate a record on Bart Henry. It is my understanding that you have not reviewed these files to date but are in the process of making arrangements to do so. Sue-Ann Pierson conducted the search back in July and I am certain that — she will be glad to you in this matter (40000).

And lastly, I have no record of ever having received a request to search on William Morris Kent. Accordingly, I will request OPS and HRM to do so.

Please let me know if I have misinterpreted the status of this request. Thank you. Frieda

Original Text of Frieda P. Omasta
Original Text of Frieda P. Omasta

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(4) CR JFK-24

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27 February 1997

MEMORANDUM FOR: J. Barry Harrelson
Historical Review Group
Center for the Study of Intelligence

FROM: Janet A. Ecklund
Chief, Information Management Branch
Office of Personnel Security

SUBJECT: Request No. CIA-16 from JFK Board

REFERENCE: CSI 97-062 dated 31 January 1997

1. (U) The Office of Personnel Security has researched this request from the Assassination Records Review Board relating to the existence of pre-assassination files on Lee Harvey Oswald.

2. (U) In response to the specific questions listed in Mr. Marwell's letter to the Historical Review Group, the following responses are provided to those three questions pertaining to the Office of Personnel Security (OPS). These responses are the best reasonable answers based on available information. Please note OPS was known as the Office of Security prior to 1 October 1994.

<u>Question 1:</u> (U) Please identify, as specifically as possible, *each* file held by CIA on Oswald at the time of the assassination of President Kennedy.

<u>Response:</u> (U) At the time of the assassination, the Office of Security (OS) held two files which contained information on Lee Harvey Oswald. A file entitled Defectors File (#0341008) contained a reference to Lee Harvey Oswald,

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and the Office of Security also had a subject file on Lee Harvey Oswald (#0351164). This information was reflected in the automated security database known as the Management Data Program/Personnel Security (MDP/PS). These files were originally miscellaneous files which were converted to the above numbers circa 1964. A hand search was also conducted of microfiche records which were superseded by the automated system. This hand search produced the same results as the automated search of MDP/PS.

(U) In your request specific mention is made of an HTLINGUAL file. MDP/PS reflects OS maintained four official files under this project--#0077826 (an administrative file), #0090079, #0093466 (a general file), and #0119144. All of the HTLINGUAL files were destroyed on 8 April 1994 along with numerous soft files. Since this material has been destroyed, OPS cannot definitively state whether these files contained any information on Lee Harvey Oswald. A search of Oswald's name did not produce an index reference to any of these files.

(U) Attached is all of the available information regarding the destruction of the HTLINGUAL files. This information was retrieved from Lydia Hoffman of the Information Management Branch/Records Control Section and from a review of general office administrative files.

> <u>Question 2:</u> (U) Other than for the 201-289248 file on Oswald, please explain when each Oswald file was opened, the purpose for the opening of the file, and the documents that were in the file at the time of the assassination.

<u>Response:</u> (U) As noted above, all HTLINGUAL files have been destroyed. Since OS files are usually set up in chronological sequence, a search of files with numbers in close proximity to those recorded for HTLINGUAL was undertaken. This search revealed the approximate opening dates for the four official HTLINGUAL files as:

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c. Memorandum for Chief/Security Research Staff dated 31 October 1960 from M. D. Stevens, subject: American Defectors

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e. Handwritten chart of defectors dated 26 August 1961

f. Defector outline prepared in October 1961 listing known defectors to the USSR, Red China, Cuba, and United Arab Republic.

(U) The index reference to the Defectors File identifies a list dated 13 September 1966 which was known as the Defector Machine Listing. This listing was a computer printout of defectors which reflected limited biographic data on each name. This listing was maintained separately from the actual Defectors File; notations within the Defectors File show the Defector Machine Listing as permanently charged to the Security Analysis Group of OS. Efforts to locate this listing or determine the disposition have been unsuccessful.

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h. Report Summary prepared by Soviet Russia Division forwarded to Office of Security in March 1960

i. Department of State Instruction A-273 dated 13 April 1961

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l. Note to CI/SI dated 28 September 1961

m. Form 745 "Indices Search Request" dated 12 October 1961

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p. Navy Department message to Moscow dated 3 March 1962

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w. Incoming cable #36017 from Mexico City dated 9 October 1963

x. Outgoing cable #74830 to Mexico City dated 10 October 1963

y. Incoming cable #47041 from Mexico City dated 24 October 1963 (no mention of Oswald).

Question 4: (U) To the extent that Counterintelligence and the Office of Security maintained pre-assassination files on Oswald, please explain why those offices maintained files on Oswald prior to the assassination. In answering this question, please make appropriate references to the Clandestine Services Handbook (CSHB) and to any other materials (including organizational charts) that would help explain the jurisdictional and organization reasons for which CI and OS would have maintained such files.

Response: (U) It is believed that OS holdings on Lee Harvey Oswald began in 1959 with his travel to Russia during which he renounced his US citizenship. Oswald was in contact with the American Embassy in Moscow, and the Department of State prepared reports on these contacts. Most likely because of counterintelligence concerns, the Central Intelligence Agency was included in the distribution of these reports. In the beginning this material was probably retained in the Defectors File. As the number of documents on this person increased, a separate file was created to be the repository of information on the alleged American defector. There is a notation in the Defectors File that a separate file exists on Oswald.

(U) Both the Defectors File (#0341008) and the file of Lee Harvey Oswald (#0351164) were handled by Marguerite D. Stevens of the OS/Security Research Staff during the pre-assassination time frame. Of the documents listed above, a majority of them contain a notation or the initials of Marguerite D. Stevens, leading one to believe she was the officer responsible for the collection, analysis, and filing of this information.

(U) The Security Research Staff (SRS) was the component responsible for collecting, developing, and evaluating information of a counterintelligence nature to

detect and/or prevent penetration of the Agency's organization, employees, and activities by foreign or domestic organizations or individuals. SRS conducted research in connection with employee loyalty cases and maintained records identifying personalities, environments, and personal traits of individuals who had been of counterintelligence interest over the years. SRS maintained liaison with various government agencies in connection with counterintelligence activities and coordinated the counterintelligence effort throughout OS. Using organizational charts of this time period, SRS reported directly to the office of the Director of Security.

(U) Don Staton of the OPS/Management Staff was queried regarding the mission and functions of SRS during the pre-assassination time period. Mr. Staton made inquiries relating to the above request, and the OPS/Information Management Officer Ronda Allen retrieved retired policy records for review. The information on the mission and function of SRS was retrieved from the archived Office of Security administrative and historical files as well as a review of the security file on Marguerite D. Stevens.

3. (U) For your information, a page-by-page review of the documents contained in the Defectors File (12 volumes) and Lee Harvey Oswald's file (7 volumes) has not been conducted for the purposes of this request. Our efforts were concentrated on the pre-assassination time frame.

4. (U) This review was conducted by Katie Nakai, OPS/CD/IMB, secure 40552.

Janet A. Ecklund

Attachment

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14-00000

27 February 1998

MEMORANDUM FOR: T. Jeremy Gunn
Executive Director
Assassination Records Review Board

FROM: J. Barry Harrelson
Senior Reviewer

SUBJECT: ARRB Request No. CIA-IR-7 For Information On Claude B. Capehart

1. The following is responsive to subject request.

2. The Agency has made a thorough search of its records for information on Claude B. Capehart and can state:

a. Mr. Capehart was never an employee of the Agency;

b. Mr. Capehart was however, an employee of a company with which the Agency had contracts;

c. The Agency has files on Mr. Capehart because of its contractual relationship with his employer.

d. All such files have been made available to and were reviewed by ARRB staff members.

3. If you require anything further in this regard, please advise.

J. Barry Harrelson

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9 February 1998

MEMORANDUM FOR: J. Barry Harrelson
CSI - JFK Project Officer

FROM: Frieda Omasta
Associate Information Review Officer, DDA

SUBJECT: CIA-IR-09 - George Bush/ARRB Request

1. This is with reference to your 2 February 1998 memorandum (attached) and our telephone conversation on 6 February wherein you requested that the Directorate of Administration (DA) review "any and all personnel files of George Herbert Walker Bush to determine whether he had any affiliation with CIA during the 1963 period."

2. The Office of Personnel Security and the Office of Human Resource Management have reviewed its files and have been unable to find any documentation to show that George H.W. Bush had any affiliation with CIA during the 1963 period.

3. This concludes DA action on this request.

Attachments

~~ADMINISTRATIVE - INTERNAL USE ONLY~~

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ADMINISTRATIVE-INTERNAL USE ONLY

11 February 1998

MEMORANDUM FOR: J. Barry Harrelson
JFK Project Officer

FROM: Frieda Cmasta
Associate Information Review Officer, DDA

SUBJECT: CIA-IR-11 - ARRB Request for Search on Warren Commission Critics (DA/IRO No. 21)

1. This is with reference to your 2 February 1998 memorandum (Tab A) and our subsequent telephone conversation on 6 February wherein you requested that the Directorate of Administration (DA) search for files or records on Warren Commission critics Edward J. Epstein, Josiah Thompson, Mark Lane, Harold Weisberg, or Sylvia Meagher.

2. Based upon the limited biographic information provided on Subjects, the Office of Personnel Security (OPS), the Office of Human Resource Management (HRM) and the office of the Publication Review Board conducted thorough searches. No records were located on Josiah Thompson or Mark Lane. As you know, OPS located a file on Harold Weisberg as a result of its search in response to CIA-IR-27 (DA/IRO No. 14). At your request, on 6 February 1998 (Tab B) we requested that OPS make arrangements to have this file reviewed by the ARRB to determine which documents are Assassination Records. Accordingly, OPS did not address the Weisberg file in this CIA-IR-11 response.

3. As a result of DA's searches (Tab C) we located the following files and/or information:

Edward J. Epstein - AIN 0 488 768 (found in OPS) File found in PRB

Harold Weisberg - computer printout (attached) found in HRM

Sylvia Meagher - OPS advised that there was an impersonal folder regarding Subject and a 1968 Ramparts Magazine article which were destroyed in accordance with the Records Control Schedule on 1 Janaury 1994.

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ADMINISTRATIVE - INTERNAL USE ONLY

Please let me know when you want me to request the es on Edward Epstein from OPS and PRB. Meanwhile, this concludes DA action on CIA-IR-11. If you have any questions, please feel free to call me on 31201.

Attachments: As Stated

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21

From the Desk of Becky L. Rant
DA/Information Review Office

NOTE FOR: J. Barry Harrelson@DCI
Gary M. Breneman-Y-@DCI
FROM: Becky L. Rant
OFFICE: DA/IRO
DATE: 08/14/98 09:10:11 AM
SUBJECT: Response to CIA-IR-11

Barry & Gary:

I have reviewed the draft response to CIA-IR-11 dated 13 August 1998 and following are my comments and requested changes:

Paragraphs 1 and 2 - OK

Para 2a: **EPSTEIN,** Edward J. --The DO found no files identifiable with subject; a file was found on an individual with the same name but; with research, it was determined that the individual was not the Edward J. Epstein who is the subject of this request. DA searches found a Center for CIA Security, formerly the Office of Personnel Security, file and a Publications Review Board file on subject, as well as three "hits" to records destroyed pursuant to normal records destruction schedules. The destroyed records involved three Freedom of Information requests that mention Epstein's name in the subject line. None of the requests were for information on Epstein.

Para 2b: **LANE,** Mark
4th line - Please change Public Affairs to Privacy Act request

Para 2c: **MEAGHER, Sylvia** -- A reference to the fact subject made a Privacy Act request was found. In addition, the Center for CIA Security found that it had, at one time, a folder on subject and a 1968 Ramparts Magazine article. Both subject's Privacy Act request file and the impersonal folder have been destroyed pursuant to normal record control schedules.

Para 2d: defer to DO

Para 2e: **WEISBERG,** Harold

11th line - Please change Office of Security to Center for CIA Security.

In so far as DA equities are concerned, I concur with draft letter once the above changes have been incorporated.

Becky

CC: Frieda P. Omasta@DA
Sent on 14 August 1998 at 09:10:11 AM

14-00000

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From the Desk of W. Curtis Chaloner
OPS/CD/IMB (40552) THIS IS A VOICE ACTIVATED COMPUTER. UPPERCASE IS FOR EFFICIENCY & IS NOT MEANT AS A BREACH OF CYBERSPACE ETIQUETTE.

NOTE FOR: Becky L. Rant
Frieda P. Omasta
Janet A. Ecklund
FROM: W. Curtis Chaloner
OFFICE: OPS/CD/IMB
DATE: 06/09/97 04:22:02 PM
SUBJECT: RECORD SEARCH, CHARLES D. FORD, AIN 0038497

DEAR BECKY, I CONDUCTED A REVIEW OF SUBJECT'S SECURITY FILE TO INCLUDE MICROFICHE & HARDCOPY. BELOW ARE MR. FORD'S ACTIVITIES FROM 1959 THROUGH 1964, PER YOUR REQUEST.

MICROFICHE-CHRONOLOGICAL ORDER

16 JAN 59-CRYPTOGRAPHIC CLEARANCE

AUGUST 1959-VACATION IN CANADA FOR 2 DAYS

15 MAR 61-ESTABLISHMENT OF P.O BOX LETTER ADDRESS FOR SUBJECT

30 MAR 62-MFR, SUBJECT WAS PROVIDED AN ALIAS (FISCALINI) FOR TASK FORCE " W" TO TRAVEL TO NEW YORK ON 31 MAR 62 TO CONTACT AN ATTORNEY IN THAT CITY. ATTORNEY GENERAL, ROBERT KENNEDY OFFERED HIS SERVICES TO HELP SOME OF THE CUBAN PRISONERS SCHEDULED FOR TRIAL IN CUBA. SUBJECT RECEIVED VERBAL APPROVAL FOR HIS ALIAS.

05 JUN 62-SUBJECT WAS UNAWARE OF REINVESTIGATION & WAS NOTIFIED BY SECURITY.

28 JUN 62-ANOTHER NAME WAS ADDED TO SUBJECT'S LETTER ADDRESS

03 DEC 62-SUBJECT WAS BRIEFED TO A SENSITIVE OPERATION CONDUCTED JOINTLY BY THE CI STAFF & DDS/IOS

20 FEB 63-REQUEST FOR CIA IDENTIFICATION CARD IN ALIAS

06 MAR 63-MFR REGARDING AN AUTOMOBILE ACCIDENT

10 MAY 63-REVOCATION OF CRYPTOGRAPHIC CLEARANCE

02 JUL 63-REASSIGNMENT PROCESSING FORM, APPROVED FOR DOMESTIC ASSIGNMENT

08 JUN 63-SUBJECT GRANTED A "Q" CLEARANCE

31 JUL 63-MFR REGARDING AN INDIVIDUAL WHO BROKE INTO SUBJECT'S HOUSE & ATTEMPTED TO ASSAULT HIS 14 YEAR OLD DAUGHTER. THE ASSAILANT WAS ARRESTED, NO HARM TO DAUGHTER

HARDCOPY-CHRONOLOGICAL ORDER

~~FOR OFFICIAL USE ONLY~~

02 & 10 MAY 62-MEMOS REGARDING BACKGROUND INVESTIGATION FROM U.S. ARMY

27 JUN 62-CIA BRING-UP-TO-DATE BACKGROUND INVESTIGATION TWX. FAVORABLE

22 MAY 63-"Q" CLEARANCE REQUIREMENT INFORMATION & 1962 FAVORABLE BACKGROUND INVESTIGATION

26 FEB 64 & 25 MAR 63-POLYGRAPH SCHEDULING MEMOS

BECKY, IF WE CAN BE OF FURTHER ASSISTANCE, PLEASE LET US KNOW. THANKS, CURT.

CC:

Sent on 9 June 1997 at 04:22:02 PM

CL BY: 462125
CL REASON: Section 1 5
DECL ON:
DRV FRM:

From the Desk of Becky L. Rant
DA/IRO

NOTE FOR: Barry Harrolson
FROM: Becky L. Rant
OFFICE: DA/IRO
DATE: 09/17/97 10:57:20 AM
SUBJECT: Travel Records

Barry: I am forwarding to you today copies of the microfilmed accounting ledgers re Charles Ford's travel. The OFL IMO worked with RCF and the copies are somewhat better than those you saw previously. This reflects the search after our visit to OFL to define search terms. I am also forwarding for your information the paperwork that approved the destruction of financial accountings after 6 years 3 months. Please call if you have any questions. Becky

CC:

CONFIDENTIAL

CL BY: 0663879
CL REASON: Section 1.5 C
DECL ON: X1
DRV FRM: COV 1-82

TO: Becky L. Rant
FROM: Jeanne Kardaras
OFFICE: HRM/MS/INFO COORDINATOR
DATE: 03/26/97 03:14:55 PM
SUBJECT: Re: CSI access to OPFs in Helms Research

Becky - thanks for your note. I'll be sure you and Frieda are kept advised of all file requests that come to me.

I have received the file on Charles D. Ford from Record Center that Barry H. (JFK) requested and I am forwarding the file thru you for your info - I would appreciate it if Pat could handcarry the file to John Pereira as Barry instructed since he (Barry) is off all week.

Have a wonderful Easter - I will be off on Thurs and Fri (my flex). Take care.

Jeanne

CC: Frieda P. Omasta

Sent on 26 March 1997 at 03:14:55 PM

CONFIDENTIAL

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10

Administrative - Internal Use Only

6 August 1998

MEMORANDUM FOR: Historical Review Program
ATTN: JFK Project Officer

FROM: Frieda Omasta
Associate Information Review Officer, DDA

SUBJECT: CIA IR-19 (DA/IRO No. 32)

1. This is in response to your 5 August 1998 memorandum (attached) wherein you requested that the Directorate of Administration (DA) conduct searches on the following names identified in CIA-IR-19 of the 27 July 1998 ARRB memorandum (attached). Specifically, upon the DA's review of the 27 July memorandum, we advised your office that we had no record of ever having been tasked to conduct searches on these names.

Andrew "Andy" Anderson
Howard Guebler
Gordon Duane Novel
Edward Scannel Butler III, Director, Information Council of the Americas (INCA)

2. The Center for CIA Security (CCS) conducted a search (memorandum attached) in the Personnel Security Management Data Program/Personnel Security (MDP/PS) database and, based upon the information provided, was able to identify that the names of Gordon Duane NOVEL and Edward Scannel BUTLER are cross-referenced in Volume II of the following security file:

"GARRISON CASE" AIN 0 601 153, Vols I-III

No records were located in CCS on Howard Guebler or Andrew (Andy) Anderson.

3. Please let us know if you need the volume referred to above. Meanwhile, unless you advise us to the contrary, this concludes DA action on CIA IR-19

4. If you have any questions, please feel free to call me on 31201.

Attachments

Administrative - Internal Use Only

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CONFIDENTIAL

28 July 1997

MEMORANDUM FOR: J. Barry Harrelson
DCI/CSI/HRG

FROM: Frieda Omasta
Associate Information Review Officer, DDA

SUBJECT: Priority Request from JFK Board

1. This is in response to your 3 February 1997 memorandum (copy attached) wherein you requested, on behalf of the ARRB Staff, a comprehensive file search on the individuals listed below. Specifically, the request is for access to records of possible pertinence to the JFK assassination.

A. Leo Cherne
B. Hunter Leake
C. Paul Garbler
D. Byron B. Morton, Jr.
E. Marie Cheatham
F. Verna Deane Brown
G. John A. MMcVickar

2. In response to your 3 February memorandum, the Office of Human Resource Management (HRM), the Office of Medical Services (OMS), the Office of Personnel Security (OPS), and the Office of Training (OTE) conducted thorough searches and attached are copies of their response memoranda.

3. In discussions with Jeanne of HRM, it is my understanding that you still have the files that HRM located on Cherne, Leake, Garbler, Brown and McVickar. HRM was unable to locate records on Morton and Cheatham.

4. As noted in OMS' 10 February memorandum, OMS located medical charts on Leake, Garbler and George Brown which you can review if you make arrangements with OMS as noted in OMS' memorandum. Also note that if a file exists on Verna Brown, it will be included with her husband's chart. OMS was unable to locate records on Cherne, Morton, Cheatham or McVickar.

CONFIDENTIAL

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~~CONFIDENTIAL~~

5. As noted in OPS' memorandum, OPS was only able to locate files on Cherne, Leake and Garbler. However, after a careful review, they determined that there is no information contained in the files that links the individuals to or pertains to JFK or to the JFK assassination. They also found reference in Verna Dean Brown husband's file that she was employed by the Department of State.

6. OTE was only able to locate a record on Paul Garbler. However, the record does not pertain to the JFK assassination.

7. This concludes DA action on this request. If you have questions, please feel free to call me on 31201.

Attachments: As Stated

~~CONFIDENTIAL~~

UNCLASSIFIED UPON REMOVAL
OF ATTACHMENTS

From the Desk of Jeanne Kardaras
Human Resource Management/Information Coordinator

NOTE FOR: J. Barry Harrelson @ DCI
FROM: Jeanne Kardaras
DATE: 12/23/96 12:25:48 PM
SUBJECT: Priority Requests from JFK Board dated 17 December 1996

I have completed my search of all Human Resource Management records and have not found any information on the following two individuals listed in your request:

a. Spas T. Raikin - SSN: 085-30-7690, DOB: Oct 26, 1922

b. Marilyn Dorothy Murret - DOB: July 14, 1928

The searches undertaken were reasonably calculated to retrieve all responsive records.
Please advise if I can be of further assistance.

Jeanne

cl by: 0663879, cl reason: 1.5(c), decl on: X1, drv from: cov 1-82.

CC: Gary L. Moore
Frieda P. Omasta
Lee S. Strickland
Mary V. Amoia-Y- @ DCI

20 December 1996

MEMORANDUM FOR: J. Barry Harrelson
CSI/HRG, JFK Project Officer

FROM: Janet A. Ecklund
Chief, Information Management Branch
Office of Personnel Security

SUBJECT: Priority Requests from JFK Board

REFERENCE: Request dated 17 December 1996

1. (C) In response to referenced request for "additional files" searches on Spas T. Raikin and Marilyn Dorothy Murret, the Office of Personnel Security conducted appropriate searches of the Management Data Program/Personnel Security (MDP/PS). MDP/PS is the automated database of security records used by the Office of Personnel Security. The searches undertaken were reasonably calculated to uncover all responsive records.

2. (C) The Office of Personnel Security has a file on Spas T. Raikin (#0134669). This file reflects that in August 1957 Foreign Documents Division/OO requested a clearance on Spas T. Raikin for unclassified contract employment with Project U.S. Joint Publications Research Service in New York City on an unwitting basis. The Office of Security responded there was no information which would preclude use of Mr. Raikin. On 31 January 1967 the Office of Security again granted approval for Mr. Raikin to be used as an unwitting independent contractor with the U.S. Joint Publications Research Service.

3. (C) The Office of Personnel Security does not maintain a security file on Marilyn Dorothy Murret, but there is an index record to an media article dated 1971 from *Computers and Automation*. This article contains the statement that Marylyn Murrett is Lee Harvey Oswald's cousin and that evidence indicates she was involved in espionage activities in Russia and Asia.

4. (U) This review was prepared by Katie Nakai, OPS/CD/IMB, secure 40552.

CL BY: 0605636
CL REASON: 1.5(c)
DECL ON: X1
DRV FROM: SEC 5-82

New Memo\Standard

12 August 1998

MEMORANDUM FOR: Gary Breneman

FROM: Frieda P. Omasta

OFFICE: ADA/IRO

SUBJECT: Re: PRIORITY - CIA IR-19 (DA/IRO 32c) JFK/ARRB

REFERENCE: INCA & Permindex

Gary,

As I mentioned in my note of a few minutes ago, noted below is CCS's search response re INCA & Permindex. My records show that all DA action is now complete regarding CIA IR-19 DA/IRO 32a, 32b and 32c). As you were advised in my memoranda dated 6 and 7 August 1998, the Garrison (Volume II refers to Gorder Duane NOVEL and Edward Scannel BUTLER) and the Andrew Clarence Anderson files are available for your review in CCS. Please contact Curt if you wish to see those files. Frieda

Original Text of W. Curtis Chaloner

Original Text of W. Curtis Chaloner

TO: Frieda P. Omasta@DA

TO: *Frieda P. Omasta@DA*
FROM: *W. Curtis Chaloner*
OFFICE: *CCS/PS/CD/IMB*
DATE: *08/12/98 12:46:19 PM*
SUBJECT: *Re: PRIORITY - CIA IR-19 (DA/IRO 32c) JFK/ARRB*

Frieda, per our telephone conversation CCS has no record for the organizations listed below. Thanks, Curt.

Original Text of Frieda P. Omasta

Original Text of Frieda P. Omasta

Curt:

Thank you very much for your status report w/respect to your search on the 38 names and your search re INCA and Permindex.

Pursuant to a call that I received moments ago from Gary Breneman, would it be possible for you to advise as to whether you have located any information on INCA and/or Permindex. Gary is frantically trying to close out IR-19 and your response will assist him greatly. Thanks in advance for addressing these two items separately. Frieda

Original Text of W. Curtis Chaloner

Original Text of W. Curtis Chaloner

TO: Frieda P. Omasta@DA

32b

11 August 1998

MEMORANDUM FOR: J. Barry Harrelson, Gary Breneman

FROM: Frieda P. Omasta

OFFICE: ADA/IRO

SUBJECT: Re: PRIORITY - Name Traces for JFK/ARRB - Andrew (Andy) Anderson

REFERENCE: CIA IR-19/DA/IRO 32b

Barry:

Below is the HRM response w/respect to its search for files on Anderson, Guebler, Novel and Butler.

CCS is still working on CIA IR-19/DA/IRO 32c w/respect to its search for records on INCA and Permindex.

Frieda

Original Text of Daniel J. Mulqueen-Y-

Original Text of Daniel J. Mulqueen-Y-

TO: Frieda P. Omasta@DA

TO: *Frieda P. Omasta@DA*
FROM: *Daniel J. Mulqueen-Y-*
OFFICE: *HRM*
DATE: *08/07/98 01:25:05 PM*
SUBJECT: *Re: PRIORITY - Name TRaces for JFK/ARRB - Andrew (Andy) Anderson*

Frieda,

I have completed searches for Andrew Anderson as Anderson for maximum hits, on Howard Guebler under Guebler, on Gordon Novel under Novel and Edward Butler under Butler, all last names for maximum possible hits. My searchs on these four individuals produced no hits, no records, and no indexed data on one and all.

I note the presumption "Evidently, he (Anderson) was a former employee" because he had a AIN number of 0 800211. An AIN number is an Agency Identification Number, not necessarily suggesting an "employee" relationship. An "EIN" is an Employee Identification Number, but what categories of employment fall under it, I'm not prepared to say.

Dan

Original Text of Frieda P. Omasta

Original Text of Frieda P. Omasta

Dan,

Barry Harrelson, the JFK Project Officer, is requesting that you search once again under the name of Andrew (Andy) Anderson in light of the fact that CCS has located a file on one Andrew Clarence Anderson (AIN 0 800211). Evidently, he was a former employee. I regret that we did not have this information prior to tasking you the first time.

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F/r/c

CIA-IR-25

~~ADMINISTRATIVE - INTERNAL USE ONLY~~

6 May 1997

MEMORANDUM FOR: J. Barry Harrelson @ DCI

FROM: Becky L. Rant

OFFICE: DA/IRO

SUBJECT: ARRB Special Request - Zapruder Film

REFERENCE:

Barry: HRM's response follows to your request for the address of "Holmer McMann". This sounds like the individual you described--spelling different. As stated, McMahon resigned in 1970 and the Agency has no current address or record of whether or not he is still alive. If you want us to pull the file to determine his address while he was an employee, let me now. That info, of course, is 28 years old. Becky

TO: Becky L. Rant
FROM: Jeanne Kardaras
OFFICE: HRM/MS/INFO COORDINATOR
DATE: 05/05/97 04:41:06 PM
SUBJECT: Re: ARRB Request

Becky -

I have located information on a former employee named Homer Albert McMahon, DOB: 6 Jan 1928, who (first) EOD'd 22 Sept 1952 and resigned on 20 March 1953 (GS-03) - his title was photographer. He reEOD'd on 30 Oct 1960 and resigned on 11 Sept 1970 - (GS-11) - his title was photographer and he was assigned to NPIC. HRM would have no address listing for this individual. Mr. McMahon's retired official personnel file is at Record Center.

Please advise if I may be of further assistance.

Jeanne

CC: Frieda P. Omasta
Sent on 5 May 1997 at 04:41:06 PM

CC: Frieda P. Omasta
Teresa Wilcox @ OST

~~ADMINISTRATIVE~~ - INTERNAL USE ONLY

UNCLASSIFIED

NOTE FOR: Barry Harrolson @ DCI
FROM: Thomas C. Benza
OFFICE: OFL
DATE: 12/24/97 08:37:39 AM
SUBJECT: Search Results - Bill Green and Claude Barnes Capehart

Office of Finance and Logistics has search its files for any information on Mr. William Green at Eastman Kodak. There were no responsive records. We also ran a check on Mr. Claude Barnes Capehart also with negative results.

Any question please call me on extension 58032..

CC: Frieda P. Omasta, Becky L. Rant
Sent on 24 December 1997 at 08:37:39 AM

UNCLASSIFIED

~~ADMINISTRATIVE - INTERNAL USE ONLY~~

TO: Frieda P. Omasta
FROM: Jeanne Kardaras
OFFICE: HRM/MS/INFO COORDINATOR
DATE: 07/23/97 12:55:29 PM
SUBJECT: Re: ARRB Request - Green & Capehart

Frieda -

Based on information provided, I have found no information on the following individual:

Bill (William) Green at the Eastman Kodak lab

The search undertaken was reasonably calculated to uncover all responsive records. Please advise if I may be of further assistance.

Jeanne

CC: Becky L. Rant, J. Barry Harrelson @ OCI, Thomas C. Benza, W. Curtis Chaloner
Sent on 23 July 1997 at 12:55:29 PM

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~~FOR OFFICIAL USE ONLY~~

24 July 1997

MEMORANDUM FOR: J. Barry Harrelson
DCI/CSI/HRG

VIA: Becky Rant
DDA/IRO

FROM: Janet A. Ecklund
Chief, Information Management Branch
Office of Personnel Security

SUBJECT: Assassination Records Review Board Name Trace Request on William Green

REFERENCE: Lotus Note dated 23 July 1967

1. In response to the referenced request, the Office of Personnel Security's Management Data Program/Personnel Security (MDP/PS) database was searched as requested.

2. The searches undertaken were reasonably calculated to retrieve all responsive documents. Based upon the information provided, no security records were located.

3. This memorandum is provided for your information and appropriate disposition. Please direct any further inquiries regarding this matter to W. Curtis Chaloner at 40552 (secure).

W. Curtis Chaloner
for
Janet A. Ecklund

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~~FOR OFFICIAL USE ONL~~Y

TO: J. Barry Harrelson@DCI
FROM: W. Curtis Chaloner
OFFICE: CCS/PS/CD/IMB
DATE: 08/13/98 12:54:16 PM
SUBJECT: Re: JFK/ARRB Request (PRIORITY) CIA-IR-26 (DA/IRO 34)

Barry, the Center for CIA Security had a file for a PFUNTER, Jordan J., DPOB (?) 07 May 1902, Akron, Ohio. This file was destroyed circa 1980-1981 following the Records Control Schedule. Thanks, Curt.
Original Text of Frieda P. Omasta
Original Text of Frieda P. Omasta

Curt,
On behalf of ARRB, please conduct a search for records on Jordan James Pfunter. Please respond directly to J. Barry Harrelson with a copy to Becky and me. Thank you. Frieda

CC: John K. Reilly@DA, Becky L. Rant@DA, Frieda P. Omasta@DA, Gary M. Breneman-Y-@DCI
Sent on 13 August 1998 at 12:54:16 PM

~~FOR OFFICIAL USE ONL~~Y

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ADMINISTRATIVE - INTERNAL USE ONLY

13

From the Desk of Frieda P. Omasta

NOTE FOR: W. Curtis Chaloner @ DA
FROM: Frieda P. Omasta
OFFICE: ADA/IRO
DATE: 02/06/98 05:26:24 AM
SUBJECT: PRIORITY - CIA-IR-27 - ARRB Request for Security Files on 5 Individuals (DA/IRO No. 14)

Curt:

In response to CIA-IR-27, on 26 September 1997 OPS advised Barry Harrelson (JFK Project Officer) that security records are available for review by his office on the following individuals:

NAGELL, Richard C.
NOVEL, Gordon D.
RUBY, Jack L.
SHERIDAN, Walter J.
WEISBERG, Harold

Barry has just advised that the files on Nagell, Novel and Ruby have been identified as Assassination Records. Accordingly, they have requested that OPS transfer these files to DCI/CSI/HRG (J. Barry Harrelson) for inclusion in these records. Barry has further advised that a copy of the documents from each file would be acceptable if OPS prefers.

This is with respect to the files on Walter J. SHERIDAN and Harold WEISBERG. Barry has asked that arrangements be made for these files to be reviewed by the ARRB to determine which documents in each of these files are Assassination Records. In light of a short deadline, it is requested that the arrangements be made early in February. Once documents have been selected, Barry will need copies of those documents as well.

Please keep Becky and me posted with respect to these requests. Thanks in advance for your assistance. Frieda

CC: Becky L. Rant @ DA
J. Barry Harrelson @ DCI
Katie Nakai
Sent on 6 February 1998 at 05:26:24 AM

ADMINISTRATIVE INTERNAL USE ONLY

~~FOR OFFICIAL USE ONLY~~

26 September 1997

MEMORANDUM FOR: J. Barry Harrelson
DCI/CSI/HRG

VIA: Becky Rant
DDA/IRO

FROM: Janet A. Ecklund
Chief, Information Management Branch
Office of Personnel Security

SUBJECT: Records Search Request for the Assassination Records Review Board

REFERENCE: Lotus Note dated 26 September 1997

1. In response to the referenced request, the Office of Personnel Security's Management Data Program/Personnel Security (MDP/PS) database was searched.

2. The searches undertaken were reasonably calculated to retrieve all responsive documents. Based upon the information provided, the following security records were located. These security records are available for review by your office after prior written notification.

NAGELL, Richard C.	AIN 0 264 170
NOVEL, Gordon D.	AIN 0 526 113
RUBY, Jack L.	AIN 0 261 219
SHERIDAN, Walter J.	AIN 0 101 572
WEISBERG, Harold	AIN 0 018 281

3. This memorandum is provided for your information and appropriate disposition. Please direct any further inquiries regarding this matter to W. Curtis Chaloner at 40552 (secure).

W. Curtis Chaloner
for
Janet A. Ecklund

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New Memo\Standard

26 November 1997

MEMORANDUM FOR: J. Barry Harrelson

FROM: Frieda P. Omasta

OFFICE: ADA/IRO

SUBJECT: Assassination Records Review Board Request

REFERENCE: Lewinter aka Lewirter

Barry:

With Curt's response referred to below, this concludes DA action on this request. HRM and OFSS were negative. As you know, OFSS led OPS to the files as summarized by Curt. Frieda

Original Text of W. Curtis Chaloner

Original Text of W. Curtis Chaloner

From the Desk of

From the Desk of W. Curtis Chaloner
Information Review (40552)

NOTE FOR:	*J. Barry Harrelson @ DCI*
FROM:	*W. Curtis Chaloner*
OFFICE:	*OPS/CD/IMB/INFORMATION REVIEW*
DATE:	*11/26/97 12:24:59 PM*
SUBJECT:	*Assassination Records Review Board Request*

Barry, attached is the official OPS response. Thanks, Curt.

DOC1.DOC

CC: *Janet A. Ecklund*
Becky L. Rant
Frieda P. Omasta

Sent on 26 November 1997 at 12:24:59 PM

CC: Becky L. Rant

~~FOR OFFICIAL USE ONLY~~

26 November 1997

Memorandum For The Record

Subject: LeWinter, Oswald

Oswald LeWinter came to the attention of the Office of Security in December 1991. CIA/Public Affairs advised LeWinter apparently approached the British Broadcasting Corporation (BBC) claiming to be a former CIA officer. LeWinter volunteered to provide information about Agency operations overseas. His offer was in conjunction with a six part television series being produced by the BBC based upon the book "The Agency" by John Ranelagh.

LeWinter is a known fabricator and has used the following aliases; Oscar LeWinter, Ibrahimin Razin, Razin LeWinter. LeWinter is indexed to the OS crank file.

LeWinter is also known as a fabricator to the DDO.

W. Curtis Chaloner
DDA/OPS/CD IMB
Information Review

104

SECRET

December 1997

NOTE TO: ARRB Staff

SUBJECT: Further Clarification

REFERENCE: ARRB Request Regarding Oswald LeWinter

Clarification:

Oswald LeWinter is a naturalized US citizen and a man of at least four other names -- George Mearah, Oscar LeWinter, Razin LeWinter, and Ibrahimin Razin. Although he appeared in the East German *Who's Who in CIA*, the CIA has never had any **official** affiliation with LeWinter. LeWinter was the subject of an Agency "burn notice" in 1990; a burn notice alerts the intelligence community. In this case, the alert advised that LeWinter was an intelligence swindler, peddler, and fabricator. (C)

CIA has a report of an episode involving an intelligence fabricator and convicted drug dealer Oswald LeWinter, AKA George Mearah, born 2 April 1931, in Vienna, Austria. In July 1991, a CIA representative overseas reported that LeWinter, using the name of George Mearah, contacted him and offered to put high-level GDR officials wishing to defect in touch with our representative and in turn the CIA. This contact would be in exchange for help and a presidential pardon that would wipe the slate clean and leave LeWinter (Mearah) without a record as a convicted felon. LeWinter (Mearah) claimed this was important he was married (for the third time) and starting a new life; he was reportedly considering quietly acquiring Israeli citizenship. This contact was not followed up because of the "burn notice." (S)

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RETURN TO CIA

LeWinter also came to the attention of the Office of Security in December 1991. The CIA's Public Affairs advised that LeWinter apparently approached the British Broadcasting corporation (BBC) claiming to be a former CIA officer. LeWinter volunteered to provide information about Agency operations overseas. His offer was in conjunction with a six-part television series being produced by the BBC based on the book "The Agency" by John Ranelagh. (U)

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10 March 1998

MEMORANDUM FOR: T. Jeremy Gunn, Esq.
Executive Director, ARRB

FROM: J. Barry Harrelson
Senior Reviewer, HRP

SUBJECT: ARRB Request No. CIA-IR-36, For Information On Processing of Incoming Cables

1. The following and the attachment are responsive to subject request. The attachment is an extract of the pertinent text from a memorandum provided by the Directorate of Operations.

2. If you have any questions concerning this response, please advise.

J. Barry Harrelson

Att.

FOR YOUR COORDINATION:

Becky Rant *

Becky Rant, DA/IRO

16 March 1998

Date

*Re Para 3 – The Cable Secretariat was part of the Office of the Director until 1972 when it was placed in the Office of Communications. See attached [illegible]

14-00000

ATTACHMENT TO 10 MARCH 1998 MEMORANDUM RE: CIA-IR-36

1. Per the Review Board staff's request the following is a brief explanation of how the Directorate of Operations (DO) processed cables in the 1963/1964 time-frame. As we are unable to locate any definitive records on the subject, and in all probability none ever existed, the information provided is based solely on the memory of DO officers familiar with procedures in place at the time.

2. Each area division in the DO maintained and staffed its own registry. Although each registry was configured to meet each division's unique requirements, there were common functions performed by all the registries to include: collecting, sorting, and delivering of incoming cables; maintenance of incoming and outgoing cable "chronos"; collecting and delivering of incoming and outgoing pouch material; and the issuance and maintenance of "pseudo and alias" card files.

3. To the specific question regarding processing of cables, on a daily basis, usually six day per week, each registry collected its incoming cable traffic from a central processing point, then called the Cable Secretariate, within the ~~Office of~~ Communications. The incoming cables were then sorted by registry personnel according to pre-defined guidelines. These guidelines were unique to each division and were frequently altered to meet changing operational requirements. Sorted cables were then delivered to specific operational/area desks. A "chrono" copy of each was maintained, on a temporary basis, usually for 90 days and then destroyed.

4. Outgoing cables were created and coordinated by appropriate operational/area desks and usually released by the chief of the division or a designated releasing officer. "Released" cables were then hand delivered or sent by pneumatic tube system (a system long ago dismantled from the original Headquarters building) to Cable Secretariate for processing into the Agency's communication network. "Chrono" copies of outgoing cables were forwarded to the registry for a 90 day hold and then destroyed.

5. It is important to note that the cable scenario outlined here is general in nature and that throughout their existence registries and their procedures were frequently altered until the registry system as described above was eliminated in the mid-1980's.

14-00000

S-E-C-R-E-T

(This notice is NOT to be filed in Agency manuals. Please destroy after reading.)

ORGANIZATION

HN 1-80
1 February 1972

TRANSFER OF THE CABLE SECRETARIAT

Effective 1 February 1972, the Cable Secretariat is transferred from the Office of the Director to the Office of Communications, Directorate for Support.

W. E. Colby
Executive Director-Comptroller

DISTRIBUTION: AB

S-E-C-R-E-T

CABLE SEC

14-00000

16

14-00000

ARRB request re 7 July memo — Advance copy 8/4/98 (16)

HSCA Names

- Alvarado, Gilberto
- Arcacha-Smith, Sergio
- Azcue Lopez, Esuebio
- Cabell, Earle
- Calderon, Luisa
- Cherne, Leo
- Cobb, June Viola
- Conein, Lucien E.
- de Mohrenschildt, George
- Diosdado, Cesar
- Duran, Silvia Tirado de
- Ferrie, David W.
- Garrision, James
- Garro de Paz, Elena
- Gutierrez Menoyo, Eloy
- Hall, Loren Eugene
- Heming, Gerald Patrick
- Johnson, Priscilla Mary Post
- Kantor, Marvin
- Kostikov, Valeriy Vladimirovich
- Martin, Jack
- Masferrer y Rojas, Rolando
- McMillan, Priscilla Johnson
- McVickar, John Anthony
- Nagell, Richard Case
- Odio, Sylvia
- Pawley, William D.
- Proenza, Maria Teresa
- Raikin, Spas
- Ray, Manuel
- Ruby, Jack
- Salvat Roque, Juan Manuel
- Shaw, Clay
- Snyder, Richard E.
- Sturgis, Frank Anthony (aka Fioriri)
- Veciana, Antonio Carlos Blanch
- Webster, Robert Edward
- Werbell, Mitchell Livingston III

TO: Frieda P. Omasta@DA
FROM: W. Curtis Chaloner
OFFICE: CCS/PS/CD/IMB
DATE: 08/14/98 09:07:44 AM
SUBJECT: Re: ARRB - 38 names DA/IRO 33

Frieda, thank you for the kind words, however, I do not deserve them as I was not completely thorough. The oversight was not intentional. Please see attached. Thanks, Curt.

OGCRECD.DOC

Original Text of Frieda P. Omasta
Original Text of Frieda P. Omasta

Curt,
Thanks so very much for your most thorough and prompt response this request. However, would you please let us know what, if any, records you may have found on four other names that are identified on the original list? Thanks Frieda

Elena Garro de Paz
Eloy Gutierrez Menoyo
Jack Ruby
Clay Shaw

CC: John K. Reilly@DA, Becky L. Rant@DA, J. Barry Harrelson@DCI, Gary M. Breneman-Y-@DCI
Sent on 14 August 1998 at 09:07:44 AM

~~FOR OFFICIAL USE ONLY~~

14 August 1998

MEMORANDUM FOR: J. Barry Harrelson
JFK Project Officer

FROM: John K. Reilly
Chief, Information Management Branch
Center for CIA Security

SUBJECT: Records Search Request
HSCA Names from ARRB

REFERENCE: ADA/IRO MFR dated 13 August 1998

1. In response to the referenced request, Personnel Security's Management Data Program/Personnel Security (MDP/PS) database and the predecessor to MDP, the Security Automated Name Check Activity (SANCA) were searched.

2. The searches undertaken were reasonably calculated to retrieve all responsive documents. Based upon the information provided, the Center for CIA Security has located Security Records which may or may not be identifiable, specific to and/or referenced to, with your request. These records are available for review by your office after prior written notification.

3. RUBY, Jack and SHAW, Clay are cross-referenced to AIN 7 191 689, the Garrison File. IMB has possession of the Garrison File.

4. The Center for CIA Security has no record of a GARRO DE PAZ, Elena or GUTIERREZ MENOYO, Eloy.

5. This memorandum is provided for your information and appropriate disposition. Please direct any further inquiries regarding this matter to W. Curtis Chaloner at 40552 (secure).

W. Curtis Chaloner
for
John K. Reilly

~~FOR OFFICIAL USE ONLY~~

13 August 1998

MEMORANDUM FOR: J. Barry Harrelson
JFK Project Officer

FROM: John K. Reilly
Chief, Information Management Branch
Center for CIA Security

SUBJECT: Records Search Request
HSCA Names from ARRB

REFERENCE: ADA/IRO MFR dated 07 August 1998

1. In response to the referenced request, Personnel Security's Management Data Program/Personnel Security (MDP/PS) database and the predecessor to MDP, the Security Automated Name Check Activity (SANCA) were searched.

2. The searches undertaken were reasonably calculated to retrieve all responsive documents. Based upon the information provided, the Center for CIA Security has located Security Records which may or may not be identifiable, specific to and/or referenced to, with your request (attachment A). These records are available for review by your office after prior written notification.

3. Attachment B reflects Security Records which may or may not be identifiable, specific, and/or referenced to your request which were destroyed under the Records Control Schedule.

4. Attachment C reflects those individuals whom the Center for CIA Security has no record.

5. This memorandum is provided for your information and appropriate disposition. Please direct any further inquiries regarding this matter to W. Curtis Chaloner at 40552 (secure).

W. Curtis Chaloner
for
John K. Reilly

ATTACHMENT A

The following Security Records are in the possession of Information Management Branch or enroute and are available for your office's review here at CCS/IMB. The Center for CIA Security defers to the Assassinations Records Review

Board regarding documents which could be considered relevant.

		Post 1978 Memoranda
CHERNE, Leo	AIN 0 053 082	UNKNOWN
CONEIN, Lucien E.	AIN 0 005 025	YES
DEMOHRENSCHILDT, George	AIN 0 000 775	NO
DIOSDADO, Cesar	AIN 0 432 469	NO

DURAN, Silvia Tirado de AIN 0 351 164 = Oswald File
CCS record reflects listing as Duran, Silvia.

FERRIE, David W. AIN 7 191 869 = Garrison File
Ferrie is also cross-referenced to AIN 0 546 933 (Whelan, John Edward) which was destroyed, according to the Records Control Schedule, on 30 April 1997.

FIORINI, Frank AIN 0 353 459 = Sturgis File

HALL, Loren Eugene AIN 0 348 627 YES
AIN 7 191 869 = Garrison File
CCS record reflects listing and Security File (hardcopy) as Loran not Loren.

JOHNSON, Priscilla Mary Post YES
AIN 0 071 589
CCS record reflects listing under McMillan, Priscilla J..

KANTOR, Marvin AIN 0 539 326 YES
CCS record reflects listing also as Kantor, Melvin.

KOSTIKOV, Valeriy V. AIN 0 261 091 NO

MCMILLAN, Priscilla Johnson YES
AIN 0 071 589
CCS record reflects McMillan also cross-referenced to Alliluyeva, Svetlana. The Security File (hardcopy) reflects

ATTACHMENT A CONTINUED

Stalina, Svetlana I.. The McMillan Security File (hardcopy) reflects Macmillan not McMillan.

MARTIN, Jack. CCS records reflect the three following individuals who may or may not be identifiable with your request.

MARTIN, Jack M. AIN 1 254 357 YES

MARTIN, Jack S. AIN 0 651 268 YES

MARTIN, Lawrence J. AIN 0 634 475 YES

MASFERRER Y ROJAS, Rolando NO
AIN 0 036 859
CCS record reflects listing as Masferrer, Rolando Rojas.
Masferrer is also cross-referenced to AIN 0 780 397
(Montreuil, Raymond A.). NO

MCVICKAR, John Anthony AIN 0 269 351 YES
CCS record reflects listing as McVickar, John A.

NAGELL, Richard Case AIN 0 264 170 YES

ODIO, Sylvia AIN 0 351 164 = Oswald File
AIN 0 351 164-6 =-Oswald File

PAWLEY, William D. AIN 0 078 435 NO

RAIKIN, Spas AIN 0 134 669 YES

SNYDER, Richard E. AIN 0 038 984 YES

STURGIS, Frank Anthony AIN 0 353 459 YES

WEBSTER, Robert Edward AIN 1 258 236 YES
CCS record reflects listing as Webster, Robert.
Webster is also cross-referenced to AIN 0 387 123
(Whittaker, Frederick). YES

WERBELL, Mitchell Livingston III YES
AIN 0 027 686
CCS record reflects listing as Werbell, Mitchell L.

ATTACHMENT B

The following Security Records were destroyed in accordance with the Records Control Schedule.

		DESTRUCTION DATE
ARCACHA-SMITH, Sergio	AIN 0 523 948	1994
AZCUE LOPEZ, Esuebio	AIN 0 352 838	25 October 1994
CABELL, Earle	AIN 0 033 554	circa 1980-1981
COBB, June	AIN 0 216 264	1994

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GARRISON, James AIN 0 535 449 1994
HSCA list reflects Garrision, James. CCS has no record.

HEMMING, Gerald Patrick AIN 0 592 464 circa 1980-1981
HSCA list reflects Heming or Henning, CCS has no record of either.

HEMMING, Gerald Patrick AIN 0 429 229 04 August 1995
HSCA list reflects Heming or Henning, CCS has no record of either.

MASFERRER Y ROJAS, Rolando
AIN 0 352 772 25 October 1994
CCS record reflects listing as Masferrer Rojas, Rolando Arcadio.

PAWLEY, William D. AIN 0 350 653 24 October 1994
CCS record reflects listing as Pawley, William.

PAWLEY, William D. AIN 0 346 416 20 October 1994
CCS record reflects listing as Pawley, William.

PAWLEY, William D. AIN 0 121 104 circa 1980-1981

PAWLEY, William D. AIN 0 357 552 circa 1980-1981

PROENZA, Maria Teresa AIN 0 342 000-A circa 1980-1981
CCS record reflects listing as Proenza, Teresa.

PROENZA, Maria Teresa AIN 0 317 003 1994
CCS record reflects listing as Proenza, Teresa.

ATTACHMENT B CONTINUED

SALVAT ROQUE, Juan Manuel
AIN 0 219 999 circa 1980-1981
CCS record reflects listing as Salvat, Juan Manuel.

SNYDER, Richard E. AIN 0 389 179 03 June 1995

STURGIS, Frank Anthony AIN 0 348 309 circa 1980-1981
CCS record reflects listing as Sturgis, Frank.

STURGIS, Frank Anthony AIN 0 349 691 1994
CCS record reflects listing as Sturgis, Frank.

VECIANA, Antonio Carlos Blanch
AIN 0 256 167 1994

WERBELL, Mitchell Livingston III

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AIN 0 256 167 1994

CCS record reflects listing as Werbell, Mitchell Livingston.

ATTACHMENT C

The Center for CIA Security has no record of the following individuals.

ALVARADO, Gilberto

CALDERON, Luisa

RAY, Manuel

Declaration of Teresa F. Wilcox

I, Teresa F. Wilcox, hereby declare and say as follows:

1. (AIUO) I am the Information Review Officer (IRO) for the Directorate of Science and Technology (DS&T). I was appointed to this position in February 1996, and have held various professional positions within the Central Intelligence Agency since 1985. I am responsible for the review of records maintained by offices in the DS&T, to include the Offices of the Deputy Director for Science and Technology and the Associate Deputy Director for Science and Technology; the Office of Technical Service (OTS); the Office of Technical Collection (OTC); the Clandestine Information Technology Office (CITO); the Foreign Broadcast Information Service (FBIS); the Office of Development and Engineering (OD&E); the Office of Advanced Analytic Tools (AAT); the Office of Advanced Projects (OAP); and the Office of Research and Development (ORD). In addition, I am responsible for searching and reviewing certain records of the former National Photographic Interpretation Center (NPIC).

2. (AIUO) I am aware that the DS&T has searched appropriate records systems for information responsive to all requests the DS&T has received. I have obtained this knowledge through my personal experience, as well my conversations with, and my review of the records of, the former DS&T IRO Anthony R. Frasketi, and the DS&T Information Management Officer, Marsha A. Hubbs.

3. (AIUO) The following is a description of DS&T searches and review of documents undertaken in response to Board taskings the DS&T has received.

CIA-IR-07 Claude Barnes Capehart. The DS&T made deletions to security records located by the Directorate of Administration. The DS&T Searched ODDS&T records for Capehart's medical file. The DS&T forwarded the medical file to the Board for its review.

CIA-IR-08 Family Jewels Memorandum. The DS&T reviewed a package of documents forwarded by Barry Harrelson. The DS&T advised the Board it had no objection to ARRB access.

CIA-IR-15 Electronic 'take' from Mexico City Station. This memorandum addresses the portion of the action, "In addition, the Board is still awaiting a response on the present location of Staff D records. Regardless of the outcome of these particular searches, the Review Board continues to seek a full accounting for all surveillance conducted during the relevant time periods."

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In conducting a search for the above information, the DS&T located in OTS records a file with the folder title, "Mexico City, Mexico." We forwarded the entire contents of that folder for the period 24 May 1961 to 10 June 1965, with one exception. Three Operational Monthly Reports were forwarded to the Board. The dates covered were 1-30 September 1963; 1-31 October 1963; and 1-30 November 1963. The attachment to Dispatch HMMA 20307 dated 4 December 1962 was not included. It was a poor-quality audio tape in Spanish, between two individuals talking about office procedures. No substantive information was exchanged during this conversation.

The DS&T also conducted a search of OTC records. This office would have inherited the Division D records of the Directorate of Operations (DO). We reviewed old DO Records Retirement Requests; there are no shelflists (folder-inventory) associated with these Records Retirement Requests since the Division D records were recorded initially in the DO system. We forwarded for the Board's review the six Records Retirement Request whose job numbers reflected the years 1959 to 1962. We advised the Board that Records Center records indicated the records' disposition as follows:

1. 59-S-477: Destroyed September 1977.
2. 60-S-182R: Destroyed May 1980.
3. 60-S-402: Transferred to FI/D(DDO), December 1977.
4. 61-S-157R: Four boxes transferred to FI/D (DDO), March 1972; three boxes destroyed, May 1980.
5. 62-S-885: Destroyed (no date given).
6. 62S000986R: Transferred to the Office of SIGINT Operations (DS&T).

These were the only Records Retirement Requests in the possession of OTC that are in the time frame relevant to the JFK assassination. As their descriptions indicate, they do not appear relevant to the request.

The DS&T also conducted a search of CITO records. This DS&T office inherited some files from OTC when CITO was established. We searched its records in the event some Division D records were held by CITO. These searches identified no responsive records.

Searches of OTS, OTC, and CITO records used the following keywords:

surveil*
Russian
USSR
Cuba*
audio*
electron*
embassy
Mexico*

CIA-IR-16 U-2 Files. The DS&T provided the Board a copy of the U-2 shelflist. We also searched for records filed under Richard Bissell's name. We received no follow-on request from the Board.

CIA-IR-16 FBIS-USR-92-112. The DS&T provided to the Board a translation of articles about a KGB file on Oswald.

CIA-IR-17 DS&T Records on Castro Assassination Plots. Records of the ODDS&T, OTC, and OTS were searched for the following keywords: Castro, Oswalt, Oswald, assas*, and KDBADGER. In addition, we searched OTS records on the following: Project ZRRIFLE, Mollusca, Cubela, Black Leaf, ballpoint pen, hypodermic syringe, Artime, silencer, gambling, aerosol, cigar, depilatory, wiretapping, Phyllis McGuire, skin diving suit, sea shell, and project AMLASH. As a result of these searches, we provided to the Board a document titled, "Handwriting Analysis - AMLASH-1," dated 7 April 1965.

CIA-IR-25 Zapruder Film. A search was conducted on records of the National Imagery and Mapping Agency (records of the former National Photographic Interpretation Center (NPIC) and Office of Imagery Analysis) using the terms Zapruder, JFK, Commission, and 1963. Hits on year 1963 did not reveal any pertinent information. We located no record of a "William Green" at NPIC in November 1963. We located no log books or indexes regarding the processing or handling of any assassination films. We also reviewed an audiotaped interview with a former NPIC employee, and made minimal deletions to the tape.

CIA-IR-33 Search of FBIS records for any files on Oswald, and request for information on how FBIS-USR92-112 was filed. No past or present files were found. An explanation on how FBIS-USR-92-112 was filed was provided.

Review of three Ford Library Documents (178-10003-10453, 178-10004-10087, 178-10002-10394. Made deletions and deferred to the DO on 178-10002-10394. Made deletions to Summary Report on CIA Investigation of MKNAOMI.

Review of two terms. The DS&T advised that the terms should not be released because of ongoing CIA activity at the location.

Review of Alias Documentation issue (104-10220-10179, -10181, and -10188). Requested that Board postpone release of specific information. A search of OTS records indicated OTS had no records on particular documents in the 1960s and 1970s.

Review of a slug in current use. Requested that the Board postpone its release because the slug is in current use.

Review of Organizational Charts of all Agency directorates for the years 1958-1968 (CIA-1). Requested deletions.

Review of the proposed release of an Agency facility. Provided information about DS&T activities at risk if this facility were acknowledged.

Review of records regarding the release of the name of Jose Marie Mankel. Provided information about the potential chilling effect on current operations.

Review of documents concerning a certain entity in the CIA Sequestered Collection which are Not Believed Relevant (NBR)to the JFK assassination. Four documents had been previously released (one was released in full, and three were sanitized); eight documents had been denied in full. The Board determined that four documents were NBR.

Review of the Board's request to review an index resulting from the CIA's History Staff's review of 61 histories for any connection to the JFK assassination. The DS&T concurred in the Board's review of the index.

4. (U) Based on this information, I have concluded that the DS&T has diligently searched and reviewed all requests for information from the Board that the DS&T has received.

5. (U) I declare under penalty of perjury that the foregoing is true and correct.

Executed in Langley, Virginia on this 21st day of August 1998.

T. F. Wilcox

Teresa F. Wilcox

JFK Declaration of FREDRICK C. WICKHAM, JR.

I, Fredrick C. Wickham, Jr. declare and say as follows:

1. (U) I am the JFK Focal point Officer ("FPO") for the Directorate of Operations ("DO") of the United States Central Intelligence Agency ("CIA"). I have held technical positions associated with the design and implementation of computer systems, information management positions including the DO IMO, and currently manage the DO information review and release programs. I have been with the CIA since 1967 and have been associated with the JFK records review activity since 1992 and the JFK FPO since 1995.

2. (U) I have obtained the information contained in this declaration based upon my personal knowledge and experience, as well as conversations with the DO Information Review Officer, the DO Information Management Officer and individuals taking action on the specific requests.

3. (U) DO records were predominately paper with a hard copy card index in the 1960's and an electronic index in the 1970's. The indices were based upon names that meet specific collection requirements or projects that were undertaken in the performance of our mission. Searches that exceeded the capability of the indices required manual paper file searches. I am aware that the DO searched the central record system, which includes retired records, and hand searched the convenience files and specialized files located within various offices. Specialized collections are often extremely sensitive and therefore only reside within the components. During our newer searches we have not found any significant documents in the DO files dated 1978 or prior that appear to have been missed by the searches through 1978. We did locate one set of tapes which we know was made available to the Warren Commission but was not included in the HSCA sequestered collection. We also found one file that was only partially included in the HSCA sequestered collection.

CL BY 0524343
REASON 1.5C
DECL X1
DRV MIS 5-82

SUBJECT: JFK Declaration of Fredrick C. Wickham, Jr.

4. (S) Many of the searches performed today are not significantly different than the ones done during the period of the various commissions and committees. The searches performed since 1995 still use the index to the central record system the same as in 1978 but we have a couple of new databases that were not available in 1978. We now capture all cable traffic electronically and are able to perform text searches of that repository. That repository post-dates the HSCA so it is not useful in locating older records. Additionally, we have converted a small amount of very sensitive paper files into computer searchable form. While these specific collections are part of the DO's record system, they were not electronically searchable nor were they included within the indices to the DO's central records system. We have searched all of these records systems for information responsive to requests the DO has received. Some of the specific requests could not be satisfied by the electronic searches and we resorted to manual paper searches in an effort to locate the information.

5. (U) The DO provided several briefings to the Assassination Records Review Board and their staff, starting in 1995, to describe the records created by the DO and the record system that manages that information. We also described what is meant by the need to continue protection of sources and methods, and the impacts the revelation of information would have on the lives of individuals and current operations. The presenters included the Agency's General Counsel, Deputy Director for Operations, Chief of the Information Management Staff, and several other DO managers associated with the conduct of operations and protection of sources and methods. We also provided detailed descriptions of current operations. We provided information about specific individuals whose lives or livelihood would be threatened as examples of harm that would occur if certain information was released. Additionally, we presented a description of the operational environment that existed and the intensity under which searches were performed in the wake of the assassination.

6. (U) The CIA issued an Agency-wide employee bulletin for a new search for JFK Assassination records (OIM 98-0081, dated 30 July 1998). As requested by the ARRB, the DO distributed the employee bulletin via Lotus Notes on 17

SUBJECT: JFK Declaration of Fredrick C. Wickham, Jr.

August and placed it on the morning message that people receive when they first log onto the system.

7. (U) We have received numerous specific requests for additional information. Based on personal knowledge and on information reflected in our records, I am aware of the following efforts that were conducted in responding to specific ARRB requests for DO records. I have abbreviated the questions to save space:

CIA-1 - Information on the organization of CIA

(U) The March 1995 ARRB request called for the availability of "comprehensive organizational charts (or the best available substitutes)." The request contained about three pages of various requirements, including:

A. organizational charts of the Directorate of Plans (DDP); "CIA Stations . . . in Mexico City (1960-68 only), Miami, other stations that we will discuss orally;" any other offices . . . any pro-Castro groups, . . . or anti-Castro groups;

B. names and affiliations of all CIA personnel responsible for liaison with the Warren Commission, the House Select Committee on Assassinations, . . .;

C. all manuals, handbooks, . . . guides explaining: . . . organizational structure, . . . filing systems, . . . for drafting memoranda;

D. copies of the instructions, guidelines, and other materials used by your reviewers;

(U) Over a thousand pages of material were provided in responding to this request.

(U) In May 1997 the ARRB further requested that 35 pages from CSN 5-50, 2 pages of the JMWAVE chart, and

SUBJECT: JFK Declaration of Fredrick C. Wickham, Jr.

13 pages from Regulation No. 1-130 and HR #1 be reviewed for release.

(U) Although the AARB staff had access to all of the requested material, in January 1998 the Agency answered that the various CSN 5-50 pages contained basic information regarding the mission and functions of the Office of Operations (now the Directorate of Operations (DO)) and could not be released. However, in April 1998, we agreed to provide structure charts for the DDP in 1961 and 1963, with Division and Staff titles and a JMWAVE Chart.

CIA-2 - Confirmation of/Queries About Some Information

(U) The ARRB request was dated May 1995 and was six pages of file code numbers, crypts, names, dispatch designators, and cable location designators and requested identification thereof. A variety of methods of responses was utilized, (some dated June and July 1995 and some undated) to answer, including a paired listing (returned to CIA) microfilm file summary sheets, and descriptive sheets.

CIA-3 - Confirmation of/Queries About Some Information

(U) This ARRB request was dated June 1995 and was three more pages of queries similar to CIA-2. A variety of methods of responses was utilized, (some dated June 1995 and some undated) to answer, including a paired listing marked (returned to CIA), microfilm file summary sheets, and handwritten notes.

CIA-4 -- Confirmation of/Queries About Some Information

(U) This four-page ARRB request dated June 1995 was for "descriptions of each crypt, a brief identification of each individual . . . , and indications of whether these crypts, operations, and

individuals are presently active or inactive." This request was answered orally and by informal notes.

CIA-5 -- Queries About Some Information

(U) This ARRB request was dated July 1995 and was for identification of some twelve crypts, names, file codes, or acronyms. This was answered by a paired listing dated July 1995 (Returned to CIA).

CIA-6 -- Request for Systematic Review of Traffic

(U) This request was dated September 1995 and was for "the CIA to assemble all of the cable traffic and dispatches for . . . September 26, 1963 through October 20, 1963 and November 22, 1963 through December 30, 1963 between" . . . Mexico City and Headquarters and Mexico City and JMWAVE.

(C) The DO provided a classified response in January 1998. All cable traffic and dispatches for the period September 26, 1963 through October 20, 1963 and November 22, 1963 through December 30, 1963 between: (a) the Mexico city Station and Headquarters, and (B) the Mexico City Station and JMWAVE are <u>not</u> available as a chronological collection. The Office of Communications (OC) only held cables long enough to ensure that they were successfully transmitted to the recipient. Because processes and resources to perform cleanup activity varies, cables were sometimes held for longer periods but not with the intention of becoming a long-term reference collection. The offices of record for the origination of cable traffic and dispatches did not create files in this manner. The desks maintained a temporary paper cable chrono. These were not usually retained for more than 90 days. There is no electronic repository for cables and dispatches from the stations. Cables were printed out and went into specific files dealing with subjects or operations. Accordingly, it is not possible to recreate as a collection all of the requested cables and dispatches.

SUBJECT: JFK Declaration of Fredrick C. Wickham, Jr.

CIA - 7 - Review of CIA Histories

(S) ARRB staffers Jeremy Gunn and Michelle Combs have reviewed all of the requested histories except one still under pre-review by the component because of sensitive information -- we expect this to be resolved in the near future. They were granted access to identify any relevance to the assassination of President Kennedy. They selected a number of pages to be included within the materials to be declassified and released to the National Archives. The histories reviewed were as follows:

- *Record of Paramilitary Action Against the Castro Government of Cuba,* written by Colonel J. Hawkins, USMC, on 5 May 1961, and controlled by WH division.

- *Domestic Operations Base,* written by Perry E. Crookham, in May 1967, and controlled by SO Division. We are also aware that relevant parts of pp. 29-31 have been released in the Bay of Pigs declassification project.

- The TS history entitled *Foreign Intelligence Staff/Division D 1948-1965,* written by Fred Griffin, Frank B. Rowlett, and Vyrl E. Leichliter, in April 1974, and controlled by CIA History Staff.

- *The Stockholm Station, Volume I, II, and III, 1942-1967,* written by William D. O'Ryan, in November 1967, and controlled by EUR Division.

- *The Oslo Station, 1943-January 1968.* This history was written by William D. O'Ryan and Elizabeth Hart, in 1967-1968, and controlled by EUR Division.

- *Covert Action Project Funds, FY 1964 and FY 1965 (With Historical Perspective December 1947 - January 1964),* written by CA Staff, in January 1964, and controlled by CA/PEG.

SUBJECT: JFK Declaration of Fredrick C. Wickham, Jr.

- *The Helsinki Station, February 1951-February 1964, Volumes I, II, and III,* written by Melvin W. Jokinen and Hank Jarvinen in 1967, and controlled by EUR.

- *Domestic and Areawide Operations (WH/6) August 1963 - 30 June 1967,* written by Maurice Currie, in June 1968, and controlled by WH Division.

- *Soviet Russia Division -- Reports and Requirements 1945 - 1966, Volumes I, II, and III,* written by Mrs. Elizabeth Sanford Peyton and Anthony Arnold, in June 1973, and controlled by SB.

- *Madrid Station -- Spain, Volume I and II, 1942-1966,* written by David Wright and Gardner MacPherson, in April 1967, and controlled by EUR Division.

- *The Ottawa Station, 1953- 1968,* written by William D. O'Ryan, in April 1968, and controlled by EUR Division.

- *CIA Participation in Police Assistance Programs for Developing Countries, 1955 - October 1965,* written by Robert Bowling, Geraldine L. Jelsch, and Lyle T. Shannon, in October 1965, and controlled by CI Staff.

- *History of Lisbon Station, April 1942 - October 1965,* written by Robert Ruth and John C. Bishop, in October 1965, and controlled by EUR Division.

- *The Maritime Branch (MB) at Headquarters, April 1951 - 1966,* written by S. H. Chapman, in June 1968, and controlled by SO Division.

- *History of The Hague Station 1942 - 1966,* written by Marion Linde and William D. O'Ryan in 1967, and controlled by EUR Division.

- *The Alaska Station (Plans for Operations Aimed at Soviet Siberia From Alaska) 1949 - 1964,* written by

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George N. Belic and John Bogart in January 1971, and controlled by SB.

- *Western Europe Operations Directed at Cuba 1962 - 1964,* written by WE Division Officers in July 1967, and controlled by EUR Division.

- *Major Policy Authorizations for the Conduct of covert Paramilitary Activities by CIA, 1948 - 1966,* written by Alfred T. Cox in August 1968, and controlled by SO Division.

- *Iceland - The History of the Reykjavik Station, 1950 - 1966,* written by Rolfe A. Haatvedt in February 1967 and published in February 1968, and controlled by EUR Division.

- *History of Station Port of Spain, August 1949 - November 1967,* written by Stannard K. Short in March 1969 and published in May 1969, and controlled by WH Division.

- *Monrovia Station -- Liberia, December 1958 - August 1969,* written by C. Frank Stone II, Lester C. Houck, and Louis B. Spaeth in October 1969 and published in January 1970, and controlled by AF Division.

- *The Algiers Station, 1947 - 1965,* written by M. S Caver in December 1972, and controlled by AF.

- *History of the Copenhagen Station, 1943 - 1968,* written by William D. O'Ryan in early 1969 and published in October 1969, and controlled by EUR Division.

- The *History of Tunis Station, March 1956 - October 1968,* written by Louis B. Spaeth in October 1968 and published in December 1969, and controlled by AF Division.

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- *History of the Cyprus Station, 1950 - 1968,* written by Dean L. Dodge in June 1968 and published in July 1969, and controlled by NE Division.
- *History of the Brussels Station, 1942 - 1968, Volumes I and II,* written by William D. O'Ryan in Autumn 1968 and published in May 1969, and controlled by EUR Division.
- *History of the Rangoon Station, 1947 - July 1968, Volume II,* written by Carleson C. Ames in September 1968 and published in January 1969, and controlled by FE Division.
- *The Africa Division, 1959 - 1967,* written by W. H. Dunbar in December 1971 and published in June 1972, and controlled by AF.
- *Operations in Angola, 1942 - 1967,* written by Lester C. Houck in May 1970, and controlled by AF.
- *The Conakry Station, February 1959 - June 1968,* written by M. S. Caver in September 1971, and controlled by AF.
- *Operations in Mozambique, 1942 - 1969,* written by Lester C. Houck in September 1971, and controlled by AF.
- *The Bangkok Station, 1945 - 1965, Volume III,* written by Gerard Campagna in May 1971, and controlled by FE.
- *The Phnom Penh Station, 1953 - 1965, Volume II,* written by Allen W. Ginder. in February 1974, and controlled by CIA History Staff and DDO.
- *History of the Bukavu Base, September 1963 - June 1967,* written by Lester C. Houck and Roscoe N. Sandlin, Jr. in August 1969, and controlled by Africa Division.

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- *Lagos Station, 1950 - 1967,* written by William H. Dunbar in March 1971, and controlled by DDP.

- *The Khartoum Station, November 1955 - July 1969,* written by Lawrence R. Devlin in November 1972, and controlled by DDP.

- *The Melbourne Station and Canberra Base, 1954 - 1966,* written by Ruth Hyde in June 1970, and controlled by DDP.

- *Covert Action Operations Soviet Russia Division, 1950-1968,* written by Donald M. Jameson in December 1972, and controlled by CE.

- *History of Guatemala Station, December 1960-March 1965,* written in March 1965 by Paul L. Loeb and controlled by WH.

- *History, Japan - FI/D Operations, 1950-1955*, written in June 1970 by Vyrl E. Leichliter and controlled by the successor component to FI/D.

- *The Illegal Border-Crossing Program, 1946-1959,* written by Anthony Arnold, George N. Belic, Boris Ilyin and John Basarab in July 1971 and controlled by CE.

- *Air Support of Cuban Operations 1960-1962,* written by Andrew Russo in January 1973, and controlled by History Staff.

- *Counterintelligence Operations Soviet Russia Division, 1946 - 1965, Volumes I and II,* written by Frederick Walters in August 1972, and controlled by SB.

- Memorandum for the Record on *HS-Misc 13 (file of CA material)* dated 11 April 1978, written by Eulalie Hammond.

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- *The Cuban Invasion -- Maritime Aspects, 1961,* written by Savilion H. Chapman in November 1966, and controlled by Special Operations Division.

- *The Covert Actions Project Funds FY 1964 and FY 1965 (With Historical Perspective), December 1947 - January 1964.* This TOP SECRET history was written by CA Staff in January 1964, and controlled by CA/PEG.

- *Funding Covert Operations, 1960 - 1964.* This TOP SECRET history was written by CA and Cover Staff Officers in September 1964, and is controlled by CA/PEG.

- *Foreign Intelligence Staff/Division D, 1948-1965* This TOP SECRET history was written by Fred Griffin, Frank B. Rowlett, and Vyrl E. Leichliter in April 1974, and is controlled by CIA History Staff.

- *History of the Vietnamese Generals' Coup of 1 -2 November 1963, Saigon Station Log and Analysis.* This history's access is controlled by FE/VNC or its successor.

The following were very sensitive and we discussed the collections with ARRB staffers. An agreement was reached to provide access to specific sections that may contain relevant information:

- *Covert Action Project Funds:* pages preceding Tab 1, and pages 1 through 6 under Tab 1.

- *Foreign Intelligence Staff/Division D:* Sections III (1952-1965) (pages 39 through 50) and IV (Division D Contributions to US National Policy) (pages 51 through 57).

CIA-10 -- Interagency Source Register

(U) This request was dated June 1996. The DO provided a classified response to the ARRB together

with a copy of DOI 50-46, "The Interagency Source Register," and advised that an unclassified response was not possible.

CIA-11 -- Sylvia Duran Materials

(U) This request was dated May 1996 and was "in particular . . . [for] a 'ten-page statement' she may have given to the Mexican police." IMS/ESG "searched its main index system, its 'names' data base, and hard copy files." The Agency response, dated October 1997, indicated to the ARRB that "no such 'statement' has ever been found and we cannot confirm that it ever existed."

CIA-12 -- Files on five persons allegedly connected with JMWAVE

(U) This ARRB request was dated May 1996 for "all 201 files, personnel files, Office of Security files, P-files, or any other files related to … David Sanchez Morales … Ted Shackley … Ron Cross … Bart Henry and Doug Gupton.

(U) The DO maintains no files on former employees, such as Morales, Shackley, or Gupton (P).

(U) In a response dated August 1998, the DO responded to DA/OIM/HRP/JFK that we were unable to identify Ron Cross and Bart Henry as true names, registered aliases, or pseudonyms and, therefore, could not provide any files.

CIA - 13 - Mexico City Back-channel Communications

(U) The DO provided a classified response explaining that these types of communications are usually administrative matters, e.g., they contain sensitive personnel information and they are not placed in operational files or saved, collectively, in other

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databases. Unfortunately, there is no way of retrieving these cables through a reasonable search using any generic or specific term, since cables were not captured by any organized filing process.

CIA - 14 - Mexico City Station Annual Reports

(U) The DO was asked for specific annual reports from Mexico City.

(S) We informed the ARRB that we were successful in locating an official DO file which contained station "annual reports" for the years 1957 through 1973. The file included two dispatches which forwarded the RMDs from Mexico Station for the years 1960 and 1961. However, the RMDs, which were sent as separate attachments (sent in a different pouch from the covering dispatch memorandum) were not present in the file. (NOTE: Attachments which contained true names of CIA officers or assets or information that would identify operational sources with CIA were customarily sent in separate transmissions as a security measure if a pouch(es) were compromised). We also note that in a speed letter, dated 1978, written by DDO/LA/STB to OLC, that the RMDs for Mexico City Station, for the years, 1962, 1963, or 1964 could not be located.

(S) Based upon further review, we are providing an updated response to the ARRB as follow: The only report that was annually required of a station was that relating to its ability to meet its mission directives, now called "operational directives". These annual reports called the Station's "Relative Mission Directives" (RMD) were used to compose an overall annual division or staff submission to the Deputy Director for Operations, as an evaluative tool. The RMDs, themselves are a summary of station activities reported to Headquarters in other correspondence during the course of the year, were used to compile a division level evaluation--in a sense, an annual report card--on how it was doing against operational requirements. The station reporting was extracted into the larger

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division summary, much as it is today. The RMDs from year-to-year could and often did change with the operational requirements the Agency was expected to fulfill. As such, the RMDs for each station had value only as data to the larger examination of how the Agency performed its intelligence mission in a given year and what trends or changes this review would suggest for the ensuing year.

(U) Station reporting of the type described above would be treated as transitory information and as such meet the definition of a temporary record. It is entirely possible and quite probable that these facilitative reports were systematically destroyed. The National Archives and Records Administration (NARA) authorized the Agency to destroy facilitative reports in 1954 (NARA Reference: II-NNA-1057); the destruction of intelligence collection requirement files in 1962 (NARA Reference: NN-163-1), and operational management objective files at the subcomponent level (which would include reports from Stations and base) in 1977 (NARA Reference: NC1-263-77-10). The 1977 authorization remains in force today.

CIA - 15 - Win Scott files from Russ Holmes files

(U) The Retired Record Table RRT shows Mr. Scott's Mexico City files were retired as Job 78-00594R on 11 August 1972. These records were retired to the Agency's Archives and Records Center under Item Number 40-76 in the Records Control Schedule in effect at the time. Files under Item Number 40-76 were described as:

"Temporary. Destroy on completion of specific job or as they serve their purpose. Return folders and documents on loan and destroy remaining papers."

The RRT shows Job 78-00594R was destroyed on 12 January 1987.

The RRT page showing the disposition of Mr. Scott's files and the page of the Records Control Schedule which describes Item Number 40-76 have been

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incorporated in the Agency's JFK collection and will be processed for release to NARA.

CIA - 16 - Oswald Pre-Assassination Files

(S) The Directorate of Operations reviewed the DO records to determine if there were any additional files containing pre-assassination records relating to Oswald. Research identified a document in the Oswald 201 which appeared to be a file inventory. The document identified CI Staff as the file custodian. CIC has confirmed that all CI Staff holdings were incorporated into the 201.

(S) Prior to establishing a 201, any documents received would have been placed in an operational interest file. We found no reference to the existence of an operational interest file. If such a file had existed, however, it might not have been registered in the central index. It was standard procedure that upon opening a 201, the documents from an operational interest file would be transferred to the 201.

(S) Given that Oswald was a subject of the HTLINGUAL operation, it is reasonable to believe that there was a file on him. We destroyed all of the HTLINGUAL files under court order, and no record was made of what files existed. We did not review all of the DO record system to destroy all copies of material which may have been derived from HTLINGUAL material. We know, for instance, that there are HTLINGUAL items related to Oswald in the HSCA sequestered collection.

(S) In sum, the 201 on Oswald contains all the information we are aware of that we had prior to the assassination.

CIA-IR-01 - Personnel Assigned to Post from 1959-1964

(S) The request for a listing of personnel assigned to Moscow 1959-1964 was discussed with Board

Staff. Instead of a listing, the files on individuals who were assigned to Moscow and a history of the Moscow Station were made available. In addition, the staff interviewed a former COS Moscow. The Board staff is satisfied with the response and will prepare its own documentation.

CIA-IR-03 - Full Computer Search for List of HTLINGUAL Files

(C) First, as an outcome of the Pike and Church Committees investigations, all HTLINGUAL files which were identifiable, as such, were destroyed under court order. Copies of materials from these files that may have been made prior to the destruction order and put into other files have, however, surfaced as files are reviewed. This includes material in the HSCA sequestered collection.

(C) The DO ran a search on the term "HTLINGUAL." Some records were identified as destroyed. Some were not so marked but are not locatable under the job number identified; however, given that there is no requirement to record destroyed records under the Federal statutes for disposition programs and given limited resources for noting files destroyed, this is not uncommon. The HTLINGUAL search further identified files because they had been the subject of Freedom of Information Act queries; however, these were not HTLINGUAL files per se, but files which contained the FOIA requester queries for HTLINGUAL information.

(U) ARRB staff member Michelle Combs has reviewed the HTLINGUAL file run and has selected some folders for review. OIM has identified that they have only redacted records for the large FOIA case that is listed. IMS/ESG/HCS is retrieving its records for unredacted copies of the records and will make them available.

CIA-IR-04 - Disposition of Angleton Files

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(U) The DO provided the following unclassified response about the Angleton Files: The files that Kalaris mentioned in Mangold's book were incorporated with the files of the CI staff. Per memorandum dated 1976-1979, the CI files were reviewed and incorporated into the DO record system. Items that were duplicates or not worthy of retention were destroyed. The memos describe the file collection and the process that was followed. Because the files that were once known as Angleton's have been dispersed within the DO records, they are no longer identifiable as a collection.

CIA-IR-06 -- QKENCHANT

(U) IMS/ESG/HCS initially provided a classified response to DA/OIM/JFK for this request in February 1998. In a follow-up response for declassification of the response, IMS/ESG/HCS worked with the providing office and then approved DA/OIM/JFK's unclassified response.

CIA-IR-07 - Claude Barnes Capehart

(U) The DO provided a classified response, which stated that we found no record when conducting a name trace for records on Capehart. Subsequently, we were advised by the CIA's Project Manager, Mr. J. Barry Harrelson, that this request was primarily being researched by the Directorate for Science & Technology.

CIA-IR-09 -- George Bush

(S) The ARRB requested information about George Bush because of a reference to "George Bush of the Central Intelligence Agency" in a certain FBI document dated November 1963.

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(S) IMS/FAB provided a main index search response to IMS/ESG/HCS. A 201 (that dated back only to 1977) and a DCD file were located.

(U) DA/OIM/JFK responded to the ARRB in February 1998 that the "search determined the Agency has no record of any association with George Herbert Walker Bush during the 1963 time period."

CIA-IR-11 - Warren Commission critics (Does CIA maintain files or records on specified critics - other than press clippings?)

(U) The DO provided an unclassified response for "any files or records on Warren Commission critics Edward J. Epstein, Josiah Thompson, Mark Lane, Harold Weisberg, or Sylvia Meagher below. In addition to these records, our research did surface a reference to a file titled "Garrison Investigation of Kennedy Assassination" which was opened in 1969 and closed in 1996. At the direction of the ARRB staff, no material in response to FOIA requests or news clippings in Public Affairs was included.

A. Edward J. EPSTEIN - The DO has no identifiable information on subject. Our search did surface the name Edward Epstein; however, by using additional biographic data known about subject, we were able to dismiss this name as a false "hit."

B. Josiah THOMPSON - Subject was of operational interest to the DO circa 1962; therefore, a 201 file does exist on this individual. ARRB staff member Combs reviewed this file in August 1998.

C. Mark LANE - Our search produced references to two documents: (1) An Agency dispatch from Germany dated 23 January 1970, and (2) An OGC letter dated 29 March 1977. Both of these documents are available for review. The OGC letter concerns a 1976 FOIA request -- Mark Lane was one of the individuals requesting the FOIA action -- which involves two dispatches dated 1967

and 1968. Because these two documents are the subject of a FOIA request, they are not included in this response.

D. Harold Weisberg - There is a 201 file on subject which contains very limited information. This file can be made available for review by an ARRB staff member. The DO retired records system, CARD, has a reference to an Agency dispatch (EGBA-87605, 1969) which indicates subject was in correspondence with Julius Mader. This document was in the files when it was reviewed by staff member Combs in August 1998.

E. Sylvia Meagher - We found no DO information.

CIA - IR-12 - Contacts with journalists or publishing houses

(U) The DO provided both an unclassified and classified response about contacts with journalists or publishing houses, regarding whether CIA might have attempted to influence any journalists or publishers regarding public discussion of the assassination. Searches with generic terms such as "journalists," "publishers," "publishing houses," and "media" would not produce meaningful responses. This question required the research of crypts mentioned in various documents in the JFK "assassination records." These crypts referred to projects managed under the overall guidance of a staff in the DO and in all instances were projects concerning press and propaganda programs designed to promote anti-communism and to counter Soviet press and propaganda initiatives worldwide. Specifically, the various projects were developed for the following reasons (Corresponding cryptonyms to these projects were provided in a classified document, and are not included below):

A. to counter Soviet activities in international intellectual organizations, in order to unite and promote anti-Communist intellectuals.

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B. to be involved in book publishing matters and the international distribution of anti-Communist books.

C. to purchase and distribute books.

D. to cover the international mailing of American books translated into Spanish and French languages.

E. to purchase and mail books to selected addressees in Latin America and Africa.

F. to cover a worldwide news and feature story service.

G. as a Press and Propaganda Developmental and Target of Opportunity project involving Latin America.

CIA-IR-13 -- Organized Crime

(S) As part of DA/OIM/JFK's research, IMS/ESG/HCS was requested to do a trace on "Charles Ford." The trace resulted in no hits.

(S) Later, to answer CIA-IR-03 on HTLINGUAL material, a computer run was made. The list contained a citation to testimony to Congress by Charles Ford in September of 1975. A copy of Ford's testimony to a Senate Committee regarding a Mafia connection to assassination plots against Castro was provided to DA/OIM/JFK in February 1998.

(U) At the request of HRP JFK, several project Crypts were searched and results provided in connection with this request.

CIA - IR-15 - Mexico City Station electronic surveillance and surveillance tapes

(S) The Directorate of Operations has checked Headquarters records for files referenced in HMMW 12454

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and for files on the crypts cited in this document and searched for HMMA 20307 and other cables or dispatches cited in this document. As previously explained, the crypts in HMMW 12454 have been researched. The dispatch was apparently written in haste and in truncated language without correct knowledge of the operational crypts for the specific operations in Mexico City. The crypts in the dispatch are the more generic crypts for teltap operations, not for the then-current operations in Mexico City, which are in question.

(U) There has been considerable interest over the years in locating any electronic surveillance tapes that would have been made during the period that Oswald visited Mexico (26 September - 2 October 1963). A thorough search has been conducted of the DO's record system as well as the index to retired records in an attempt to locate any tapes and/or find documentation to resolve their disposition. We have discussed this issue with operational experts and their professional opinion is that most likely tapes such as these were never returned to Headquarters for retention. It was normal practice to recycle audio tapes after they had been listened to by transcribers and their transcripts made.

(U) Messages between field stations and headquarters used operational jargon and shortened sentence structures that can sometimes allow for misinterpretations. Thus, possible confusion was created when references to what was being sent to headquarters referred to "tapes" but really intend "information" from tapes.

(U) In our search efforts we did locate approximately 185 audio surveillance tapes of the Cuban and Soviet embassies in Mexico City that were recorded during the immediate time following the assassination (22 November 1963 to January 1964). The fact that these tapes were retained and returned to Headquarters could account for the fact that some people recall that some tapes existed which were sent to Headquarters.

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(U) Based upon the search efforts that were undertaken and the opinions of operational experts consulted, CIA has concluded that the tapes in question do not exist and were almost certainly recycled in the normal course of business before their potential value was identified.

CIA-IR-18 - Information on one DO Office

(S) The DO provided an unclassified response on the "Defector Staff" listed in CSN 5-50 revised in October 1959 and the "CIA Defector Coordinator, DDP/CDC," revised in October 1963. The "Defector and Contract Personnel Branch" had the symbol SR/OS/D. Only Soviet Bloc (formerly Warsaw Pact countries) defector cases were handled by this branch. Staffs and branches identified, among other duties, were responsible for identifying, debriefing, and resettling foreign defectors of intelligence interest.

CIA - IR-19 - Computer search on specific individuals and organizations

(U) The DO provided an unclassified response to the ARRB Staffs' request to review Agency files on some 38 names who were the subjects of the HSCA. All files and traces were reviewed by the ARRB staffers on 19 and 20 August.

A. Leo Cherne, (Leopold Chernetsky), a US citizen, was born in 1912, has Office of Security file #53082, has 201 file #07662 (two volumes), has an A-file #048058, and subject does have a pseudo.

B. Hunter Leake has an A-file (#105075) DCD file number, pseudo not found.

C. John McVickar. Trace results only.

D. Andy Anderson. No identifiable traces running variations of "Andy."

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E. Byron B. Morton Jr. -- Trace resulted one document.

F. Marie Cheatham. -- Trace resulted in one document.

G. Paul A. Garbler. Our records reveal that Garbler had three pseudos assigned to him. Also, Garbler had four aliases on record.

H. Verna Deane Brown. The trace resulted in one document.

I. George Stanley Brown. Results of trace search was an A-File.

J. Howard Guebler. There was one trace result, who may or may not be iden to Subject which is an index card.

K. Gordon Duane Novel. Trace resulted in three index cards. He is the subject of 201-0834822 and has an A file (#A185210); however, the A file has been incorporated into his 201 file. No record of a pseudo on this name.

L. Ed Butler -- Trace resulted in an A file (#121440) on Edward Scannell Butler, III, the Director of INCA.

M. INCA (Information Council of the Americas). AllStar trace search on "INCA" provided the following index card(s) information that may or may not be iden to the "Information Council of the Americas, Inc."

N. Permindex. One trace result was found.

CIA-IR-20 -- Monster Plot NBR

(U) This is a large number of files from the microfilm part of the collection that Michelle Combs reviewed and then crafted a "SECRET" classified memo identifying the reels and folders of the files that were then declared "Not Believed Relevant."

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CIA-IR-21 -- DRE Monthly operational reports

(U) This request involved "Howard" and some extensive research for the name as a true name, pseudo, or alias for anyone out of JMWAVE and/or connected to the DRE operation.

(U) We also did extensive research for DRE monthly reports. In the JFK collection, there was a gap in the reporting between December 1962 and April 1964.

(U) This also covered the question of George Joannides' Performance Appraisal Reports and their inclusion in the collection with some redactions.

CIA-IR-22 - "A" file on Clay Shaw and Jim Garrison

(U) The DO provided this unclassified response:

> "It was the DO's understanding that there were illegible markings on pages of the copy of the "A" file on Clay Shaw. Legible copies were promised and have recently been delivered.
>
> The DO has recently provided a copy of the "A" file on Jim Garrison."

CIA-IR-26 -- Jordan James Pfunter

(U) IMS/ESG/HCS did a records search on subject name. The information is contained in a 201 file and an A file. This information was provided to the ARRB by DA/OIM/JFK in February 1998, and they were advised that other information was available from a Third Agency.

CIA-IR-29 -- Oswald LeWinter

(U) The ARRB provided the name to be traced. Apparently he is/was claiming to be an Agency employee and the Acting Assistant Director of Counter Espionage.

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(S) A name trace was run. The resultant information was included in an IMS/ESG/HCS "clarification memo" for the ARRB. The text, which was classified "Secret," reads as follows:

"Oswald LeWinter is a naturalized US citizen and a man of at least four other names -- George Mearah, Oscar LeWinter, Razin LeWinter, and Ibrahimin Razin. Although he appeared in the East German *Who's Who in CIA,* the CIA has never had any official affiliation with LeWinter. LeWinter was the subject of an Agency "burn notice" in 1990; a burn notice alerts the intelligence community. In this case, the alert advised that LeWinter was an intelligence swindler, peddler, and fabricator. (C)

CIA has a report of an episode involving an intelligence fabricator and convicted drug dealer Oswald LeWinter, AKA George Mearah, born 2 April 1931, in Vienna, Austria. In July 1990, a CIA representative overseas reported that LeWinter, using the name of George Mearah, contacted him and offered to put high-level GDR officials wishing to defect in touch with our representative and in turn the CIA. This contact would be in exchange for help and a presidential pardon that would wipe the slate clean and leave LeWinter (Mearah) without a record as a convicted felon. LeWinter (Mearah) claimed this was important [because] he was married (for the third time) and starting a new life; he was reportedly considering quietly acquiring Israeli citizenship. This contact was not followed up because of the "burn notice."

CIA-IR-30 -- Cherepanov Papers

(U) This undated and undocumented request from the ARRB was derived from a passage in the book Molehunter (pp. 121-123) regarding the Cherepanov papers.

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IMS/ESG/HCS located a workbook copy in English and provided access to ARRB staffer Michelle Combs. She was further offered access to the original Russian copies. Combs then wrote a memorandum dated April 1997 that identified the collection as papers that "primarily examine the habits, potential vulnerabilities, and KGB approaches made to various members of the American Embassy staff." Combs went on to say that "no information of believed relevance to the assassination of President John F. Kennedy was found in these papers. No pages were designated as assassination records."

CIA-IR-32 -- AMWORLD

(C) The confidential draft of the IMS/ESG/HCS response was shown to ARRB staffer Combs in February 1998. That text follows:

"(C) <u>**CIA-IR-32 AMWORLD. The Review Board seeks information regarding the purpose of AMWORLD and its connection with the Cuban exile groups.</u> After a thorough search of the DO records system, to include telephonic communications with various DO Latin American Division officers, we formulated the following as a definition of the purpose of the AMWORLD operation. The AMWORLD operation was a covert Agency effort to provide support to several Cuban exile groups. This was an "autonomous" operation designed to bring the exile groups under the direct control of Manuel Artime Buesa. AMWORLD's mission was to cause a popular uprising in Cuba through the use of propaganda and paramilitary forces to ultimately overthrow Fidel Castro.

(C) The Agency provided direct and indirect financial aid and technical advisory support to these groups but did not participate directly in the day-to-day operations. ARTIME was responsible for recruitment of AMWORLD

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personnel; he obtained sites for training and deployment, and he had primary responsible for day-to-day operations. AMWORLD was based in three locations. The AMWORLD propaganda efforts were based in Costa Rico. The paramilitary efforts were run out of the Nicaragua base. The third base was located in Miami to service AMWORLD requirements including the collection of operational leads, servicing of dead drops and assisting in the vetting of AMWORLD personnel."

(C) Combs asked two follow up questions: Was part of the program to put in place a government in exile? Was there ever a government in exile? IMS/ESG/HCS put these questions to LA and DO/IRO. The DO/IRO has provided the following additional write up, classified confidential:

``(C) Based on file reviews and recollections from officers involved in the Cuban program, there was never a Cuban Government in Exile. During the planning for the Bay of Pigs operation it was envisioned that after the invasion site had been secured, Miro Cardona and the Cuban Revolutionary Council would be flown to the area to establish a new Cuban Government. The invasion site was never secured.

(C) The AMWORLD Project, begun well after the failure of the Bay of Pigs invasion and after the release of Manuel Artime Buesa and other Bay of Pigs veterans from Cuban prisons, was designed to support and unite several Cuban exile groups under the control of Artime in an effort to enhance the cohesion of the exiles and augment Artime's capability to launch propaganda and paramilitary attacks against the Cuban mainland. The overall mission of AMWORLD was to foment a popular uprising in Cuba which could lead to the ultimate overthrow of Fidel Castro. There is no evidence that AMWORLD's mission even remotely came close to fruition and no evidence that a projected government in exile was ever considered as a viable option.''

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CIA-IR-34 -- Files of CIA officials/offices

(U) IMS/ESG/HCS ran a computer search for 'OPI 40" files. This computer run was then made available to ARRB staff member Michelle Combs for review. Her review encompasses some 42 boxes from a variety of retired DDP files. The identified folders were gathered in an aggregate of seven boxes (including one that is exclusively microfilm and microfiche of so-called Registry logs).

(U) The ARRB staff member's review is about half completed. She has so far selected some 44 records totaling 123 pages to be included in the JFK collection. When the ARRB staff member's review is completed, the selected records will be copied and subjected first to a duplicate check by DA/OIM/JFK reviewers, then reviewed by those reviewers, then reviewed by HCS reviewers.

(U) We have been unable to locate any "operational diaries" by William Harvey.

CIA-IR-35 - Oswald related Information

(S) The surfacing of a report in the continuing Oswald 201 file attributed to IJDECANTER prompted the ARRB to request a review of that defector's 201 file. Certain such reporting records will be included in the JFK collection as records in Oswald's 201. A Board request for an updated search of records for Oswald related material generated some 128 hits, which were reviewed by Ms. Michelle Combs of the ARRB staff. Three records, selected by Ms. Combs and sanitized with her concurrence, were selected for inclusion in the JFK assassination records collection.

CIR-IR-36 - Handling of Cables in 1963

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(U) The DO provided an unclassified response to the ARRB staff's request for a brief explanation of how the Directorate of Operations processed cables in the 1963/1964 timeframe. We are unable to locate any Agency-wide definitive records on the subject for this time frame, and the information provided below is based solely on the memory of DO officers familiar with procedures in place at the time and in the specific offices in which they served.

(U) Each area division in the DO maintained and staffed its own registry. Although each registry was configured to meet each division's unique requirements, there were common functions performed by all the registries to include: collecting, sorting, and delivering of incoming cables; maintenance of incoming and outgoing cable "chronos;" collecting and delivering of incoming and outgoing pouch material; and the issuance and maintenance of "pseudo and alias" card files.

(U) On a daily basis, usually six days per week, each registry collected its incoming cable traffic from a central processing point, then called the Cable Secretariat, within the Office of Communications. The incoming cables were then sorted by registry personnel according to predefined guidelines. These guidelines were unique to each division and were frequently altered to meet changing operational requirements. Sorted cables were then delivered to specific operational/area desks. A "chrono" copy of each incoming cable was maintained, on a temporary basis, usually for 90 days, and the receiving office placed a copy into an operational file based upon subject or activity.

(U) Outgoing cables were created and coordinated by appropriate operational/area desks and usually released by the chief of the division or a designated releasing officer. "Released" cables were then hand delivered or sent by pneumatic tube system (a system long ago dismantled from the original Headquarters building) to Cable Secretariat for processing into the Agency's communication network. "Chrono" copies of

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outgoing cables were forwarded to the registry for a 90 day hold and the sending office placed a copy in the appropriate operational file.

(U) There was a centralized processing section for the directorate that received copies of the cables to review them and update the central index cards.

CIA-IR-37 - William Pawley

(U) The DO provided an unclassified response to the ARRB's request to review all files on William Pawley and any files on his possible connection with Cuban exile groups in the 1962-1963 time frame. The Agency's 201 file on Pawley consists of three volumes, the same as in the JFK sequestered collection, and November 1962 is the date of the last record indexed to his 201. However, the Agency holds operational files for the period 1963 to 1965 which related to Pawley. Those files were made available for review by the ARRB staff and they selected a number of documents for inclusion in the materials being processed for release to NARA.

Unnumbered Request -- Worldwide Query

(U) In March 1998 the ARRB asked "whether a worldwide query was sent out the day after the assassination for all case officers to query their sources for any information on the assassination and Oswald. Are or would all the answers be in the collection?"

(S) The following information has been identified:

A. A "book message," that is a message to all stations and bases, DIR 84608, dated 22 November 1963 (date/time group 222054Z) was sent. This message advised all stations and bases about the assassination of President John F. Kennedy. The message advised all to be "on alert." This cable is found in Oswald's 201 file, Box 1, Volume 3.

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B. A "book cable," DIR 85133, dated 26 Nov. 1963 (date/time group 262319Z) was sent. This message was sent "routine" but with ten addressees on a "prior-ity basis." The ten "priority" addressees were: Paris, Rome, Madrid, Copenhagen, Oslo, Helsinki, Brussels, The Hague, London, and Ottawa. This cable advised all stations and bases of the identity of the alleged assassin by name of Lee Harvey Oswald. This cable can be found in Oswald's 201 file, Box 1, Volume 4.

C. Neither of these two cables indicates that a "routing" coding was to be used to ensure that all responses, if any, would be classified to Oswald's 201 file.

8. (U) I DECLARE UNDER PENALTY OF PERJURY THAT THE FOREGOING IS TRUE AND CORRECT.

Executed in Langley, Virginia on this 2nd day of September 1998.

Fredrick C. Wickham, Jr.
JFK FPO, Directorate of Operations

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